

## 20 years of K-triviality

André Nies

Newton Institute, Cambridge, UK

June 7, 2022



1 / 32

## 2002-2012

- ▶ In June 2012, I gave a talk at Chicheley Hall entitled “10 Years of Triviality”, in connection with the Turing year.
- ▶ By “triviality” I meant  $K$ -triviality, a property of sets of natural numbers introduced in 1975 by Chaitin and Solovay.
- ▶ Intuitively, this property says that the set is “far from random”,

in the sense that the prefix free descriptive complexity of the initial segments grows as slowly as possible.

2 / 32

## 2012-2022

This talk provides background, and then traces the developments on K-trivial sets from 2012 to the present.

**Describe** Five further characterisations of  $K$ -triviality.

**Cover** In particular, the covering problem was solved in the affirmative: for c.e. sets, K-trivial is the same as being below an incomplete ML-random.

**Ideals** A dense hierarchy of Turing ideals in the K-trivials was found and its relationship to cost functions studied.

$\leq_{ML}$  ML-reducibility promises a better understanding of the internal structure of the K-trivials.  
There is an ML-complete  $K$ -trivial.

**Measures**  $K$ -trivial and  $C$ -trivial measures.

3 / 32

## Descriptive string complexity $K$

A partial computable function from binary strings to binary strings, called machine, is **prefix-free** if its domain is an antichain under the prefix relation of strings.

There is a **universal** prefix-free machine  $\mathbb{U}$ : for every prefix-free machine  $M$ ,

$$M(\sigma) = y \text{ implies } \mathbb{U}(\tau) = y \text{ for some } \tau \text{ with } |\tau| \leq |\sigma| + d_M,$$

and the constant  $d_M$  only depends on  $M$ .

The prefix-free Kolmogorov complexity of a string  $y$  is the length of a shortest  $\mathbb{U}$ -description of  $y$ :

$$K(y) = \min\{|\sigma| : \mathbb{U}(\sigma) = y\}.$$

4 / 32

## Martin-Löf randomness (1966)

Sets are viewed as points in **Cantor space**  $\{0, 1\}^{\mathbb{N}}$ .

Let  $\lambda$  denote the uniform (product) measure on  $\{0, 1\}^{\mathbb{N}}$ .

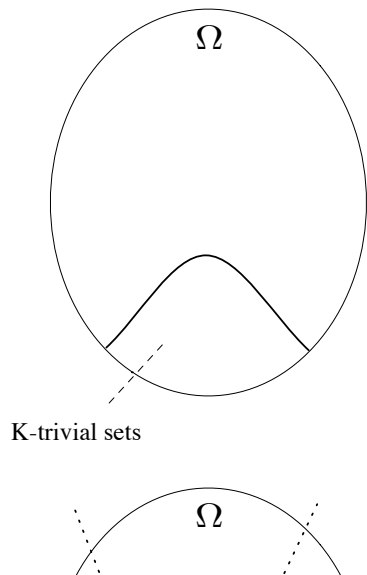
- ▶ A **ML-test** is a uniformly  $\Sigma_1^0$  sequence  $(G_m)_{m \in \mathbb{N}}$  of open sets in  $\{0, 1\}^{\mathbb{N}}$  such that  $\lambda G_m \leq 2^{-m}$  for each  $m$ .
- ▶ A set  $Z$  is **ML-random** if  $Z$  passes each ML-test, in the sense that  $Z$  is not in all of the  $G_m$ .

There is a universal ML-test  $(S_r)$ : a set  $Z$  is ML-random iff it passes  $(S_r)$ . **MLR** denotes the class of ML-random sets.

**Weak 2-randomness** is defined by passing tests of a more general kind: replace the condition  $\lambda G_m \leq 2^{-m}$  by  $\lim_m \lambda G_m = 0$ .

5 / 32

## Some properties of the $K$ -trivials



7 / 32

## $K$ -trivials (1975)

The Schnorr-Levin theorem states that

$$Z \in 2^{\mathbb{N}} \text{ is ML-random if and only if } K(Z \upharpoonright n) \geq^+ n.$$

In the other extreme:

**Definition (Chaitin, 1975)**

$A \in 2^{\mathbb{N}}$  is  **$K$ -trivial** if  $K(A \upharpoonright n) \leq^+ K(n)$  for each  $n$ .

- ▶ computable  $\Rightarrow K$ -trivial  $\Rightarrow \Delta_2^0$  (Chaitin)
- ▶ Solovay, '75: there is a noncomputable  $K$ -trivial set.

**Downey, Hirschfeldt, N., Stephan, 2003**

If  $A$  and  $B$  are  $K$ -trivial, then  $A \oplus B$  is  $K$ -trivial.

6 / 32

## Some researchers who have worked on $K$ -triviality from 2002 on

- B Laurent Bienvenu
- D Rod Downey
- G Noam Greenberg
- H Denis Hirschfeldt
- K Antonin Kučera
- M Joseph Miller
- N André Nies
- S Frank Stephan
- T Dan Turetsky

8 / 32

## 17 characterisations of the $K$ -trivials

The characterisations are according to four paradigms:

- ▶ highly compressible initial segments (definition and one more)
- ▶ weak as an oracle (13)
- ▶ computed by many (2)
- ▶ has computable approximation with few changes (1).

9 / 32

## C1: Low for $K$ , C2: low for ML-randomness

Theorem (N.-Hirschfeldt; N 03)

The following are equivalent for  $A \in 2^{\mathbb{N}}$ :

1.  $A$  is  $K$ -trivial.
2.  $K^A =^+ K$  ( $A$  is low for  $K$ ).
3.  $\text{MLR}^A = \text{MLR}$  ( $A$  is low for ML-randomness).

$1 \Rightarrow 2$  uses the golden run method (N 03). The same method shows many of the properties of the  $K$ -trivials shown earlier.

10 / 32

## C3: basis for ML-rd., C4: $\Delta_2^0 \cap$ low for $\Omega$

Theorem (C3: HNS 06)

$A \in 2^{\mathbb{N}}$  is  $K$ -trivial  $\iff A \leq_T Z$  for some  $Z \in \text{MLR}^A$ .

“ $\Rightarrow$ ”  $K$ -triviality coincides with lowness for ML-randomness. By the Kučera-Gacs Theorem each set that is low for ML-randomness is a basis for randomness.  
“ $\Leftarrow$ ” for this we introduced the “hungry sets construction”.

- ▶  $\Omega = \sum \{2^{-|\sigma|} : \mathbb{U}(\tau) \text{ halts}\}$  is Chaitin’s halting probability, which is ML-random and Turing complete.
- ▶ Call  $A \subseteq \mathbb{N}$  low for  $\Omega$  if Chaitin’s  $\Omega$  is ML-random in  $A$ .

Corollary (C4: HNS 06)

$A$  is  $K$ -trivial  $\iff A$  is  $\Delta_2^0$  and low for  $\Omega$ .

11 / 32

## C5-C7: Characterisations as classes $\text{Low}(\mathcal{C}, \mathcal{D})$

For randomness notions  $\mathcal{C} \subseteq \mathcal{D}$ , one says that  $A$  is  $\text{Low}(\mathcal{C}, \mathcal{D})$  if  $\mathcal{C} \subseteq \mathcal{D}^A$ . That is,  $A$  shrinks  $\mathcal{D}$  by so little that it still contains  $\mathcal{C}$ .  
Let

$$\text{W2R} \subseteq \text{MLR} \subseteq \text{CR}$$

denote the classes of weakly 2 randoms, ML-randoms, and computably randoms, respectively.

Theorem (C5-C7: N 03; N. 09; DN Weber and Yu, 06)

Let  $\mathcal{C} \subseteq \mathcal{D}$  be randomness notions among  $\text{W2R}, \text{MLR}, \text{CR}$ . Each of the classes  $\text{Low}(\mathcal{C}, \mathcal{D})$  coincides with  $K$ -triviality, except that  $\text{Low}(\text{CR}, \text{CR}) = \text{computable}$ .

12 / 32

## C8,C9: relativizing the difference left-c.e. reals

Recall that a real  $\alpha$  is left-c.e. if  $\alpha = \sup_s q_s$  for a computable sequence  $\langle q_s \rangle_{s \in \mathbb{N}}$  of rationals.

We say that a real  $\alpha$  is **difference left-c.e.** if  $\alpha = \beta - \gamma$  for left-c.e. reals  $\alpha, \beta$ . (These reals form a real closed field.)

Theorem (C8: DHMN 05, also see N. Book 5.5.14)

$A$  is  $K$ -trivial  $\iff \Omega^A$  is left-c.e.  $\iff$   
 each prefix free machine relative to  $A$   
 has a difference left-c.e. halting probability.

Corollary (C9: J. Miller)

$A$  is  $K$ -trivial  $\iff$  each real that is difference left-c.e. relative to  $A$ , is difference left-c.e.

13 / 32

## C11: via Martin-Löf covering

Proposition (HNS 06)

If c.e. set  $A$  is below a Turing incomplete ML-random  $Z$ ,  
 then  $Z$  is ML-random in  $A$ , so  $A$  is  $K$ -trivial.

Theorem (C11: BGKNT 16 & Day, Miller 15)

Let  $A$  be a c.e. set. Then  $A$  is  $K$ -trivial  $\iff$   
 $A$  is computable from some Turing incomplete ML-random.

- ▶ BGKNT 16 introduced Oberwolfach (OW) randomness, a slight strengthening of ML-randomness. They showed that each ML-random, non OW-random  $Z$  computes each  $K$ -trivial.
- ▶ Day and Miller provided such a set  $Z$  which is  $\Delta_2^0$ .
- ▶ So, there is a **single** incomplete  $\Delta_2^0$  ML-random above all the  $K$ -trivials!

15 / 32

## C10: via Martin-Löf noncuppability

$A \in \Delta_2^0$  is **ML-noncuppable** if  $A \oplus Z \geq_T \emptyset'$  implies  $Z \geq_T \emptyset'$  for each ML-random  $Z$ . Otherwise,  $A$  is **ML-cuppable**.

Fact: If  $A \in \Delta_2^0$  is not  $K$ -trivial then  $A$  is not a base for ML-randomness, so  $Z := \Omega^A \not\geq_T A$ , so  $A$  is ML-cuppable.

Theorem (C10: Day and Miller, 2012)

$A$  is  $K$ -trivial  $\iff A$  is ML-noncuppable

We say that a real  $z$  is a **positive density point** if  $\rho(E | z) > 0$  for every effectively closed  $E \ni z$ . Day and Miller used the following characterisation of the incomplete ML-random reals via density.

Theorem (BHMN, 11)

For a Martin-Löf random real  $z$ ,

$z \not\geq_T \emptyset' \iff z$  is a positive density point.

14 / 32

Definition

A **cost function** is a computable function  $\mathbf{c}: \mathbb{N}^2 \rightarrow \mathbb{R}^{\geq 0}$  satisfying:  
 $\mathbf{c}(x, s) \geq \mathbf{c}(x + 1, s)$  and  $\mathbf{c}(x, s) \leq \mathbf{c}(x, s + 1)$ ;  
 $\underline{\mathbf{c}}(x) = \lim_s \mathbf{c}(x, s) < \infty$  ;  
 $\lim_x \underline{\mathbf{c}}(x) = 0$  (the limit condition).

Definition

Let  $\langle A_s \rangle$  be a computable approximation of a  $\Delta_2^0$  set  $A$ .  
 Let  $\mathbf{c}$  be a cost function. The **total cost**  $\mathbf{c}(\langle A_s \rangle)$  is

$$\sum_s \mathbf{c}(x, s) \llbracket x \text{ is least s.t. } A_s(x) \neq A_{s-1}(x) \rrbracket.$$

A  $\Delta_2^0$  set  $A$  **obeys** a cost function  $\mathbf{c}$  if there is **some** computable approximation  $\langle A_s \rangle$  of  $A$  for which the total cost  $\mathbf{c}(\langle A_s \rangle)$  is finite.

Write  $A \models \mathbf{c}$  for this. FACT: There is a c.e., noncomputable  $A \models \mathbf{c}$ .

16 / 32

## C12: Dynamic characterisation

Summarize: a  $\Delta_2^0$  set obeys  $\mathbf{c}$  if it can be computably approximated obeying the “speed limit” given by  $\mathbf{c}$ .

Let  $\mathbf{c}_\Omega(x, s) = \Omega_s - \Omega_x$  (where  $\langle \Omega_s \rangle_{s \in \mathbb{N}}$  is an increasing computable approximation of  $\Omega$ ).

Theorem (C12: N., Calculus of cost functions, 2017)

Let  $A \in \Delta_2^0$ . Then  $A$  is  $K$ -trivial  $\iff A$  obeys  $\mathbf{c}_\Omega$ .

- ▶ Older result (N 09):  $A$  is  $K$ -trivial  $\iff A$  obeys the “standard cost function”  $\mathbf{c}_K$  where  $\mathbf{c}_K(x, s) = \sum_{i < x} 2^{-K_s(i)}$ .
- ▶ These results directly apply the definition of  $K$ -triviality, rather than some previously known equivalent notion.

17 / 32

## C14, C15: Variations on low for $\Omega$

Theorem (C14: Greenberg, Miller, Monin, and Turetsky, 2018, together with Stephan and Yu)

$A$  is  $K$ -trivial  $\iff$   
for all  $Y$  such that  $\Omega$  is  $Y$ -random,  $\Omega$  is  $Y \oplus A$ -random.

The implication  $\implies$  was proved by Stephan and Yu, unpublished. GMMT obtained the converse.

Theorem (C15: GMMT, 2018)

$A$  is  $K$ -trivial  $\iff$   
for all  $Y$  such that  $\Omega$  is  $Y$ -random,  $Y$  is LR-equivalent to  $Y \oplus A$ .

The implication  $\Leftarrow$  is clear via  $Y = \emptyset$ . The hard part is to show  $K$ -trivials have this property.

19 / 32

## C13: Solovay functions

Recall that  $A \subseteq \mathbb{N}$  is  $K$ -trivial if  $K(A \upharpoonright n) \leq^+ K(n)$  for each  $n$ . Can one replace the  $K$  on the right side by a computable function?

We say that a computable function  $f$  is a **Solovay function** if  $\forall n K(n) \leq^+ f(n)$  and  $\exists^\infty n K(n) =^+ f(n)$ .

Solovay showed their existence. E.g. let  $f(\langle x, \sigma, t \rangle) = |\sigma|$  if  $\mathbb{U}(\sigma) = x$  in exactly  $t$  steps, and else some coarse upper bound of  $K(x)$  such as  $2 \log |x|$ . In fact there is a nondecreasing Solovay function.

Theorem (C13: Bienvenu and Downey, 2009)

- (a)  $A$  is  $K$ -trivial  $\iff$   
 $K(A \upharpoonright n) \leq^+ f(n)$  for each Solovay function  $f$ .
- (b) There is a single Solovay function  $f$  that does it.

18 / 32

## C16, C17: changing the bits at the positions in $A$ preserves randomness

Theorem (C16, C17: Kuyper and Miller, 2017)

$A$  is  $K$ -trivial  $\iff Y \triangle A$  is ML-random for each ML-random  $Y$   
 $\iff Y \triangle A$  is weakly 2-random for each weakly 2-random  $Y$ .

In both cases, it was known that  $K$ -triviality implies the condition, because  $K$ -triviality implies lowness for the randomness notion. The surprising fact was that this seemingly weak aspect of lowness is sufficient for  $K$ -triviality.

20 / 32

## Internal structure of the $K$ -trivials

21 / 32

## ML-reducibility

- ▶ It appears that Turing reducibility is too fine to understand the structure of the  $K$ -trivials.
- ▶ A coarser “reducibility” is suggested by Kucera’s early results, and the solution to the covering problem from 2014.

Recall that **MLR** denotes the class of Martin-Löf random sets.

### Definition

For  $K$ -trivial sets  $A, B$ , we write  $B \geq_{ML} A$  if

$$\forall Z \in \text{MLR} [Z \geq_T B \Rightarrow Z \geq_T A].$$

I.e., any ML-random computing  $B$  also computes  $A$ .

Each  $K$ -trivial  $A$  is ML-equivalent to a c.e.  $K$ -trivial  $D \geq_T A$  (GMNT 22). So one only needs to consider the c.e.  $K$ -trivials.

22 / 32

## Structure of the $K$ -trivials w.r.t. $\leq_{ML}$

- ▶ The least degree consists of the computable sets. This follows from the low basis theorem with upper cone avoiding.
- ▶ There is a ML-complete  $K$ -trivial set, called a “smart”  $K$ -trivial (BGKNT ’16).
- ▶ There is a dense hierarchy of principal ideals  $\mathcal{B}_q$ ,  $q \in (0, 1)_{\mathbb{Q}}$ . E.g.,  $\mathcal{B}_{0.5}$  consists of the sets that are computed by both “halves” of a ML-random  $Z$ , namely  $Z_{\text{even}}$  and  $Z_{\text{odd}}$  (GMN 19).
- ▶ Some further interesting subclasses of the  $K$ -trivials are downward closed under  $\leq_{ML}$ : e.g., the strongly jump traceables, which coincide with the sets below all the  $\omega$ -c.a. ML-randoms (by HGN ’12, along with GMNT 22).

23 / 32

## Degree theory for $\leq_{ML}$ on the $K$ -trivials

Recall:  $B \geq_{ML} A$  if  $\forall Z \in \text{MLR} [Z \geq_T B \Rightarrow Z \geq_T A]$ .

### Results from GMNT 22, arxiv 1707.00258

- For each noncomputable c.e.  $K$ -trivial  $D$  there are c.e.  $A, B \leq_T D$  such that  $A \not\equiv_{ML} B$ .
- There are no minimal pairs.
- For each c.e.  $A$  there is a c.e.  $B >_T A$  such that  $B \equiv_{ML} A$ .

(a) is based on a method of Kučera. (b) and (c) use cost functions.

24 / 32

## Cost functions characterising ML-ideals

### Definition (Recall)

Let  $\langle A_s \rangle$  be a computable approximation of a  $\Delta_2^0$  set  $A$ .

Let  $\mathbf{c}$  be a cost function. The **total cost**  $\mathbf{c}(\langle A_s \rangle)$  is

$$\sum_s \mathbf{c}(x, s) \llbracket x \text{ is least s.t. } A_s(x) \neq A_{s-1}(x) \rrbracket.$$

A  $\Delta_2^0$  set  $A$  **obeys** a cost function  $\mathbf{c}$  if there is **some** computable approximation  $\langle A_s \rangle$  of  $A$  for which the total cost  $\mathbf{c}(\langle A_s \rangle)$  is finite.

Let  $\mathbf{c}_{\Omega, 1/2}(x, s) = (\Omega_s - \Omega_x)^{1/2}$ .

### Theorem (GMN 19)

The following are equivalent:

1.  $A$  is computed by both halves of a ML-random.
2.  $A$  obeys  $\mathbf{c}_{\Omega, 1/2}$ .

25 / 32

### Definition (ML-completeness for a cost function, GMNT 22)

Let  $\mathbf{c} \geq \mathbf{c}_\Omega$  be a cost function. We say that a  $K$ -trivial  $A$  is **smart for**  $\mathbf{c}$  if  $A \models \mathbf{c}$ , and  $B \leq_{ML} A$  for each  $B \models \mathbf{c}$ .

### Theorem (GMNT 22, extending BGKNT 16 result for $\mathbf{c}_\Omega$ )

For each cost fcn  $\mathbf{c} \geq \mathbf{c}_\Omega$  there is a c.e. set  $A$  that is smart for  $\mathbf{c}$ .

We may assume that  $\mathbf{c}(k) \geq 2^{-k}$ . Build  $A$ . There is a particular Turing functional  $\Gamma$  such that it suffices to show  $A = \Gamma^Y \Rightarrow Y$  fails some  $\mathbf{c}$ -test.

- ▶ During the construction, let  $\mathcal{G}_{k,s} = \{Y : \Gamma_t^Y \upharpoonright 2^{k+1} \prec A_t \text{ for some } k \leq t \leq s\}$ .
- ▶ Error set  $\mathcal{E}_s$  contains those  $Y$  such that  $\Gamma_s^Y$  is to the left of  $A_s$ .
- ▶ Ensure  $\lambda \mathcal{G}_{k,s} \leq \mathbf{c}(k, s) + \lambda(\mathcal{E}_s - \mathcal{E}_k)$ . If this threatens to fail put the next  $x \in [2^k, 2^{k+1})$  into  $A$ . Then  $\langle \mathcal{G}_k \rangle$  is the required  $\mathbf{c}$ -test.

27 / 32

## Cost functions and computing from randoms

### Definition

Let  $\mathbf{c}$  be a cost function. Recall  $\underline{\mathbf{c}}(n) = \lim_s \mathbf{c}(n, s)$ .

A  **$\mathbf{c}$ -test** is a sequence  $(U_n)$  of uniformly  $\Sigma_1^0$  subsets of  $\{0, 1\}^{\mathbb{N}}$  satisfying  $\lambda(U_n) = O(\underline{\mathbf{c}}(n))$ .

### Important yet easy fact

Suppose that  $Z$  is ML-random but is captured by a  $\mathbf{c}$ -test.

Suppose that  $A$  obeys  $\mathbf{c}$ . Then  $A \leq_T Z$ .

26 / 32

## ML-completeness for a cost function

### Definition (recall)

Let  $\mathbf{c} \geq \mathbf{c}_\Omega$  be a cost function. We say that a  $K$ -trivial  $A$  is **smart for**  $\mathbf{c}$  if  $A$  is ML-complete among the sets that obey  $\mathbf{c}$ .

### Theorem (GMNT 22)

For each  $K$ -trivial  $A$  there is a cost function  $\mathbf{c}_A \geq \mathbf{c}_\Omega$  such that  $A$  is smart for  $\mathbf{c}_A$ .

This shows that there are no ML-minimal pairs:

if  $K$ -trivials  $A, B$  are noncomputable, there is a noncomputable c.e.

$D$  such that  $D \models \mathbf{c}_A + \mathbf{c}_B$ . Then  $D \leq_{ML} A, B$ .

28 / 32

## Smartness for $\mathbf{c}_\Omega$ and half-bases

Recall:

Theorem (BGKNT 16)

Not every  $K$ -trivial is a half-base.

Proof (different from the original one).

- ▶  $\Omega_{\text{even}}$  and  $\Omega_{\text{odd}}$  are low;
- ▶ If  $Y \in \text{MLR}$  is captured by a  $\mathbf{c}_\Omega$ -test, then it is superhigh.
- ▶ So a smart  $K$ -trivial is not a half-base.

□

29 / 32

## Descriptive complexity for measures

$\mu$  will denote a probability measure on Cantor space.

- ▶ Let  $C(\mu \upharpoonright n) = \sum_{|x|=n} C(x)\mu[x]$  be the  $\mu$ -average of all the  $C(x)$  over all strings  $x$  of length  $n$ .
- ▶ In a similar way we define  $K(\mu \upharpoonright n)$ .

E.g.  $C(\lambda \upharpoonright n \geq n - 1)$ , and  $K(\lambda \upharpoonright n \geq n + K(n))$ .

Theorem (NS 21)

Each  $K$ -trivial [ $C$ -trivial] measure is concentrated on its atoms.

30 / 32

## Questions

- ▶ Is being a smart  $K$ -trivial an arithmetical property?  
Can a smart  $K$ -trivial be cappable?  
Can it obey a cost function stronger than  $\mathbf{c}_\Omega$ ?
- ▶ Is  $\leq_{\text{ML}}$  an arithmetical relation?  
Are the ML-degrees of the  $K$ -trivials dense?
- ▶ Is there an incomplete  $\omega$ -c.a. ML-random above all the  $K$ -trivials?
- ▶ Is every  $C$ -trivial measure  $K$ -trivial?  
(The answer is yes in case  $K(C(n) \mid n, K(n))$  is bounded.  
I.e., there are finitely many options to compute  $C(n)$  from  $n$  and  $K(n)$ , with one successful.)

31 / 32

## Some references

- ▶ Biennu, Greenberg, Kučera, Nies, Turetsky: Coherent randomness tests and computing the  $K$ -trivial sets, JEMS 2016
- ▶ Greenberg, J. Miller, Nies: Computing from projections of random points, JML 2019
- ▶ Greenberg, J. Miller, Nies, Turetsky: Martin-Löf reducibility and cost functions. IJM to appear. arxiv 1707.00258
- ▶ Nies, A. and Stephan, F. Randomness and initial segment complexity for probability measures. TCS, 2021, arxiv 1902.07871

32 / 32