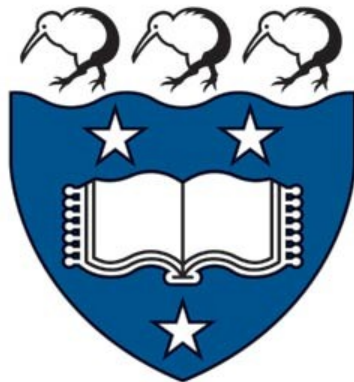


# Lowness, Randomness, and Computable Analysis

Computability in Europe 2016  
Pursuit of the Universal



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The University of Auckland  
July 2, 2016





# The setting of computability theory

The objects of study are infinite sequences of bits, identified with sets of natural numbers.

Computability theory studies their complexity.

Basic distinction: computable, or not.

A **lowness notion** provides a sense in which a sequence of bits is **close to** computable.



## Two examples of lowness notions

An oracle set  $A$  is **computably dominated** if functions that  $A$  computes don't grow too fast: every such function  $f$  is below a computable function  $g$ .

An oracle set  $A$  is **low** if the halting set relative to  $A$  has the least possible complexity:

$$A' \equiv_T \emptyset'$$



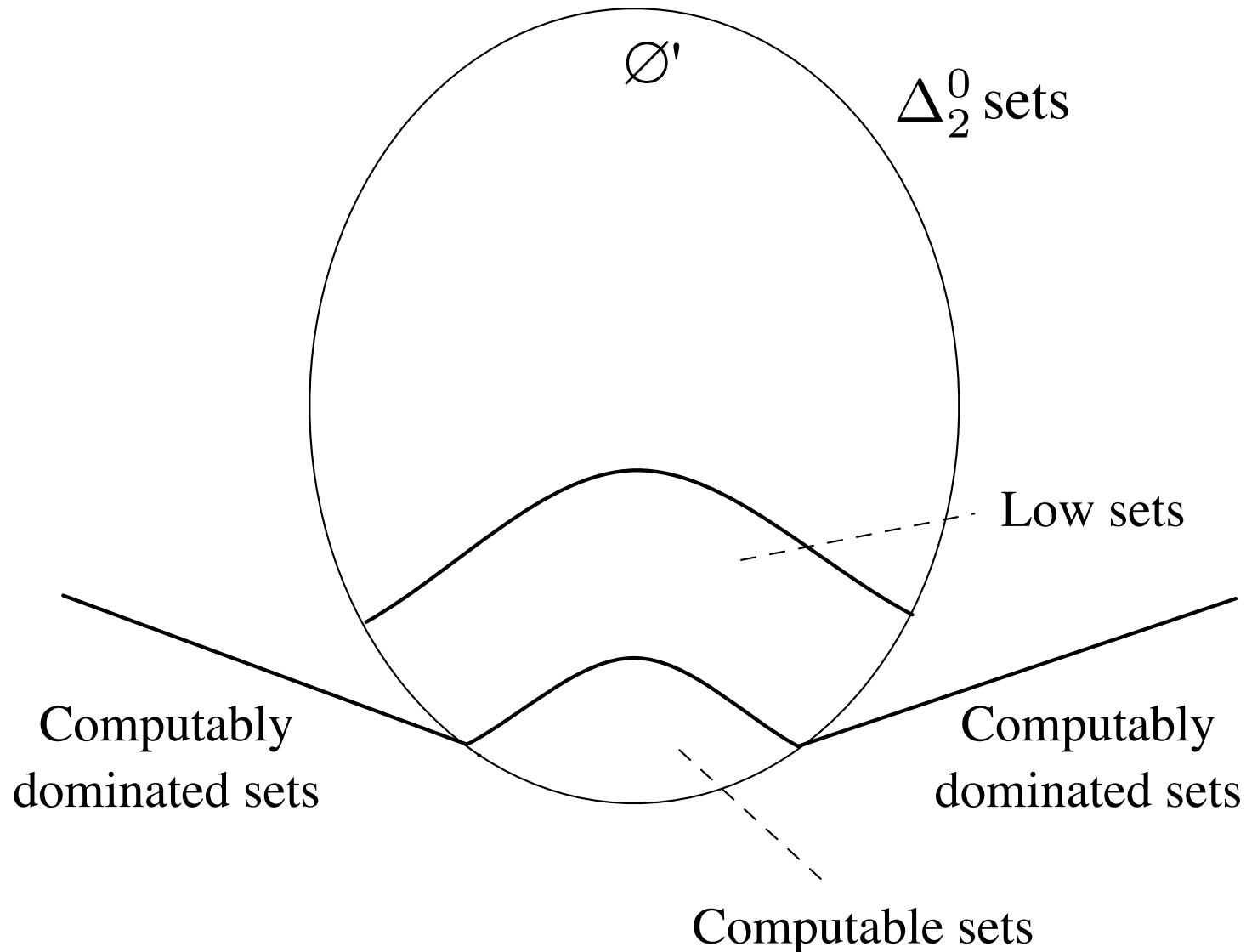
## These lowness notions are incompatible

A computably dominated oracle  $A$  cannot be Turing below the halting set, unless  $A$  is computable.

So being computably dominated and being low are **incompatible** outside the computable!



# Diagram of two lowness notions





Randomness — Lowness

K-triviality



## Kolmogorov complexity $C(x)$

- For a finite bit sequence  $x$ , let  $C(x)$  denote the shortest length of a compressed form of  $x$  (Solomonoff/Kolmogorov).
- We use a *universal de-compressor*  $U$ .
- $C(x)$  is the length of a shortest  $\sigma$  such that  $U(\sigma) = x$ .





# Prefix-free Kolmogorov complexity $K(x)$

An important modification of  $U$ : if  $\sigma, \tau$  are in the domain of  $U$ , then  $\tau$  does not extend  $\sigma$ .

The halting probability of  $U$  is

$$\Omega = \sum \{2^{-|\sigma|} : U(\sigma) \text{ halts}\}$$

$K(x)$  is the length of a shortest  $\sigma$  such that  $U(\sigma) = x$ .



If  $U$  has access to an oracle set  $A$  we write  $K^A(x)$



## Far-from-random sequences

A is **K-trivial** if for some number  $b$ ,  
 $K(A|n) \leq K(n) + b$  for each  $n$  (written in binary).

FACT: If  $A$  is computable, then  $A$  is K-trivial.

Solovay 1975:

Some  $A$  is K-trivial but not computable.



A is K-trivial



N., 2002

A is low for ML-randomness

every ML-random set is ML-random in A



N., 2002

A is low for K

$$\forall z K^A(z) =^+ K(z)$$



Hirschfeldt  
et al., 2006

A is Turing below some Z  
that is ML-random in A



... (12 more)



Greenberg  
et al., 2015

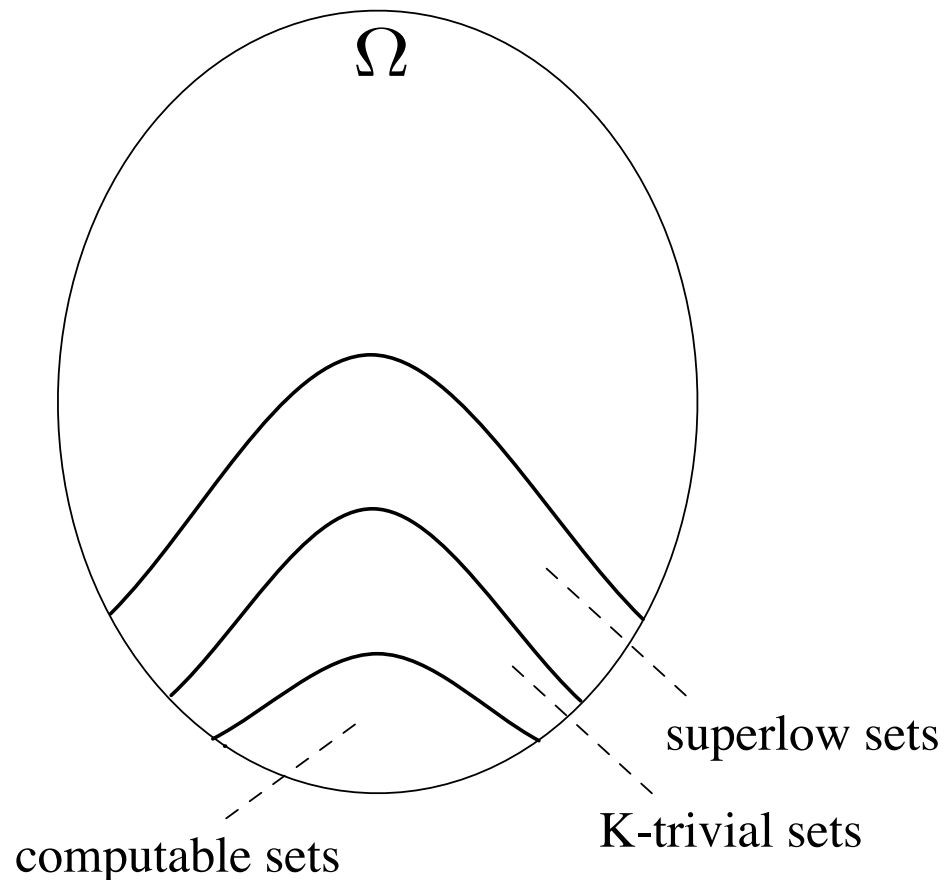
For each Y, if  $\Omega$  is Y-random  
then  $\Omega$  is Y+A-random



# Superlowiness

An oracle  $A$  is called **superlow** if  $A'$  (the halting problem relative to  $A$ ) is truth table equivalent to  $\emptyset'$ . This is stronger than lowness  $A' \equiv_T \emptyset'$ .

N. 2005: Every K-trivial set is superlow.





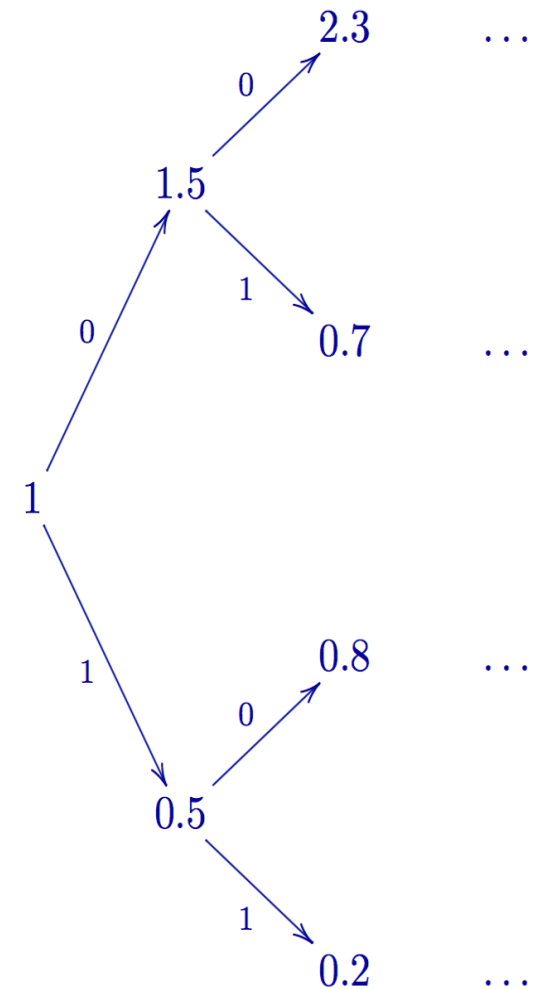
# Formalising randomness

A computable betting strategy  $M$  makes a guess for the next bit, betting an amount  $b$  of the available capital.

The bit is then revealed. If the guess was right,  $M$  gets  $b$ , otherwise  $M$  loses  $b$ .

Success of  $M$  on a bit sequence  $Z$  means the capital along  $Z$  is unbounded.

$Z$  is **betting random** (Schnorr, 1975) if no computable betting strategy succeeds on  $Z$ .





## Examples of computable betting strategies

- Some betting strategy can win on a sequence with asymptotically at least  $\varepsilon$  more 1s than 0s
- Another betting strategy can win on a sequence with infinitely many known 0s, e.g., 0 in each position of the form  $n!$



## Left-c.e. reals and betting strategies

A real  $\beta$  is called **left-computably enumerable** (**left-c.e.**) if  $\beta = \sup_s \beta_s$  for some computable sequence of rationals  $\langle \beta_s \rangle_{s \in \mathbb{N}}$ .

A betting strategy  $M$  is called **left-c.e.** if  $M = \sup_s M_s$  for some uniformly computable sequence of  $\langle M_s \rangle_{s \in \mathbb{N}}$  of betting strategies.

A bit sequence  $Z$  is **Martin-Löf random** if no left-c.e. betting strategy succeeds along  $Z$ .



# Diagram of randomness notions so far





Analysis



Randomness

Effective forms of “almost everywhere” theorems in analysis correspond to randomness notions.



## Lebesgue's theorem



Henri Lebesgue introduced a notion of measure (size) for certain sets of real numbers.

Measure on  $[0,1]$  can be used to express that a statement holds with probability one.

*Lebesgue, 1904:* Let  $f$  be an increasing function with domain  $[0,1]$ .  
Then  $f'(z)$  exists at a real  $z$  with probability 1.



# Algorithmic forms of Lebesgue's theorem I

A **real number**  $z$  is called **betting-random** if no effective betting strategy succeeds on the binary expansion of the real.

A function  $f$  defined on  $[0,1]$  is computable if from a rational approximation to  $x$  we can compute one to  $f(x)$ .

Brattka, Miller, N., 2011 (Trans. AMS, 2016):

A real  $z$  is betting random if and only if

$f'(z)$  exists for each increasing  
computable function  $f$ .



# Algorithmic forms of Lebesgue's theorem II

A function  $f: [a, b] \rightarrow \mathbb{R}$  is of bounded variation if

$$V(f) = \sup \sum_{i=1}^{n-1} |f(t_{i+1}) - f(t_i)| < \infty,$$

the sup taken over all collections  $t_1 \leq t_2 \leq \dots \leq t_n$  in  $[a, b]$ .

Demuth 1975/ Brattka, Miller, N., 2011

A real  $z$  is ML-random if and only if

$f'(z)$  exists for each computable function  $f$   
of bounded variation.



# Algorithmic forms of Lebesgue's theorem II

A real number  $z$  is called **polynomial time betting random** if no polynomial time betting strategy succeeds on the binary expansion of the real.

N., STACS 2014:

A real  $z$  is p.t.b. random if and only if  
 $f'(z)$  exists for each increasing  
polynomial time function  $f$



# Lebesgue Density Theorem

Let  $\lambda$  denote Lebesgue's measure on  $[0,1]$ .

Let  $E$  be a measurable subset of  $[0,1]$ .

The lower density of  $E$  at  $z$  is

$$\underline{\rho}(E | z) = \liminf_{J \ni z, |J| \rightarrow 0} \frac{\lambda(J \cap E)}{|J|},$$

where  $J$  ranges over intervals. This measures how much of  $E$  is near  $z$ , as one zooms in on  $z$ .

*Lebesgue, 1910:*

Let  $E$  be measurable. For almost every  $z$  in  $E$ , the set  $E$  has lower density  $1$  at  $z$ .



# A ML-random failing effective Lebesgue Density Theorem

Recall: Let  $E$  be measurable. For almost every  $z$  in  $E$ , the set  $E$  has lower density 1 at  $z$ .

- $\Omega$  denotes Chaitin's halting probability, a ML-random real that is left-computably enumerable.
- Let  $E = [\Omega, 1]$ . Then  $E$  is an effectively closed set.
- $\Omega$  is in  $E$  but the lower density of  $E$  at  $\Omega$  is 0.



Lowness



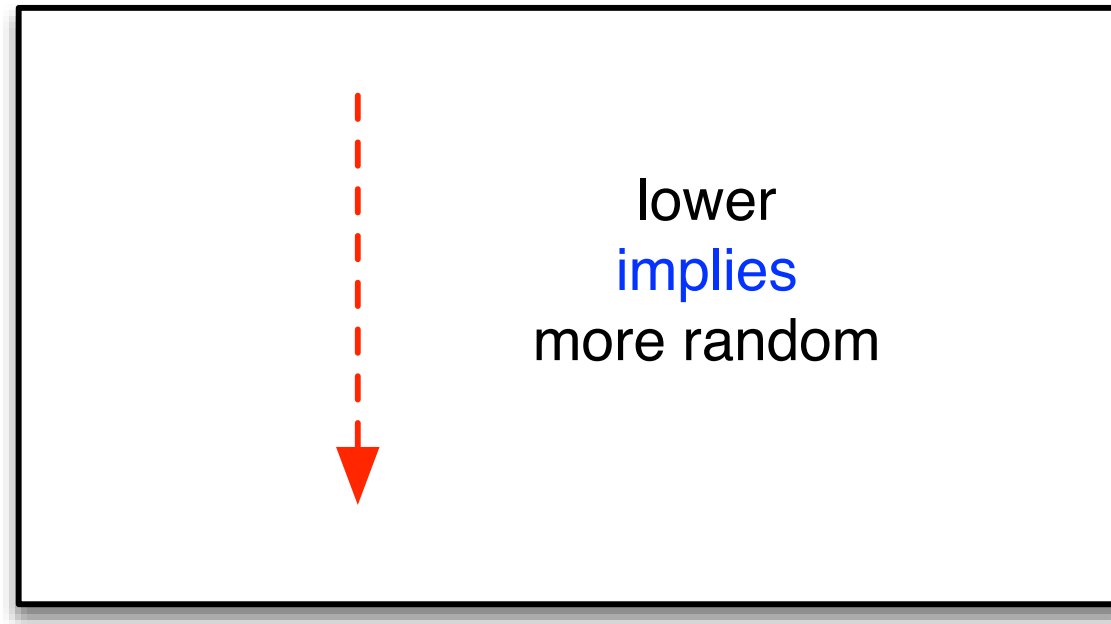
Randomness

Lowness calibrates randomness notions.



# Randomness increases with lowness

given randomness notion



- If  $Z$  is betting random and not high, then  $Z$  is ML-random.
- If  $Z$  is ML-random and forms a Turing minimal pair with the halting problem, then  $Z$  is weakly 2-random.



# Randomness increases with lowness

Franklin and Ng (2012) introduced difference randomness via an algorithmic test notion. They showed that it coincides with: ML random and not Turing above the halting problem.

Difference tests were later emulated by density:

Bienvenu, Hölzl, Miller, N., JML 2014:

Let  $z$  be ML-random. Then  $z$  is not above the halting problem (computationally weak) iff every effectively closed  $E$  containing  $z$  has positive lower density at  $z$  (a stronger randomness condition on  $z$ ).



# A difference random failing effective Lebesgue Density Theorem

Day and Miller, 2014

There is a ML-random  $Z$  below the halting problem such that

- every effectively closed set containing  $Z$  has positive lower density at  $Z$
- some effectively closed set containing  $Z$  has lower density less than 1 at  $Z$ .



## Thm. of Madison group + Myabe-N.-Zhang

The following are equivalent for a real  $z$  with binary expansion  $0.Z$ :

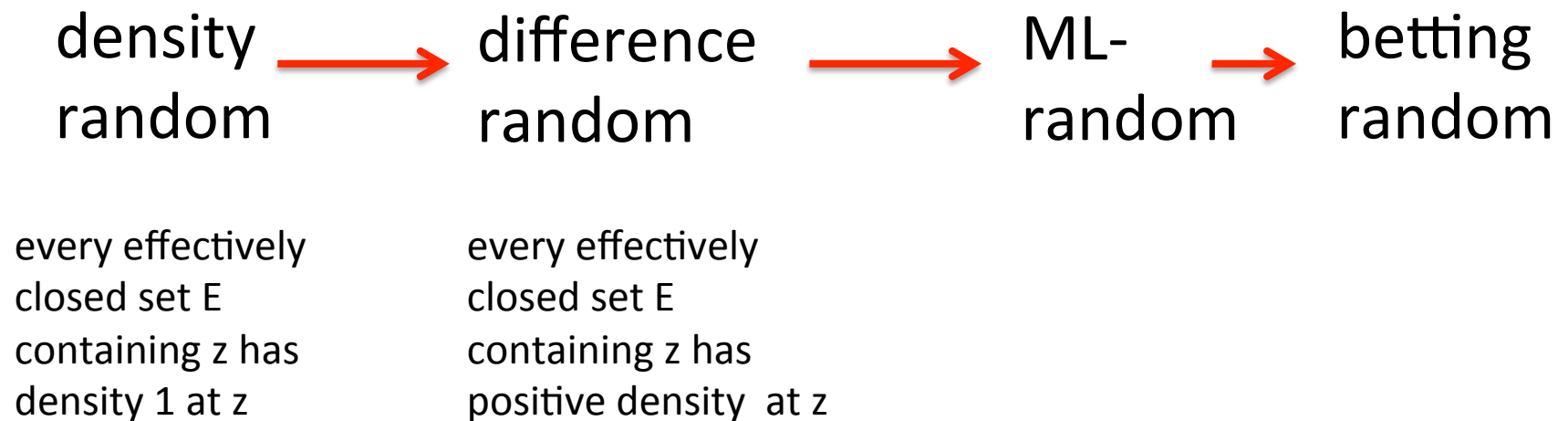
- $z$  is ML-random and every effectively closed set  $E$  containing  $z$  has density 1 at  $z$  ( $z$  is density random)
- every left-c.e. betting strategy converges along  $Z$
- $f'(z)$  exists for every function  $f$  that is the variation of a computable function
- for each lower semicomputable integrable  $g$  we have

$$g(z) = \lim_{Q \rightarrow z} \frac{1}{|Q|} \int_Q g d\lambda \quad \text{where } Q \text{ ranges over intervals containing } z.$$

The Madison group (2012) consisted of Andrews, Diamondstone, Lempp, Miller, and Ng. This theorem is published in M-N-Z 2016 BSL paper.



# Diagram of randomness notions (growing)





## Tests given by effectively open sets

A subset  $N$  of  $[0,1]$  is a null set iff for each  $\varepsilon$ , there is an open set  $G$  containing  $N$  with  $\lambda(G) \leq \varepsilon$ .

A **test** is a descending sequence  $\langle G_m \rangle_{m \in \mathbb{N}}$  of sets that are effectively open uniformly in  $m$ , such that  $\lim_m \lambda(G_m) = 0$ .

Effectively open means:  
union of an effective list of rational open intervals.



## Left-c.e. tests and Martin-Löf test

Effectivise condition that  $\lim_m \lambda(G_m) = 0$ .

Left-c.e. test:  $\lambda(G_m) \leq \beta - \beta_m$

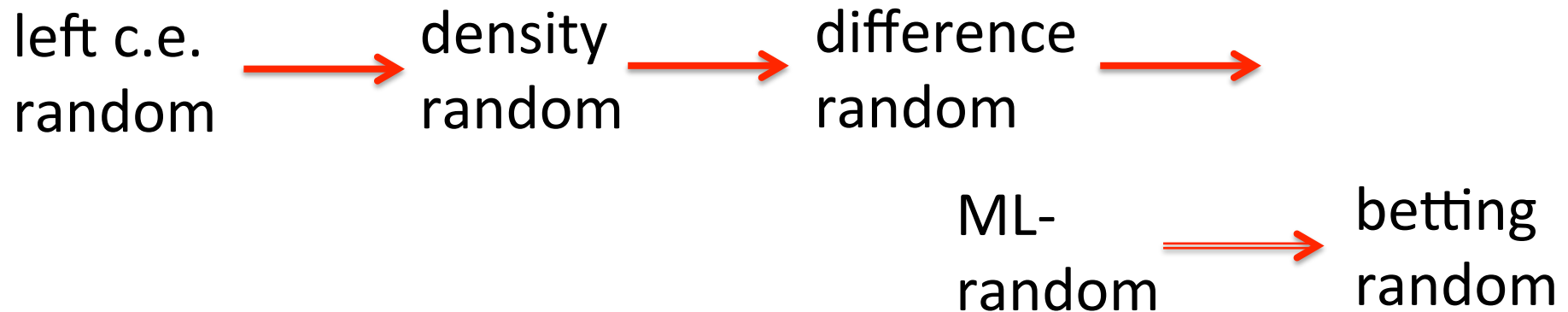
where  $\langle \beta_m \rangle$  is computable sequence of rationals  
with  $\beta = \sup_m \beta_m < 1$ .

Martin-Löf test:  $\lambda(G_m) \leq 2^{-m}$ .

$z$  is ...- random if  $z \notin \bigcap_m G_m$  for any test of this kind.



# Diagram of randomness notions (finished)



Is left-c.e. random = density random?



Analysis



Randomness



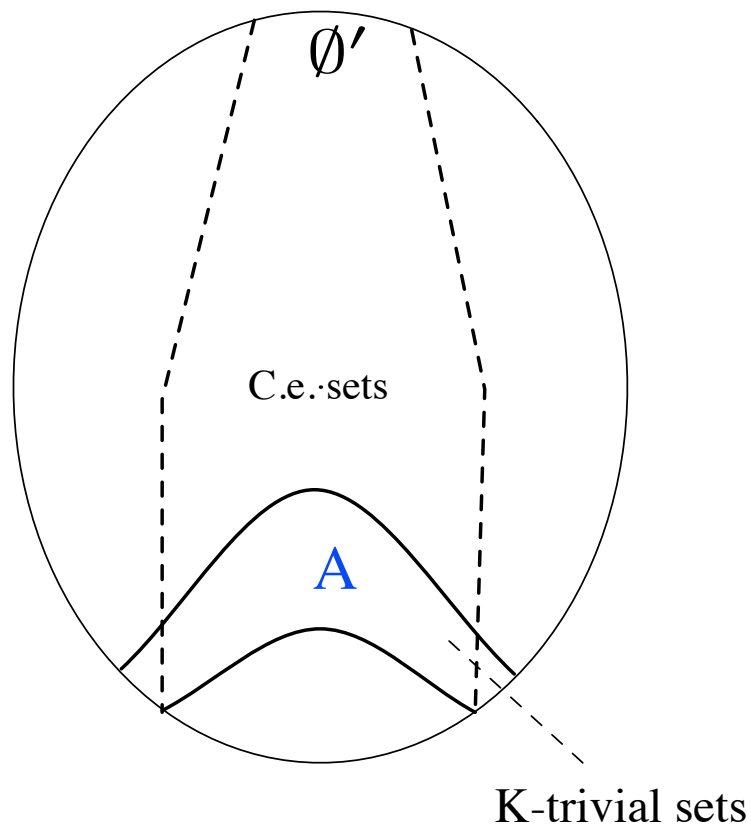
Lowness

New insights of analysis about randomness  
help to study lowness.



# Covering problem

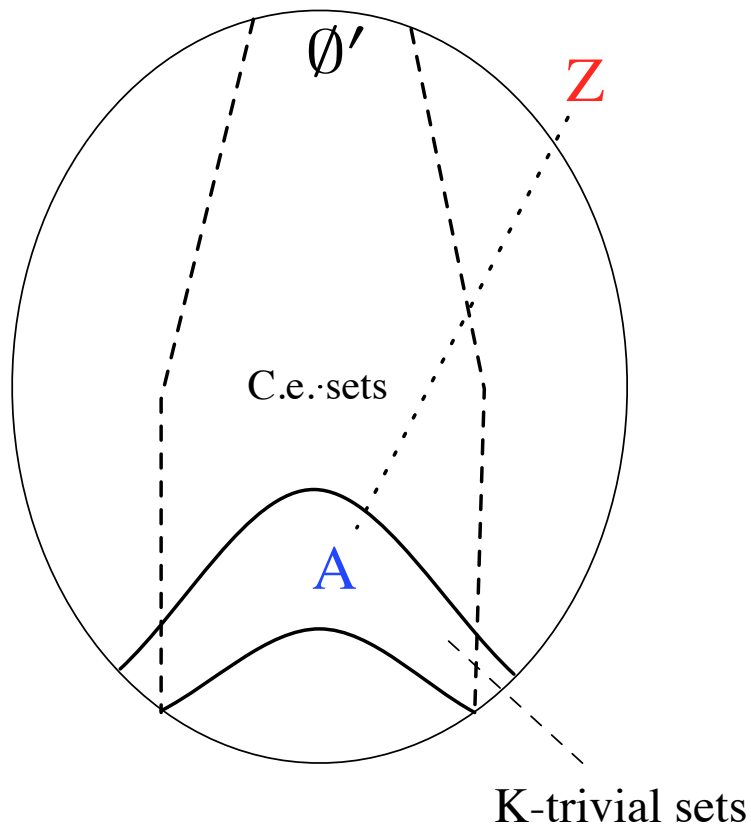
Stephan 2004; Miller-N.: Turing above each K-trivial  $A$ , is there an incomplete ML-random  $Z$ ?





# Covering problem

Stephan 2004; Miller-N.: Turing above each K-trivial  $A$ , is there an incomplete ML-random  $Z$ ?



This asked for a converse to known result (Hirschfeldt et al. 04):

If  $A$  is c.e. and below a Turing incomplete ML-random  $Z$ , then  $A$  is K-trivial.



# Covering problem

Stephan 2004; Miller-N. 2006: Turing above each K-trivial  $A$ , is there an incomplete ML-random  $Z$ ?

Day- Miller theorem on density, and the following two results were needed to resolve the covering problem.

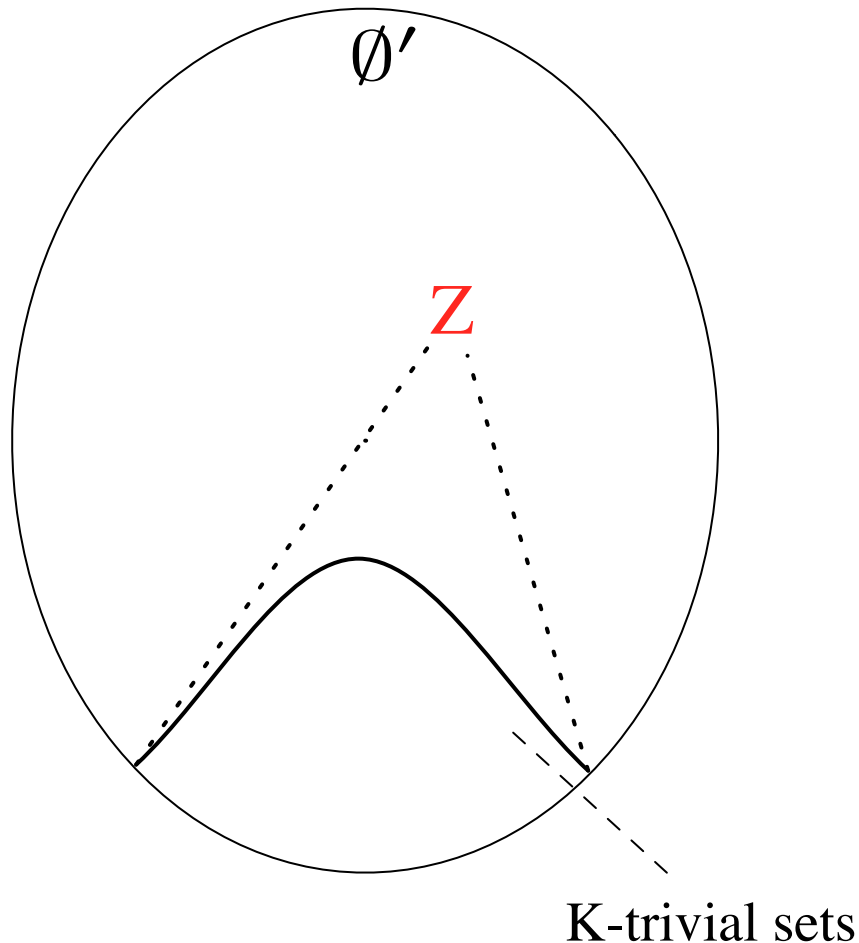
Bienvenu, Greenberg, Kucera, N., Turetsky:

(1) Let  $Z$  be ML-random and not left-c.e. random. Then  $Z$  is Turing above all the K-trivials.

(2) If  $Z$  is left-c.e. random, then  $Z$  is density random.



# Strong solution to the covering problem



Day and Miller showed that some Turing incomplete ML-random  $Z$  is not density random.

Combining this with the results of the OW group,  $Z$  is not left-c.e. random, so it is Turing above all the K-trivials.

Is left-c.e. random = density random?



Analysis



Lowness

Concepts from analysis  
parameterise lowness notions.



# Parameterising lowness notions I: cost functions



## Definition of cost function

A real  $\beta \geq 0$  is called left-computably enumerable (left-c.e.) if  $\beta = \sup_s \beta_s$  for some computable sequence of rationals  $\langle \beta_s \rangle_{s \in \mathbb{N}}$ .

A **cost function** is a sequence  $\langle c(x) \rangle_{x \in \mathbb{N}}$  of uniformly left-c.e. reals that converges to 0.

Example:  $c_\Omega(x) = \Omega - \Omega_x$ .

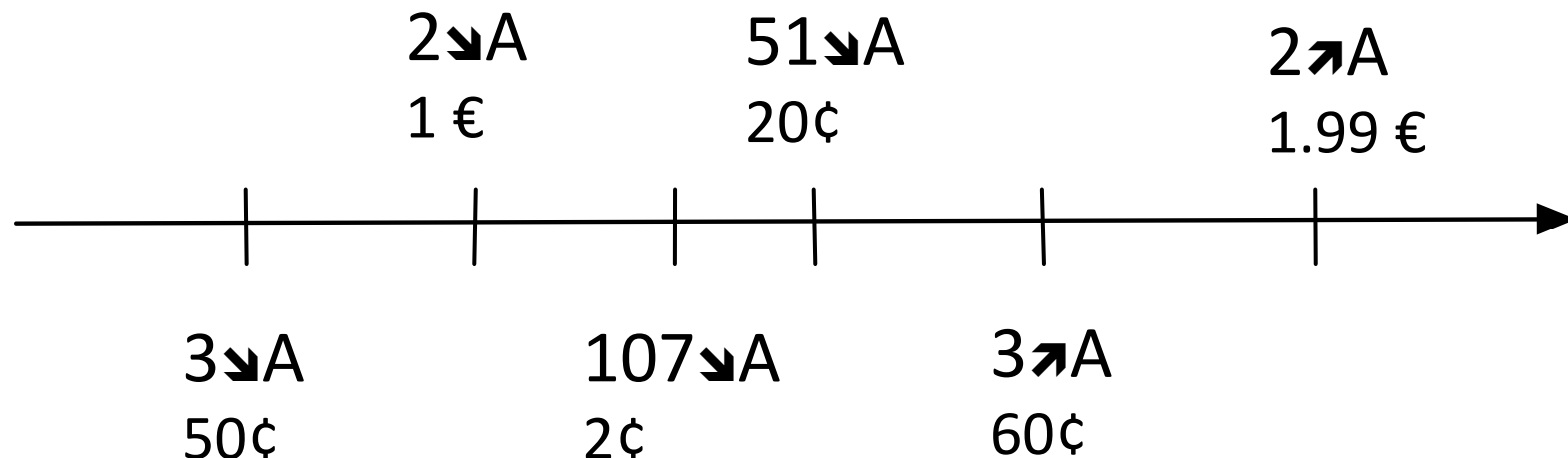
Here  $\Omega_x$  is the measure of U-computations that have converged by stage  $x$ .



## Obedience to cost functions

When building a computable approximation  $A(x) = \lim_s A_s(x)$ , we view  $c_s(x)$  as the cost of changing  $A(x)$  at stage  $s$ .

A **obeys**  $c$  if for some approximation, the changes over all stages  $s$  have a finite total cost. (We only count the least change at each stage.)





# Existence theorem

Recall: a set  $A$  **obeys** a cost function  $c$  if for some computable approximation, the changes over all stages  $s$  have a finite total cost.

Thm: For each cost function  $c$  there is a c.e., noncomputable set  $A$  that obeys  $c$ .

Proof idea: If at stage  $s$  we see an  $x \geq 2^e$  in the  $e$ -th c.e. set  $W_e$  such that  $c(x, s) \leq 2^{-e}$  put  $x$  into  $A$ .  
Some “bookkeeping” is needed.



# Cost function characterisation of K-triviality

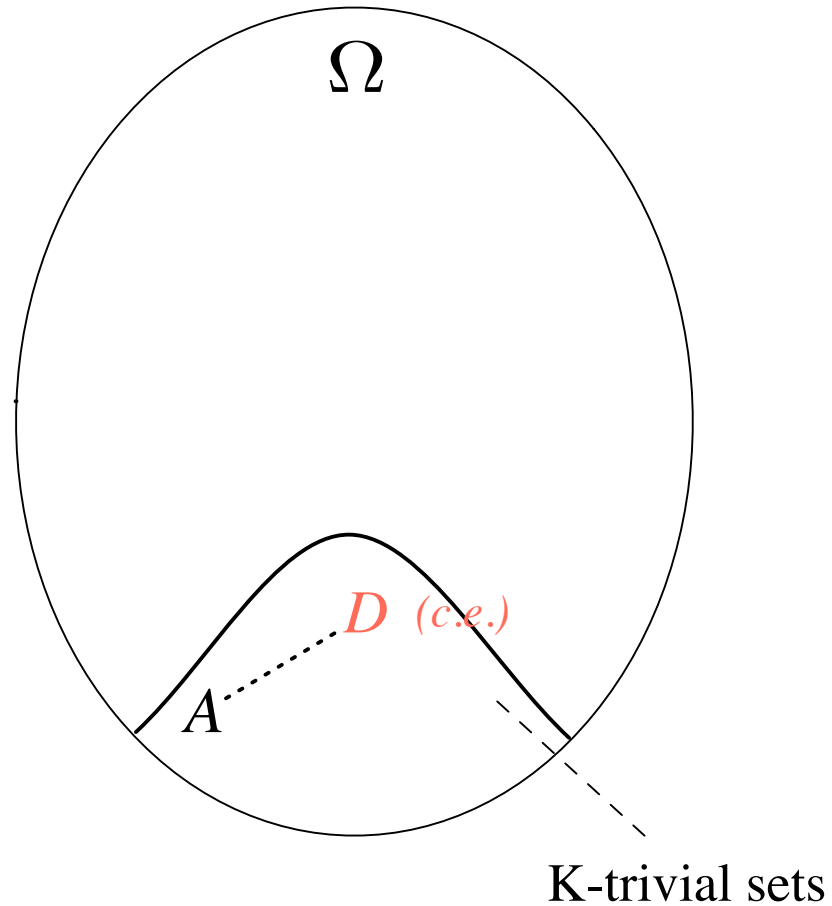
Recall:  $c_{\Omega}(x) = \Omega - \Omega_x.$

Thm (N. 2011) : A is K-trivial iff A obeys  $c_{\Omega}.$

Earlier version 2005 with a different (less neat) cost function.



As a consequence, every K-trivial  $A$  is truth-table below some c.e. K-trivial (N., 2005)





## A dense hierarchy of ideals of K-trivials

Cost functions parameterise a dense hierarchy of subideals of the K-trivials. For a positive rational  $q < 1$  let  $c_{\Omega,q}(x) = (\Omega - \Omega_x)^q$ .

Thm. (Greenberg, Miller and N. 2015)

Let  $q = k/n < 1$ . Then a set  $A$  is Turing below every  $k$  out of  $n$  “columns” of some ML-random oracle  $Z$  **iff**  $A$  obeys  $c_{\Omega,q}$ .

Ideal given by  $c_{\Omega,q}$  gets bigger as  $q$  increases.



## Tests bounded by cost functions

Let  $c$  be a cost function.

A test  $\langle G_m \rangle_{m \in \mathbb{N}}$  is called a **c-test** if

$$\lambda(G_m) = O(c(m))$$

$Z$  is **c-random** if  $Z$  passes each c-test.

Left-c.e. random is the same as  $c_\Omega$ -random.

If  $A$  obeys  $c$  then  $A$  is Turing below each ML-random that fails to be c-random.



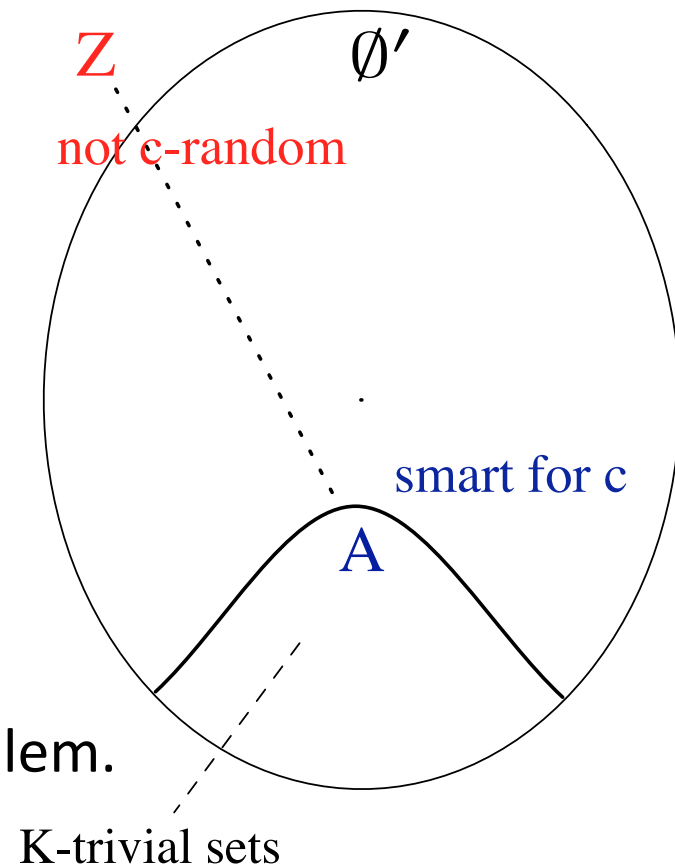
# Smart sets for cost functions

Bienvenu et al. (now in JEMS, 2016) built a c.e. K-trivial  $A$  such that no set  $Z$  above  $A$  is left-c.e. random. This was generalised to all larger cost functions in place of  $c_\Omega$  :

Thm. (Greenberg, Miller, N. and Turetsky)

For each cost fcn  $c \geq c_\Omega$   
there is a c.e. set  $A$  obeying  $c$  such that no set  $Z$  above  $A$  is  $c$ -random.

The construction of  $A$  provides a requirement-free solution to Post's problem.





# Parameterising lowness notions II:

## Hausdorff distance to the computable sets



The **upper density** of a bit sequence  $Z$  is

$$\bar{\eta}(Z) = \limsup_n \frac{|Z \cap [0, n)|}{n}.$$

Besicovich pseudo-distance on Cantor space:

$$d(U, W) = \bar{\eta}(U \Delta W).$$

The Hausdorff distance between the Turing cone below  $A$  and the computable sets measures how close  $A$  is to computable.

Let  $\mathcal{A} = \{Y : Y \leq_T A\}$  and  $\mathcal{R}$  the computable sets.

$$d_H(\mathcal{A}, \mathcal{R}) = \sup_{Y \in \mathcal{A}} \inf_{R \in \mathcal{R}} d(Y, R)$$

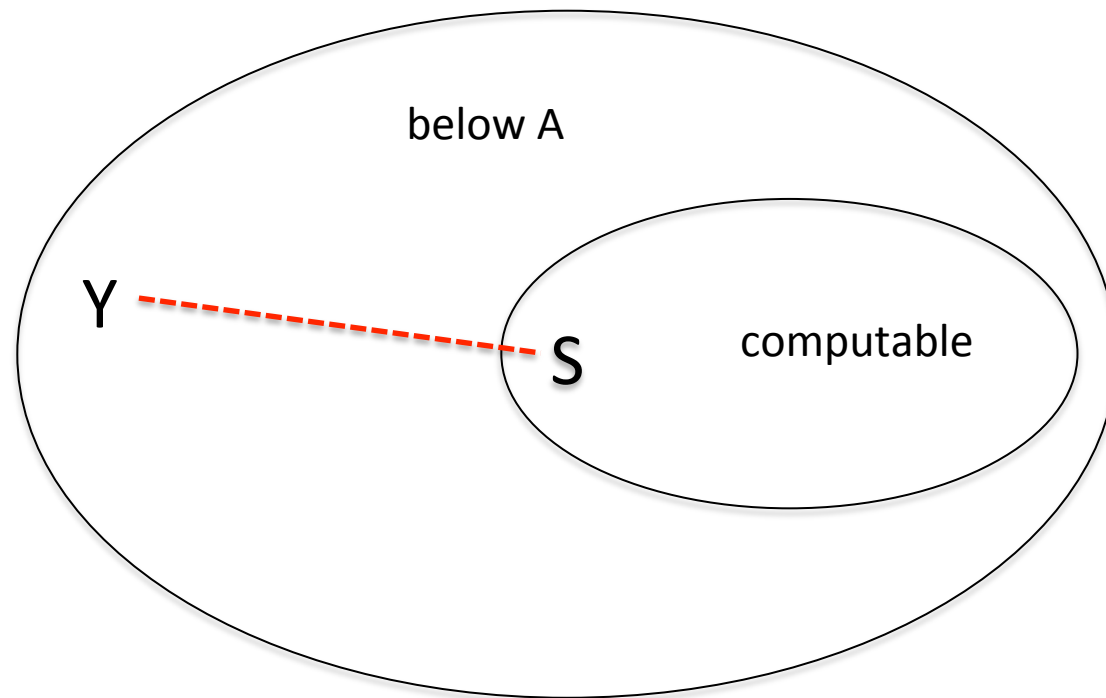


# Hausdorff distance gauges lowness of A

$$\mathcal{A} = \{Y : Y \leq_T A\}$$

$\mathcal{R}$  = computable

$$d_H(\mathcal{A}, \mathcal{R}) = \sup_{Y \in \mathcal{A}} \inf_{S \in \mathcal{R}} d(Y, S) \quad \text{Hausdorff distance.}$$





Values: 0,  $\frac{1}{2}$ , 1, and no others

$$L(A) = d_H(\mathcal{A}, \mathcal{R}) = \sup_{Y \in \mathcal{A}} \inf_{S \in \mathcal{R}} d(Y, S)$$

Andrews et al., 2013:

- $L(A) < \frac{1}{2}$  iff  $L(A)=0$  iff  $A$  is computable
- $L(A)=\frac{1}{2}$  is possible
- $L(A)=1$  is possible.



Values: 0,  $\frac{1}{2}$ , 1, and no others

$$L(A) = d_H(\mathcal{A}, \mathcal{R}) = \sup_{Y \in \mathcal{A}} \inf_{S \in \mathcal{R}} d(Y, S)$$

Monin, Nies, LICS 2015:

If every Schnorr test relative to  $A$  is passed by a computable set, then  $L(A) = \frac{1}{2}$ .

If  $A$  computes a function  $< 2^{2^n}$  that is infinitely often equal to each computable function, then  $L(A) = 1$

Monin:

$L(A) > \frac{1}{2}$  implies  $L(A) = 1$ , and is equivalent to the latter condition. This solved the “Gamma question”.



# Conclusion

Lowness and randomness interact in both directions: **lower means more random, K-trivials**

Analysis can be used to study randomness (**via differentiability and density**), and indirectly lowness (**solution to covering problem**)

Analytic concepts can also be used to directly calibrate lowness (**cost functions,  $L(A)$** )



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