

# New directions in computability and randomness

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# Some areas of possible future research

- Cost functions
- Classes related to the  $K$ -trivials
- Partial relativization and weak reducibilities
- Randomness notions between 2-random and 1-random (such as Demuth random, or Schnorr random relative to  $\emptyset'$ )
- Randomness via computational complexity theory
- Randomness via higher descriptive set theory
- Randomness/lowness with infinite objects other than subsets of  $\mathbb{N}$  (such as continuous functions)

# Part 1

## Cost functions

# What cost functions are good for

Cost functions are a great tool for analyzing certain classes of  $\Delta_2^0$  sets.

Mostly, these classes are lowness properties such as being  $K$ -trivial, or strongly jump traceable.

Cost functions help a lot to understand the following results:

- Each  $K$ -trivial set is Turing below a **c.e.**  $K$ -trivial set (Nies).
- Each null  $\Sigma_3^0$  class of ML-random sets has a simple Turing lower bound. Moreover, this lower bound is obtained via an injury-free construction (Hirschfeldt, Miller).
- **Each** strongly jump traceable c.e. set is Turing below **each**  $\omega$ -c.e. ML-random set (Greenberg, Nies).

# Definition of cost functions

## Definition 1

A **cost function** is a computable function

$$c : \mathbb{N} \times \mathbb{N} \rightarrow \{x \in \mathbb{Q} : x \geq 0\}.$$

We view  $c(x, s)$  as the cost of changing  $A(x)$  at stage  $s$ .

# Obeying a cost function

Recall that  $A$  is  $\Delta_2^0$  iff  $A \leq_T \emptyset'$  iff  $A(x) = \lim_s A_s(x)$  for a computable approximation  $(A_s)_{s \in \mathbb{N}}$  (Limit Lemma).

## Definition 2

The computable approximation  $(A_s)_{s \in \mathbb{N}}$  **obeys** a cost function  $c$  if

$$\infty > \sum_{x,s} c(x,s) \llbracket x < s \text{ \& } x \text{ is least s.t. } A_{s-1}(x) \neq A_s(x) \rrbracket.$$

We write  $A \models c$  ( $A$  obeys  $c$ ) if **some** computable approximation of  $A$  obeys  $c$ .

Usually we use this to construct some auxiliary object of finite “weight”, such as a bounded request set (aka Kraft-Chaitin set), or a Solovay test.

# Basic existence theorem

For a cost function  $c : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{Q}$ , let  $\widehat{c}(x) = \sup_s c(x, s)$ .  
We say that  $c$  has the **limit condition** if  $\lim_x \widehat{c}(x) = 0$ .

## Theorem 3 (Various authors)

*If a cost function  $c$  satisfies the limit condition, then some (promptly) simple set  $A$  obeys  $c$ .*

**Proof.** Let  $W_e$  be the  $e$ -th c.e. set. If  $W_e$  is infinite we want some  $x \in W_e$  to enter  $A$ . We define a computable enumeration  $(A_s)_{s \in \mathbb{N}}$  as follows. Let  $A_0 = \emptyset$ . For  $s > 0$ ,

$$A_s = A_{s-1} \cup \{x : \exists e$$

$$W_{e,s} \cap A_{s-1} = \emptyset$$

$$x \in W_{e,s}$$

$$x \geq 2e$$

$$c(x, s) \leq 2^{-e}\}.$$

We haven't met  $e$ -th simplicity requirement.

We can meet it via  $x$ .

We make  $A$  co-infinite.

We ensure that  $A$  obeys  $c$ .



# Cost function characterization of the $K$ -trivials

The **standard cost function**  $c_K$  is given by

$$c_K(x, s) = \sum_{i=x+1}^s 2^{-K_s(i)}.$$

**Theorem 4 (Nies 05)**

*A is  $K$ -trivial*  $\Leftrightarrow$

*some computable approximation of A obeys  $c_K$ .*

**Corollary 5**

*For each  $K$ -trivial A there is a **c.e.**  $K$ -trivial set  $D \geq_T A$ .*

$D$  is the **change set**  $\{\langle x, i \rangle : A(x) \text{ changes at least } i \text{ times}\}$ .

One verifies that  $D$  obeys  $c_K$  as well.

Actually, this works for any cost function in place of  $c_K$ .



# Proving Kučera's Theorem with a cost function

## Theorem 6 (Kučera 1986)

*Suppose  $Y$  is a ML-random  $\Delta_2^0$  set. Then some promptly simple set  $A$  is Turing below  $Y$ .*

The proof can be phrased in the language of cost functions. Let  $c_Y(x, s) = 2^{-x}$  for each  $x \geq s$ . If  $x < s$ , and  $e < x$  is least such that  $Y_{s-1}(e) \neq Y_s(e)$ , let

$$c_Y(x, s) = \max(c_Y(x, s-1), 2^{-e}).$$

Since  $Y$  is  $\Delta_2^0$ , the cost function  $c_Y$  satisfies the limit condition.

## Proposition 7 (Greenberg and Nies)

*If the  $\Delta_2^0$  set  $A$  obeys  $c_Y$ , then  $A \leq_T Y$  with use function bounded by the identity.*

Some promptly simple  $A$  obeys  $c_Y$ . So  $A \leq_T Y$ .

# Strongly jump traceable sets

- A **computably enumerable trace with bound  $h$**  is a uniformly computably enumerable sequence  $(T_x)_{x \in \mathbb{N}}$  such that  $|T_x| \leq h(x)$  for each  $x$ .
- Let  $J^A(e)$  be the value at  $e$  of a universal  $A$ -partial computable function. (For instance, let  $J^A(e) \simeq \Phi_e^A(e)$  where  $\Phi_e$  is the  $e$ -th Turing functional.)
- The set  $A$  is called **strongly jump traceable** if for **each** order function  $h$ , there is a c.e. trace  $(T_x)_{x \in \mathbb{N}}$  with bound  $h$  such that, whenever  $J^A(x)$  it is defined, we have

$$J^A(x) \in T_x$$

- **SJT** will denote the class of **c.e.** strongly jump traceable sets.
- There is an incomputable set in **SJT** by Figueira, Nies, Stephan (2004).

# Open questions on cost functions I

Usually we are given a class  $\mathcal{D}$  and a cost function  $c$  such that  $A \models c \Rightarrow A \in \mathcal{D}$ . The question is **what else** is in  $\mathcal{D}$ .

## Question 8

Let  $Y$  be a ML-random  $\Delta_2^0$  set.

- Is there a c.e.  $D \leq_T Y$  such that  $D \not\leq_{\text{wtt}} Y$  (and hence  $D \not\models c_Y$ )?
- If  $B \leq_T Y$  is c.e., is there a c.e.  $A \models c_Y$  such that  $B \leq_T A$ ?

## Question 9

Let  $Y$  be a Demuth random  $\Delta_2^0$  set. If  $A$  is c.e. and  $A \leq_T Y$ , is  $A$  strongly jump traceable?

We have shown that  $A \models c_Y \Rightarrow A$  is s.j.t.

# Some open questions on cost functions II

There is a ML-random  $\Delta_2^0$  set  $Y$  such that any c.e.  $A \leq_T Y$  is s.j.t. (Greenberg, Hirschfeldt, Nies, to appear). The following would be stronger.

## Question 10

*Let  $c$  be a c.f. with the limit condition. Is there a ML-random  $\Delta_2^0$  set  $Y$  such that for each c.e. set  $A$ , if  $A \leq_T Y$  then  $A \models c$ ?*

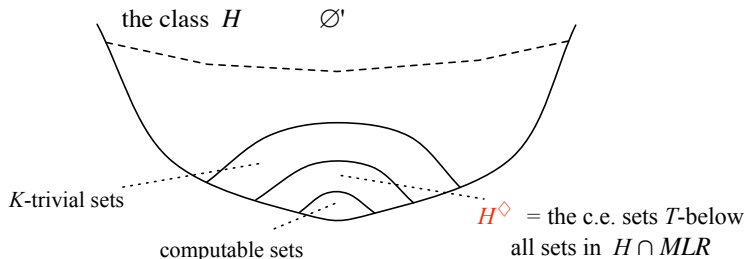
## Part 2

# Classes related to the $K$ -trivials

# Diamond Classes

$2^{\mathbb{N}}$  denotes Cantor space with the uniform (coin-flip) measure.  
For a null class  $\mathcal{H} \subseteq 2^{\mathbb{N}}$ , we define

$\mathcal{H}^{\diamond} =$  the c.e. sets  $A$  Turing below each ML-random set in  $\mathcal{H}$ .



- The larger  $\mathcal{H}$  is, the smaller is  $\mathcal{H}^{\diamond}$ .
- $\mathcal{H}^{\diamond}$  induces an ideal in the computably enumerable Turing degrees.

# A lowness property and its dual highness property

- Recall that  $Z \subseteq \mathbb{N}$  is **low** if  $Z' \leq_T \emptyset'$ , and  $Z$  is **high** if  $\emptyset'' \leq_T Z'$ .
- These classes are “too big”: we have

$$(\text{low})^\diamond = (\text{high})^\diamond = \text{computable}.$$

(For instance,  $(\text{high})^\diamond = \text{computable}$  because there is a minimal pair of high ML-random sets.)

- So we will try somewhat smaller classes, replacing  $\leq_T$  by the stronger truth-table reducibility  $\leq_{tt}$ .

## Definition 11 (Mohrherr 1986)

A set  $Z$  is **superlow** if  $Z' \leq_{tt} \emptyset'$ .  $Z$  is **superhigh** if  $\emptyset'' \leq_{tt} Z'$ .

A random set can be superlow (low basis theorem). It can also be superhigh but Turing incomplete (Kučera coding).

# These diamond classes characterize SJT

The following theorems say that a c.e. set  $A$  is strongly jump traceable iff it is computed, in a specific sense, by many ML-random oracles.

Theorem 12 (Greenberg, Hirschfeldt and Nies (to appear))

$$SJT = \text{superlow}^\diamond.$$

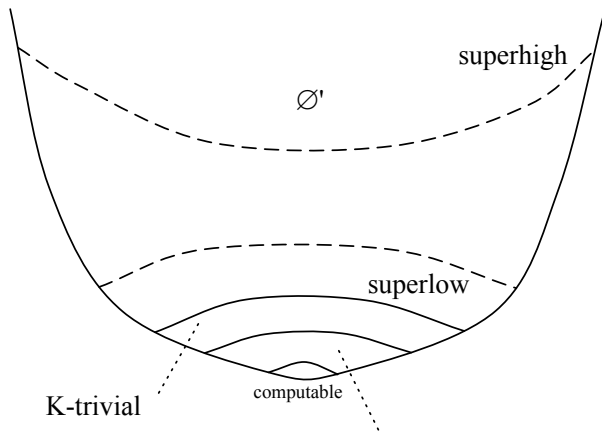
*That is, a c.e. set  $A$  is strongly jump traceable  $\Leftrightarrow A$  is Turing below each superlow ML-random set.*

Theorem 13 (Nies, improved version in Greenberg, Hirschfeldt, Nies)

$$SJT = \text{superhigh}^\diamond.$$



# Diagram: *SJT* means computed by many oracles



$$SJT = (\text{superlow})^\diamond = (\text{superhigh})^\diamond$$

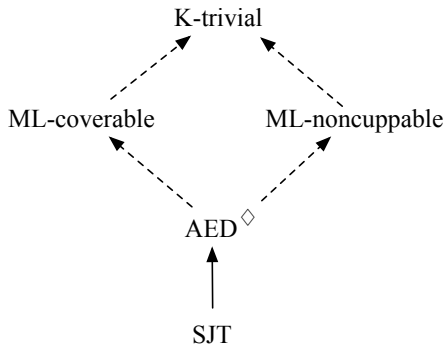
# Open questions on classes between $SJT$ and $K$ -trivial

- No natural classes are currently known to lie properly between  $SJT$  and  $K$ -trivial
- A good candidate is  $(AED)^\diamond$ . Here AED is the class of almost everywhere dominating sets  $Z$  of Dobrinen and Simpson: for almost all sets  $X$ , each function  $f \leq_T X$  is dominated by a function  $g \leq_T Z$ . For the highness properties, there are proper implications

Turing-complete  $\Rightarrow$  AED  $\Rightarrow$  superhigh.

- For the corresponding diamond classes, Greenberg and Nies proved that  $SJT$  is properly contained in  $(AED)^\diamond$ .
- They built a single benign cost function  $c$  such that  $A \models c$  implies  $A \in (AED)^\diamond$ .
- However,  $(AED)^\diamond$  may coincide with  $K$ -trivial.
- This would imply that the classes **ML-coverable** and **ML-noncuppable** also coincide with  $K$ -trivial.

# Classes of c.e. sets between $SJT$ and $K$ -trivial



(The dashed arrows may be coincidences.)

- $A$  is ML-coverable if  $A \leq_T Y$  for some ML-random  $Y \not\leq_T \emptyset'$ .
- $A$  is ML-noncuppable if

$\emptyset' \leq_T A \oplus Y$  for ML-random  $Y$  implies  $\emptyset' \leq_T Y$ .

## Some references for Parts 1 and 2

Downey and Greenberg. Each SJT is  $K$ -trivial.

N. Greenberg and A. Nies. **Benign cost functions and lowness properties**. Submitted.

N. Greenberg, D. Hirschfeldt and A. Nies. **Characterizing the strongly jump-traceable sets via randomness**. To appear.

A. Nies. **Calculus of cost functions**. To appear.

A. Nies. **Computability and randomness**, Oxford, 2009.

Sections 5.3, 8.4, 8.5.

## Part 3

# Partial relativization and weak reducibilities

# Partial relativization

Let  $\mathcal{C} \subseteq 2^{\mathbb{N}}$  be a relativizable class (for instance, a lowness property, saying that a set is close to being computable).

Usually the relation “ $A \in \mathcal{C}^B$ ” is **not** transitive.

For instance, if  $\mathcal{C}$  is the usual lowness  $A' \leq_T \emptyset'$ , we have

$$\mathcal{C}^B = \{A: (A \oplus B)' \leq_T B'\}.$$

Take low sets  $A, D$  such that  $\emptyset' \equiv_T A \oplus D$ , then

$$A \in \mathcal{C}^{\emptyset}, \emptyset \in \mathcal{C}^D, \text{ but } A \notin \mathcal{C}^D.$$

To obtain transitivity, one relativizes only partially.

We will say that  $A$  has property  $\mathcal{C}$  **by**  $B$ , or **plop**  $B$ .

For instance, if  $\mathcal{C}$  is lowness we have

$$\mathcal{C}^{by B} = \{A: A' \leq_T B'\},$$

and the relation “ $A \in \mathcal{C}^{by B}$ ” is transitive.

## Dictionary

plop |pläp|

noun

a short sound as of a small, solid object dropping into water without a splash.

verb ( **plopped** , **plop•ping** )

fall or cause to fall with such a sound : [ intrans. ] *the stone plopped into the pond* [ trans. ] | *she plopped a sugar cube into the cup.*

• ( **plop oneself down** ) sit or lie down gently but clumsily : *he plopped himself down on the nearest chair.*

ORIGIN early 19th cent.: imitative.



# Definition of weak reducibility

A preordering  $\leq_W$  on  $2^{\mathbb{N}}$  is called **weak reducibility** if

- $\leq_W$  is  $\Sigma_n^0$  for some  $n$  as a relation on sets;
- $A \leq_T B$  implies  $A \leq_W B$ ;
- $X' \not\leq_W X$  for each set  $X$ .

The idea is that  $B$  does not know everything about  $A$  (as in the case of  $A \leq_T B$ ), only a certain aspect of what  $A$  can do.

For instance, let

$A \leq_{\text{cdom}} B \Leftrightarrow$  each  $A$ -computable function is dominated by a  $B$ -computable function.  $B$  knows how quickly the functions computed by  $A$  grow.

A further example:  $A \leq_{LK} B \Leftrightarrow \forall x K^B(x) \leq^+ K^A(x)$ .

# How a relativizable class yields weak reducibilities

Given a relativizable class  $\mathcal{C}$ , there are two ways to obtain a weak reducibility  $A \leq_W B$ :

- $A \leq_W B \Leftrightarrow A \in \mathcal{C}^{by B}$ ,  
for the right type of partial relativization.

- $A \leq_W B \Leftrightarrow \mathcal{C}^A \subseteq \mathcal{C}^B$ .

That is, apply the first to the class  $\{X: \mathcal{C}^X \subseteq \mathcal{C}\}$ .

# Associated lowness and highness properties

For each weak reducibility  $\leq_W$  we have

- a lowness property  $Z \leq_W \emptyset$ ,
- a highness properties  $\emptyset' \leq_W Z$ .

They are disjoint by the last condition.

An further example of a weak reducibility due to Nies (2005) is

$$A \leq_{LR} B \Leftrightarrow \text{each } B\text{-random set is } A\text{-random.}$$

- The associated lowness property is being **low for random**.
- The highness property is equivalent to being **uniformly almost everywhere dominating**, by Kjos-Hanssen, Miller, Solomon (to appear).

- $J^X$  denotes the universal pc functional with oracle  $X$ . An **order function** is a nondecreasing, unbounded, computable function.
- A **computably enumerable trace with bound  $h$**  is a uniformly computably enumerable sequence  $(T_x)_{x \in \mathbb{N}}$  such that  $|T_x| \leq h(x)$  for each  $x$ .
- $A$  is called **jump traceable** if there is a c.e. trace  $(T_e)_{e \in \mathbb{N}}$  for  $J^A$ , and an order function  $h$  such that  $|T_e| \leq h(e)$  for each  $e$ .

# Plopping jump traceability

Definition 14 (Simpson, 2006, implicitly)

$A$  is **jump traceable plop**  $B$ , written  $A \leq_{JT} B$ , if there is a c.e. trace  $(T_e)_{e \in \mathbb{N}}$  relative to  $B$  for  $J^A$ , and an order function  $h$  such that  $|T_e| \leq h(e)$  for each  $e$ .

In contrast, to define jump traceable **relative** to  $B$ , one would require the existence of a  $B$ -c.e. trace for  $J^{A \oplus B}$  instead of  $J^A$ , but the bound for this trace need only be computable in  $B$ .

The rules of thumb for plopping successfully:

- write  $A$  instead of  $A \oplus B$  (in the right places)
- leave computable bounds in peace.

# The weak reducibility $\leq_{JT}$

It is not hard to show that  $\leq_{JT}$  is a  $\Sigma_3^0$  relation on sets, that  $A \leq_T B$  implies  $A \leq_{JT} B$ , and that  $A' \not\leq_{JT} A$ .

## Proposition 15

*The relation  $\leq_{JT}$  is transitive.*

**Proof.** Suppose  $A$  is jump traceable by  $B$  via a trace  $(S_n)_{n \in \mathbb{N}}$  with computable bound  $g$ , and  $B$  is jump traceable by  $C$  via a trace  $(T_i)_{i \in \mathbb{N}}$  with a computable bound  $h$ . There is a computable function  $\beta$  such that

$$J^B(\beta(\langle n, k \rangle)) \simeq \text{the } k\text{-th element enumerated into } S_n.$$

Let  $V_n = \bigcup_{k < g(n)} T_{\beta(\langle n, k \rangle)}$ , then  $\#V_n \leq g(n) \cdot h(\beta(\langle n, g(n) \rangle))$  and  $A$  is jump traceable by  $C$  via the trace  $(V_n)_{n \in \mathbb{N}}$ .  $\square$

# Which theorems/proofs survive a partial relativization?

## Theorem 16 (Nies 05)

*Lowness for ML-randomness is the same as lowness for prefix-free complexity  $K$ .*

**This becomes:**  $\leq_{LR}$  is equivalent to  $\leq_{LK}$ ,  
by Kjos, Miller, Solomon, to appear. A different proof is needed,  
though.

## Theorem 17 (Figueira, N, Stephan 07)

*Let  $C$  be plain descriptive string complexity. Then  $A$  is jump traceable  $\Leftrightarrow \forall x [C(x) \leq^+ C^A(x) + h(C^A(x))]$  for some order function  $h$ .*

**Plopping the proof, this becomes:**

$$A \leq_{JT} B \Leftrightarrow$$

$\forall x [C^B(x) \leq^+ C^A(x) + h(C^A(x))]$  for some order function  $h$ .

# A theorem that cannot be plopped

## Theorem 18 (Nies 2002)

Let  $A$  be c.e. Then

$A$  is jump traceable  $\Leftrightarrow A$  is superlow (i.e.,  $A' \leq_{tt} \emptyset'$ ).

Let  $A = \emptyset'$  and try to plop this theorem to a set  $B$ . We have

$$\emptyset' \leq_{JT} B \Rightarrow \emptyset'' \leq_{tt} B' \text{ (} B \text{ is superhigh)}$$

by a result of Simpson.

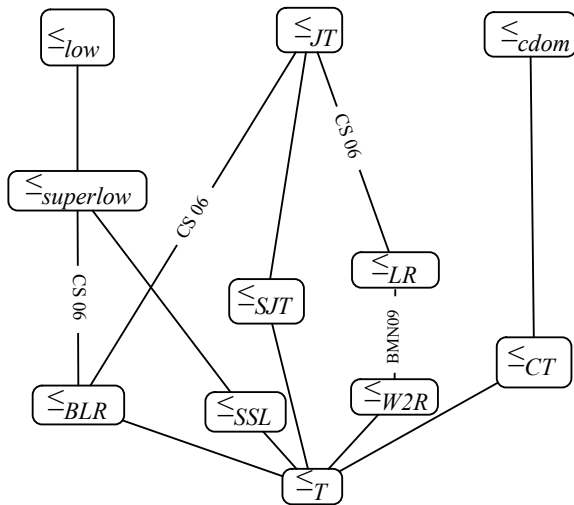
The converse direction, however, **fails**: there is a superhigh jump traceable set  $B$  (Kjos-H and Nies). Then  $B \not\leq_{JT} \emptyset'$ .



# Table of some weak reducibilities

Weak reducibility	Lowness property	Highness prop.
$\leq_T$	computable	$\geq_T \emptyset'$
$\leq_{LR} \Leftrightarrow \leq_{LK}$	Low(MLR) = low for $K$	u.a.e.d
$\leq_{JT}$	jump traceable	$\geq_{JT} \emptyset'$
$A' \leq_{tt} B'$	superlow	superhigh
$A' \leq_T B'$	low	high
$\leq_{CT}$	comp. traceable	$\geq_T \emptyset'$
$\leq_{\text{cdom}}$	comp. dominated	$\geq_T \emptyset'$
$\leq_{BLR}$ (Cole & Simpson)	jump tr. & superlow	$\geq_{JT} \emptyset'$

# Diagram of weak reducibilities



We say that  $A$  is a base for  $\leq_W$  if  $A \leq_W Z$  for some  $Z$  that is ML-random relative to  $A$ .

- (Ng) For both  $\leq_{SJT}$  and  $\leq_{CT}$ , the cone below  $\emptyset'$  has size continuum.
- (Ng) The only bases for  $\leq_{JT}$  are the jump traceable sets.
- (Barmpalias) Some set  $A$  is a base for  $\leq_{LR}$  but not low for randomness.

# Directions of study for weak reducibilities

- **Degree theoretic questions:** existence of minimal degrees, of minimal pairs, of nontrivial suprema.
- **The cardinality of single degrees, and lower cones.** Each  $LR$  degree countable (Nies 2005 showed this for  $\leq_{LK}$ . Now use Kjos/Miller/Solomon that  $\leq_{LK} \Leftrightarrow \leq_{LR}$ ), while the  $LR$  lower cone below  $\emptyset'$  (and in fact below each non- $GL_2$ ) is uncountable (Barnmpalias, Lewis, Soskova).
- **Implications between weak redu's.** For instance, does  $\leq_{SJT}$  imply  $\leq_{LR}$ ?
- **Theory of Borel equivalences.** For instance, is  $\equiv_{LR}$  Borel complete for countable Borel equivalence relations?

## Some references for Part 3

Barnmpalias et al. papers on  $\leq_{LR}$

G. Barnmpalias, J. Miller, A. Nies. **Randomness notions and partial relativization**. To appear.

S. Ng, Thesis.

A. Nies. **Computability and randomness**, Oxford, 2009. Section 8.4

## Part 4

# Randomness lower down

## Definition 19

Let  $k \geq 1$ . A  **$k$ -trace** is a sequence  $(T_x)_{x \in \Sigma^*}$  of subsets of  $\Sigma^*$  such that

- $|T_x| = k$  for each  $x$
- The function  $x \rightarrow$  (code for)  $T_x$  is in P.

$(T_x)_{n \in \mathbb{N}}$  is a **trace for** the function  $f: \Sigma^* \rightarrow \Sigma^*$  if  $f(x) \in T_x$  for each  $x$ .

We say that  $A$  is  **$k$ -traceable** if each function  $f \in P^A$  has a  $k$ -trace.

# Supersparse sets are 2-traceable

## Definition 20 (Ambos-Spies 1986)

Let  $f : \mathbb{N} \mapsto \mathbb{N}$  be a strictly increasing, time constructible function.  $A$  is  **$f$ -super sparse** if

- $A \subseteq \{0^{f(i)} : i \in \mathbb{N}\}$
- Some machine determines  $A(0^{f(i-1)})$  in time  $O(f(i))$ .

Let  $f$  be the iteration of the function  $n \rightarrow 2^n$ . Ambos-Spies constructed an  $f$ -supersparse set in EXPTIME – P.

## Theorem 21 (Ambos-Spies 1986)

*Each  $f$ -supersparse set is 2-traceable.*

## Question 22

*Is each  $k$ -traceable set low for polynomial randomness [polynomial Schnorr randomness]?*