

# Optic Flow and Basics Towards Horn-Schunck<sup>1</sup>

## Lecture 7

See Section 4.1 and Beginning of 4.2 in  
Reinhard Klette: Concise Computer Vision  
Springer-Verlag, London, 2014

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<sup>1</sup>See last slide for copyright information.

# Frames in a Video Sequence

Sequence of images or video *frames*

Time difference  $\delta t$  between two subsequent frames

**Example:**  $\delta t = 1/30$  s means

30 Hz (read: “hertz”) or 30 fps (read: “frames per second”)

$I(\cdot, \cdot, t)$  is the frame at time  $t$  with values  $I(x, y, t)$

# Agenda

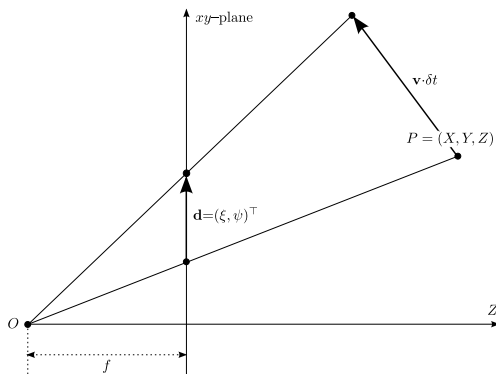
- ① Local Displacement vs Optic Flow
- ② Aperture Problem and Gradient Flow
- ③ The Horn-Schunck Algorithm
- ④ Optic Flow Constraint
- ⑤ Optimization Problem

# Projected Motion

$P = (X, Y, Z)$  projected at  $t \cdot \delta t$  into  $p = (x, y)$  in  $I(.,., t)$

**Camera:** focal length  $f$ , projection centre  $O$ , looks along optic axis

Ideal model defines *central projection* into  $xy$  image plane



Projection of motion  $\mathbf{v} \cdot \delta t$  into displacement  $\mathbf{d}$  in the image plane

## 2D Motion

*Assumptions:* motion of  $P$  between  $t \cdot \delta t$  and  $(t + 1) \cdot \delta t$

- 1 linear
- 2 with constant speed

**Local displacement:**

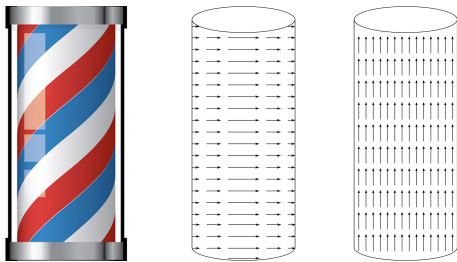
Projection  $\mathbf{d} = (\xi, \psi)^\top$  of this 3D motion

**Visible displacement:**

The *optic flow*  $\mathbf{u} = [u, v]^\top$  from  $p = (x, y)$  to  $p = (x + u, y + v)$

**Often:** optic flow not identical to local displacement

## 2D Motion $\neq$ Optic Flow



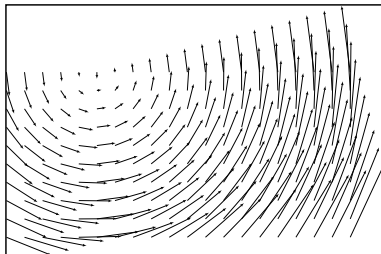
**Rotating barber's pole:** sketch of 2D motion (without scaling vectors) and sketch of optic flow, an optical illusion

**Lambertian sphere:** rotation but not visible

**Moving light source:** "textured" static object and a moving light source (e.g., the sun) generate optic flow

# Vector Fields

**Rotating rectangle:** around a fixpoint, parallel to the image plane:



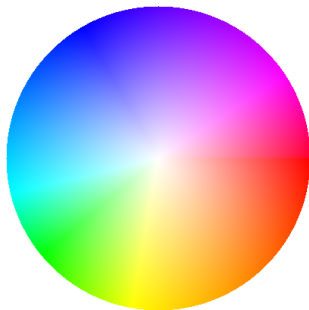
**Motion maps:** vectors start at time  $t$  and end at time  $t + 1$

To be visualized by using a color key

*Dense* if vectors at (nearly) all pixel locations; otherwise *sparse*

Difficult to infer the shape of a polyhedron from a motion map

# Color Key



Colors represent direction of vector (start at the center of the disk)

Saturation represents magnitude of the vector, with White for “no motion”



# Example 1 for Horn-Schunck-Algorithm



Subsequent frames taken at 25 fps

Color-coded motion field calculated with basic Horn-Schunck algorithm

Sparse (magnified) vectors are redundant information

## Example 2 for Horn-Schunck-Algorithm



Two frames of video sequence

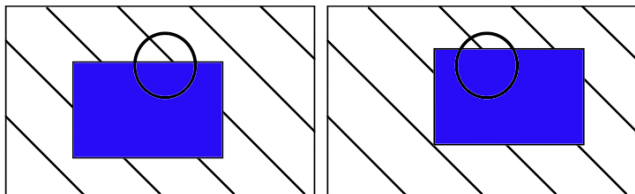
Color-coded motion field calculated with basic Horn-Schunck algorithm

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# Aperture Defined by Available Window

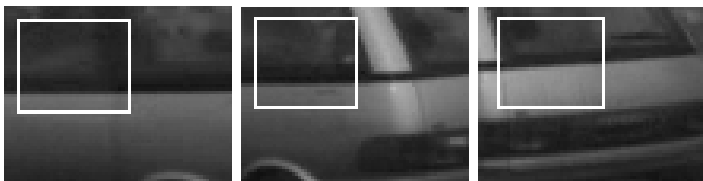
Sitting in a waiting train and assuming to move because the train on the next track started to move



A program only “sees” both circular windows at time  $t$  (*left*) and time  $t + 1$  (*right*); it concludes an upward shift and misses the shift diagonally towards the upper right corner

# Camera Aperture

Visible motion defined by the aperture of the camera



Images taken at times  $t$ ,  $t + 1$ , and  $t + 2$

Inner rectangles: we conclude an upward translation with minor rotation

Three images: indicate a motion of this car to the left

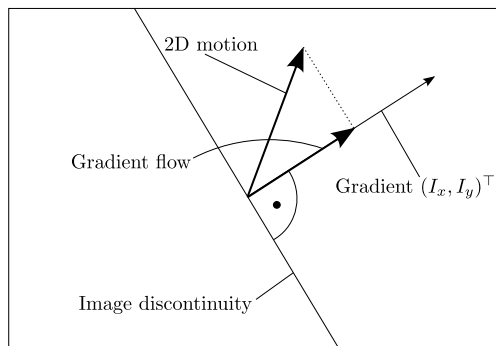
Ground truth: car is actually driving around a roundabout

# Gradient Flow

Due to aperture problem: local optic flow detects *gradient flow*

2D gradient  $\nabla_{x,y} I = (I_x(x, y, t), I_y(x, y, t))^T$

$I_x$  and  $I_y$  are partial derivatives of  $I(., ., t)$  w.r.t.  $x$  and  $y$



True 2D motion  $\mathbf{d}$ : diagonally up

Identified motion: projection of  $\mathbf{d}$  onto gradient vector

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# Origin of the Algorithm

The algorithm was published in

B.K.P. Horn and B.G. Schunck. Determining optic flow. *Artificial Intelligence*, vol 17, pp. 185–203, 1981

as a pioneering work for estimating optic flow.

We discuss this algorithm in detail



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# Taylor expansion

Difference quotient

$$\frac{\phi(x) - \phi(x_0)}{x - x_0} = \frac{\phi(x_0 + \delta x) - \phi(x_0)}{\delta x}$$

of function  $\phi$  converges into differential quotient

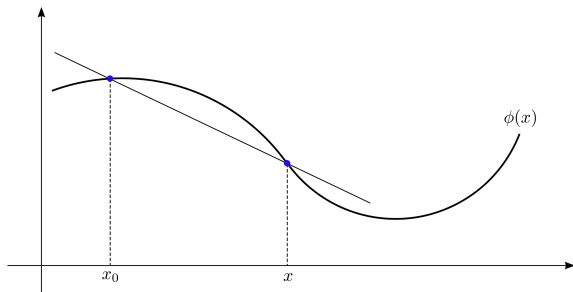
$$\frac{d\phi(x_0)}{dx}$$

for  $\delta x \rightarrow 0$ . **First-order Taylor expansion:**

$$\phi(x_0 + \delta x) = \phi(x_0) + \delta x \cdot \frac{d\phi(x_0)}{dx} + e$$

where *error*  $e$  equals zero if  $\phi$  is linear in  $[x_0, x_0 + \delta x]$

# Taylor expansion for 1D Case



General 1D Taylor expansion:

$$\phi(x_0 + \delta x) = \sum_{i=0,1,2,\dots} \frac{1}{i!} \cdot \delta x^i \cdot \frac{d^i \phi(x_0)}{d^i x}$$

with  $0! = 1$ ,  $i! = 1 \cdot 2 \cdot 3 \cdot \dots \cdot i$  for  $i \geq 1$ , and  $d^i$  is the  $i$ th derivative

## 3D Taylor Expansion

In our case of the frame sequence:

$$\begin{aligned} I(x + \delta x, y + \delta y, t + \delta t) \\ &= I(x, y, t) + \delta x \cdot \frac{\partial I}{\partial x}(x, y, t) + \delta y \cdot \frac{\partial I}{\partial y}(x, y, t) \\ &\quad + \delta t \cdot \frac{\partial I}{\partial t}(x, y, t) + e \end{aligned}$$

### **Assumption 1.**

Let  $e = 0$ , i.e. function  $I(., ., .)$  behaves like linear for *small* values of  $\delta x$ ,  $\delta y$ , and  $\delta t$

# Simplifications

## Assumption 2.

$\delta x$  and  $\delta y$  model the motion of one pixel between  $t$  and  $t + 1$

## Assumption 3.

*Intensity constancy assumption (ICA)*

$$I(x + \delta x, y + \delta y, t + \delta t) = I(x, y, t)$$

Results into

$$0 = \delta x \cdot \frac{\partial I}{\partial x}(x, y, t) + \delta y \cdot \frac{\partial I}{\partial y}(x, y, t) + \delta t \cdot \frac{\partial I}{\partial t}(x, y, t)$$

**Optic Flow Equation:**

$$0 = \frac{\delta x}{\delta t} \cdot \frac{\partial I}{\partial x}(x, y, t) + \frac{\delta y}{\delta t} \cdot \frac{\partial I}{\partial y}(x, y, t) + \frac{\partial I}{\partial t}(x, y, t)$$

# Horn-Schunck Constraint

Take changes in  $x$ - and  $y$ -coordinate during  $\delta t$  as optic flow

$$\mathbf{u}(x, y, t) = (u(x, y, t), v(x, y, t))^T$$

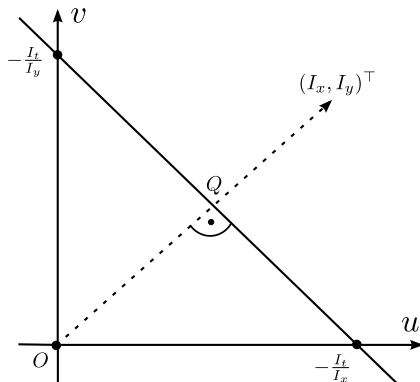
**Horn-Schunck Constraint** or **Optic Flow Equation**:

$$0 = u(x, y, t) \cdot \frac{\partial I}{\partial x}(x, y, t) + v(x, y, t) \cdot \frac{\partial I}{\partial y}(x, y, t) + \frac{\partial I}{\partial t}(x, y, t)$$

**Short form:**

$$0 = ul_x + vl_y + l_t$$

# The $uv$ Velocity Space



Straight line

$$-I_t = u \cdot I_x + v \cdot I_y = \mathbf{u} \cdot \nabla_{x,y} I$$

in  $uv$  velocity space, with optic flow vector  $\mathbf{u} = [u, v]^T$

# Inner or Dot Vector Product

Vectors  $\mathbf{a} = (a_1, a_2, \dots, a_n)^\top$  and  $\mathbf{b} = (b_1, b_2, \dots, b_n)^\top$

**Dot Product** or **Inner Product**:

$$\mathbf{a} \cdot \mathbf{b} = a_1 b_1 + a_2 b_2 + \dots + a_n b_n$$

$$\mathbf{a} \cdot \mathbf{b} = \|\mathbf{a}\|_2 \cdot \|\mathbf{b}\|_2 \cdot \cos \alpha$$

$$\|\mathbf{a}\|_2 = \sqrt{a_1^2 + a_2^2 + \dots + a_n^2}$$

$\alpha$ : angle between both vectors,  $0 \leq \alpha < \pi$



# What We Have So Far

$I_x$ ,  $I_y$  and  $I_t$  are estimated in given frames

$(u, v)$  for pixel  $(x, y, t)$  is a point on the given straight line

But: where on this straight line?

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# Labeling Model and First Labeling Constraint

## Labeling Model:

*Labeling function  $f$  assigns label  $(u, v)$  to all  $p \in \Omega$  in  $I(.,., t)$*

Possible set of vectors  $(u, v) \in \mathbb{R}^2$  defines the set of labels

## First Constraint for Labeling $f$ :

*data error or data energy*

$$E_{data}(f) = \sum_{\Omega} [u \cdot I_x + v \cdot I_y + I_t]^2$$

needs to be minimized, with  $u \cdot I_x + v \cdot I_y + I_t = 0$  in the ideal case

## Second Labeling Constraint

One option: motion constancy within pixel neighborhoods at time  $t$

**Smoothness Constraint**, defined for

*smoothness error or smoothness energy*

$$E_{smooth}(f) = \sum_{\Omega} u_x^2 + u_y^2 + v_x^2 + v_y^2$$

where  $u_x$  is the 1<sup>st</sup> order derivative of  $u$  with respect to  $x$ , and so forth.

# The Optimization Problem

Calculate labeling function  $f$  which minimizes

$$E_{total}(f) = E_{data}(f) + \lambda \cdot E_{smooth}(f)$$

where  $\lambda > 0$  is a weight

Example:  $\lambda = 0.1$

**Total Variation (TV):**

Search for an optimum  $f$  in the set of all possible labelings

Error terms apply  $L_2$ -penalties, thus a TV- $L_2$  optimization problem

# Least-Square Error Optimization

*Least-square error (LSE) optimization* follows a standard scheme:

- 1 Define an error or energy function.
- 2 Calculate derivatives of this function with respect to all the unknown parameters.
- 3 Set derivatives equal to zero and solve this equational system with respect to the unknowns. The result defines a minimum of the error function.

# Task: LSE for Error Function Defined by Both Constraints

We assume that all pixels have all their four 4-adjacent pixels also in the image.

$$E_{data}(f) = \sum_{\Omega} [u \cdot I_x + v \cdot I_y + I_t]^2$$

$$E_{smooth}(f) = \sum_{\Omega} \left( u_{x+1,y} - u_{xy} \right)^2 + \left( u_{x,y+1} - u_{xy} \right)^2 \\ + \left( v_{x+1,y} - v_{xy} \right)^2 + \left( v_{x,y+1} - v_{xy} \right)^2$$

Now we have all prepared for solving the LSE problem

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R. Klette. Concise Computer Vision.  
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