

A unifying approach to the Gamma question

Benoit Monin

André Nies



LICS 2015, Kyoto

Lowness paradigms

Given a set $A \subseteq \mathbb{N}$. How close is A to being computable?

Several paradigms have been suggested and studied.

- ▶ A has little power as a Turing oracle.
- ▶ Many oracles compute A .

A recent paradigm: A is **coarsely computable**.

This means there is a computable set R such that the asymptotic density of $\{n : A(n) = R(n)\}$ equals 1.

Reference: Downey, Jockusch, and Schupp, *Asymptotic density and computably enumerable sets*, *Journal of Mathematical Logic*, 13, No. 2 (2013)

The γ -value of a set $A \subseteq \mathbb{N}$

A computable set R tries to approximate a complicated set A :

A : 100100100100 000101001001 010101111010 101010100111

R : 000010110111 010101000101 010001011010 101010100111

$\approx 1/2$ correct

$\approx 2/3$ correct

$\approx 3/4$ correct

$\approx 4/5$ correct

Take sup of the asymptotic correctness over all computable R 's:

$$\gamma(A) = \sup_{R \text{ computable}} \underline{\rho}\{n : A(n) = R(n)\}$$

$$\text{where } \underline{\rho}(Z) = \liminf_n \frac{|Z \cap [0, n]|}{n}.$$

Some examples of values $\gamma(A)$

Recall

$$\gamma(A) = \sup_{R \text{ computable}} \underline{\rho}\{n: A(n) = R(n)\}$$

$$\text{where } \underline{\rho}(Z) = \liminf_n \frac{|Z \cap [0, n]|}{n}.$$

Some possible values

$$A \text{ computable} \Rightarrow \gamma(A) = 1$$

$$A \text{ random} \Rightarrow \gamma(A) = 1/2.$$

Γ -value of a Turing degree

Andrews, Cai, Diamondstone, Jockusch and Lempp (2013) looked at Turing degrees, rather than sets. They defined

$$\Gamma(A) = \inf\{\gamma(B) : B \text{ has the same Turing degree as } A\}.$$

A smaller Γ value means that A is further away from computable.

Example

An oracle A is called **computably dominated** if every function that A computes is below a computable function. *They show:*

- ▶ If A is random and computably dominated, then $\Gamma(A) = 1/2$.
- ▶ If A is not computably dominated then $\Gamma(A) = 0$.

$\Gamma(A) > 1/2$ implies $\Gamma(A) = 1$

Fact (Hirschfeldt et al., 2013)

If $\Gamma(A) > 1/2$ then A is computable (so that $\Gamma(A) = 1$).

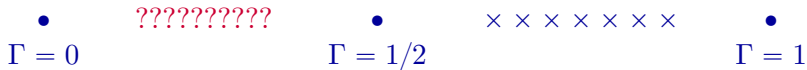
Idea:

- ▶ Obtain B of the same Turing degree as A by “padding”:
- ▶ “Stretch” the value $A(n)$ over the whole interval $I_n = [(n-1)!, n!]$.
- ▶ Since $\gamma(B) > 1/2$ there is a computable R agreeing with B on more than half of the bits in almost every interval I_n .
- ▶ So for almost all n , the bit $A(n)$ equals the majority of values $R(k)$ where $k \in I_n$.

The Γ -question

Question (Γ -question, Andrews et al., 2013)

Is there a set $A \subseteq \mathbb{N}$ such that $0 < \Gamma(A) < 1/2$?



New examples towards answering the question

Recall: Γ -question, Andrews et al., 2013

Is there a set $A \subseteq \mathbb{N}$ such that $0 < \Gamma(A) < 1/2$?

Summary of previously known examples:

$\Gamma(A) = 0$	A non computably dominated or A PA
$\Gamma(A) = 1/2$	A low for Schnorr; A random & comp. dominated
$\Gamma(A) = 1$	A computable

- ▶ Towards answering the question, we obtain natural classes of oracles with Γ value $1/2$, and with Γ value 0 .
- ▶ This yields new examples for both cases.

Weakly Schnorr engulfing

- ▶ We view oracles as infinite bit sequences, that is, elements of Cantor space $2^{\mathbb{N}}$.
- ▶ A Σ_1^0 set has the form $\bigcup_i [\sigma_i]$ for an effective sequence $\langle \sigma_i \rangle_{i \in \mathbb{N}}$ of strings. $[\sigma]$ denotes the sequences extending σ .
- ▶ A **Schnorr test** is an effective sequence $(S_m)_{m \in \mathbb{N}}$ of Σ_1^0 sets in $2^{\mathbb{N}}$ such that
 - each λS_m is a computable real uniformly in m
 - $\lambda S_m \leq 2^{-m}$. (λ is the usual uniform measure on $2^{\mathbb{N}}$.)
- ▶ **Fact:** $\bigcap_m S_m$ fails to contain all computable sets.

We can relativize these notions to an oracle A .

We say that A is **weakly Schnorr engulfing** if A computes a Schnorr test containing all the computable sets.

This highness property of oracles was introduced by Rupprecht (2010), in analogy with 1980s work in set theory (cardinal characteristics).

Examples of A such that $\Gamma(A) \geq 1/2$

- ▶ The two known properties of A implying $\Gamma(A) \geq 1/2$ were:
 - (1) Computably dominated random, and
 - (2) low for Schnorr test:
every A -Schnorr test is covered by a plain Schnorr test.
- ▶ Both properties imply non-weakly Schnorr engulfing.
- ▶ There is a **non-weakly Schnorr engulfing set** without any of these properties. (Kjos-Hanssen, Stephan and Terwijn, 2015).

So the following result yields new examples, answering Question 5.1 in Andrews et al.

Theorem

Let A be not weakly Schnorr engulfing. Then $\Gamma(A) \geq 1/2$.

Proof: Given $B \leq_T A$ and rational $\epsilon > 0$, build an A -Schnorr test so that any set R passing it approximates B with asymptotic correctness $\geq 1/2 - \epsilon$. This uses Chernoff bounds.

Characterization of w.S.e. via traces

An obvious question is whether conversely, $\Gamma(A) \geq 1/2$ implies that A is not weakly Schnorr engulfing. We characterised w.S.e. towards obtaining an answer. Again this is analogous to earlier work in cardinal characteristics.

Let $H : \mathbb{N} \mapsto \mathbb{N}$ be computable with $\sum 1/H(n)$ finite.

$\{T_n\}_{n \in \omega}$ is a *small computable H -trace* if

- ▶ T_n is a uniformly computable finite set
- ▶ $\sum_n |T_n|/H(n)$ is finite and computable.

Theorem

A is weakly Schnorr engulfing iff for some computable function H , there is an A -computable small H -trace capturing every computable function bounded by H .

Version of Γ in computational complexity

Fix an alphabet Σ . For $Z, A \subseteq \Sigma^*$ let

$$\underline{\rho}(Z) = \liminf_n \frac{|Z \cap \Sigma^{\leq n}|}{|\Sigma^{\leq n}|}$$

$$\gamma_{\text{poly}}(A) = \sup_{R \text{ poly time computable}} \underline{\rho}(\{w: A(w) = R(w)\})$$

$$\Gamma_{\text{poly}}(A) = \inf\{\gamma_{\text{poly}}(B): B \equiv_T^p A\}.$$

- ▶ The basic facts from computability used above need to be re-examined in the context of complexity theory.
- ▶ We only know at present that the values $\Gamma_{\text{poly}}(A)$ can be each of $0, \frac{1}{|\Sigma|}, 1$.

Examples of $\Gamma(A) = 0$: infinitely often equal

We know that $A \subseteq \mathbb{N}$ not computably dominated implies $\Gamma(A) = 0$.

- ▶ We say $g : \mathbb{N} \rightarrow \mathbb{N}$ is **infinitely often equal (i.o.e.)** if $\exists^\infty n f(n) = g(n)$ for each computable function $f : \mathbb{N} \rightarrow \mathbb{N}$.
- ▶ We say that $A \subseteq \mathbb{N}$ is **i.o.e.** if A computes function g that is i.o.e.

Surprising fact: A is i.o.e $\Leftrightarrow A$ not computably dominated.

\Rightarrow Suppose A computes a function g that equals infinitely often to every computable function. Then no computable function bounds g .

\Leftarrow *Idea.* Suppose A computes a function g that is dominated by no computable function. Then g is infinitely often above the halting time of any computable total function.

New Examples of $\Gamma(A) = 0$: weaken infinitely often equal

We know A not computably dominated implies $\Gamma(A) = 0$.

Recall

We say that A is infinitely often equal (i.o.e.) if A computes a function g such that $\exists^\infty n f(n) = g(n)$ for each computable function $f : \mathbb{N} \rightarrow \mathbb{N}$.

We can weaken this:

Let $H : \mathbb{N} \rightarrow \mathbb{N}$ be computable. We say that A is H -infinitely often equal if A computes a function g such that $\exists^\infty n f(n) = g(n)$
for each computable function f bounded by H .

This appears to get harder for A the faster H grows.

New example of $\Gamma(A) = 0$

Let $H: \mathbb{N} \rightarrow \mathbb{N}$ be computable. We say that $A \subseteq \mathbb{N}$ is H -infinitely often equal if A computes a function g such that $\exists^\infty n f(n) = g(n)$ for each computable function f bounded by H .

Theorem

Let A be $2^{(\alpha^n)}$ -i.o.e. for some $\alpha > 1$.

Then $\Gamma(A) = 0$.

Previously known examples of sets A with $\Gamma(A) = 0$:

- ▶ not computably dominated, and
- ▶ degree of a completion of Peano arithmetic (PA for short).

If A is in one of these classes, for any computable bound H , A can compute an H -i.o.e. function.

Given a computable $H \geq 2$, we can build an H -i.o.e. set A that is computably dominated, and not PA. So we have a new example of $\Gamma(A) = 0$ (using Rupprecht (2010)).

New example of $\Gamma(A) = 0$

(Recall: A is H -infinitely often equal if A computes a function g such that $\exists^\infty n f(n) = g(n)$ for each computable function f bounded by H .)

Theorem

Let A be $2^{(\alpha^n)}$ -i.o.e. for some computable $\alpha > 1$.

Then $\Gamma(A) = 0$.

Proof sketch. First step: Let f be $2^{(\alpha^n)}$ -i.o.e. Then for any $k \in \mathbb{N}$, f computes a function g that is $2^{(k^n)}$ -i.o.e.

$f(0) f(1) f(2) f(3) f(4) f(5) \dots$ i.o.e. every comp. funct. $\leq 2^{(\alpha^n)}$

$\rightarrow f(0)f(2)f(4)\dots$ i.o.e. every comp. funct. $\leq n \mapsto 2^{(\alpha^{2n})}$
or $f(1)f(3)f(5)\dots$ i.o.e. every comp. funct. $\leq n \mapsto 2^{(\alpha^{2n+1})}$

Iterating this $\rightarrow f \geq_T g$ which i.o.e. every comp. funct. $\leq 2^{(k^n)}$

Proof sketch. Second step: g is $2^{(k^n)}$ -i.o.e. implies $g \geq_T Z$ with $\Gamma(Z) \leq 1/k$.

$$\begin{array}{cccccc}
 & g(0) & g(1) & \dots & g(n) & \dots \\
 & = & = & \dots & = & \dots \\
 Z : & \underbrace{\sigma_0}_{|\sigma_0|=k^0} & \underbrace{\sigma_1}_{|\sigma_1|=k^1} & \dots & \underbrace{\sigma_n}_{|\sigma_n|=k^n} & \dots \\
 \\
 \text{Computable } R : & \tau_0 & \tau_1 & \dots & \tau_n & \dots \\
 & & & \downarrow \text{(bit flip)} & & \\
 \bar{R} : & \bar{\tau}_0 & \bar{\tau}_1 & \dots & \bar{\tau}_n & \dots \\
 & = & = & = & = & \\
 & j(0) & j(1) & \dots & j(n) & \dots
 \end{array}$$

j equals g infinitely often. Then for infinitely many n , $\tau_n(i) \neq \sigma_n(i)$ everywhere. We have

$$|\tau_n| \geq (k-1) \sum_{i < n} |\tau_i|$$

Then the \liminf of fraction of places where R agrees with Z is bounded by $1/k$.

Infinitely often equal: hierarchy

It is interesting to study infinite often equality for its own sake.

Question

Let H be a computable bound. Can we always find $H' \gg H$ such that some f is H -i.o.e. but f computes no function that is H' -i.o.e. ?

First step : What about H -i.o.e. for H constant?

X computable $\rightarrow X$ not 2-i.o.e. $\rightarrow X$ not c -i.o.e. for $c \in \mathbb{N}$

X not 2-i.o.e. $\rightarrow X$ computable.

X not 3-i.o.e. $\rightarrow ?$

$Z \in 2^{\mathbb{N}} : 0010101000100100101$

R computable : 1101010111011011010

$Z \in 3^{\mathbb{N}} : 0210122002100102122$

R computable : 1102010111011211210

Infinitely often equal: constant bound

For any $c \in \mathbb{N}$, we can show X not c -i.o.e. $\rightarrow X$ computable.

Let $c = 3$.

For $Z \in 2^\omega$, let $\#_2^Z : \omega^2 \rightarrow \omega$ the function which on $a, b \in \mathbb{N}$ returns $|Z \cap \{a, b\}|$. Note that $\#_2^Z$ can take three different values : 0, 1 and 2.

Theorem (Kummer)

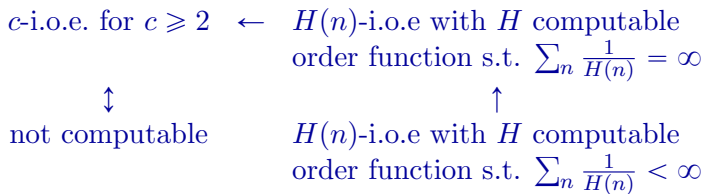
Suppose Z is an oracle such that $\#_3^Z$ is traceable via some trace $\{T_n\}_{n \in \omega}$, where each T_n is c.e. uniformly in n and $|T_n| \leq 3$. Then Z is computable.

Example:

$$\begin{array}{l} Z = \begin{array}{cccccccc} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & \cdots \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & \cdots \end{array} \quad \begin{array}{l} \#_3^Z(2, 3) \in \{0, 2\} \\ \#_3^Z(1, 4) \in \{1, 2\} \\ \#_3^Z(3, 7) \in \{0, 1\} \\ \dots \end{array} \end{array}$$

Infinitely often equal: implications

Known implications:



We don't know that there is a proper hierarchy for functions H with $\infty > \sum_n 1/H(n)$.

References

- ▶ Tomek Bartoszyński and Haim Judah. *Set Theory. On the structure of the real line*. A K Peters, Wellesley, MA, 1995. 546 pages.
- ▶ Nicholas Rupprecht. *Relativized Schnorr tests with universal behavior*. Arch. Math. Logic, 49(5):555 – 570, 2010.
Effective correspondent to Cardinal characteristics in Cichoń's diagram. PhD Thesis, Univ of Michigan, 2010.
- ▶ William I. Gasarch, Georgia A. Martin. *Bounded Queries in Recursion Theory*, 1999.
- ▶ These slides on Nies' web page.