The Department of Computer Science The University of Auckland New Zealand

Unauthorized Detection of CT Watermarks Based on Pattern Analysis

Methods

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A thesis submitted in partial fulfillment of the requirements of Master of Science in Computer Science

The University of Auckland

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Abstract

The CT watermark algorithm is a relatively new software watermarking algorithm. To evaluate the robustness of the CT watermark algorithm, we tried to simulate the unauthorized detection of watermark attacks on the CT watermark. The main concern of this thesis is to explore the effect of the watermark size ratio of watermarked programs on the accuracy of attackers' detection function.

We simulate attackers by using the statistical pattern classification method to detect the existence of a CT watermark. The adversaries' behaviour is confined by a set of rules proposed by the CT watermark algorithm designers, which I extend. We judge the success of attackers by the false positive and false negative rates of attackers' detection function.

Results showed that when the watermarked programs that the attackers used to train their detection function and the watermarked programs to be detected both have a high watermark size ratio, the attackers' detection function will be accurate. So restricting the watermark size ratio of CT watermarked programs should increase their robustness.

We suggest two ways to amend this problem. One way is to use the numeric watermark option in the watermark embedding procedure to reduce the watermark size ratio. Another way is to denote those programs which will cause a high watermark size ratio as being unsuitable to be CT watermarked.

Acknowledgement

I would like to thank Prof. Clark Thomborson, my supervisor, for his encouraging and constructive suggestions. This thesis is a continuous study based on his and Christian Collberg's unpublished work which was submitted to TOPLAS in 15 April 2006.

Thanks for Barbara Thomborson and Dr. Stephen Drape who taught me quite a lot about thesis writing. Stephen also guided me on Latex and mathematical notation. Thanks to Jasvir Nagra offering me advice for annotating Java programs. Thanks for Dr.Collberg for providing me his collection of Java programs. I also appreciate other group members' useful suggestions.

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Introduction

1.1 The CT Watermark

A watermark is an indicator added into an intellectual product to prove the ownership of that product [20, 26, 10, 12, 11]. The watermark embedded in software is called a *software watermark*. The main categories of software watermark algorithms are *static* and *dynamic*. The static watermark algorithm directly embeds watermarks into software. The embedding or extracting procedures of static watermark do not require the execution of a program. In contrast, dynamic watermark algorithms only embed codes which construct watermarks at program run time [10]. The benefit of dynamic watermark algorithms over static is that "dynamic watermarking techniques are more stealthy and more resilient than the existing alternative technology of static watermarks" [10]. As a dynamic watermark algorithm, the CT watermark algorithm embeds "a watermark in the topology of a dynamically built graph structure" [9]. The main benefit of the CT watermarking algorithm over other dynamic watermark algorithms is that it uses graph structures to represent watermark. The reason for using graph structure is that point aliasing effects make it difficult to locate codes that build the graph structure. As a result, statistically analyze CT watermark will be troublesome for adversaries [11]. In addition, the designers claim that CT watermark algorithm will not be affected by some of those transformations occurring from translations, optimizations and obfuscations [9]. These transformations are harmful for static software watermark algorithms and some dynamic watermark algorithms other than CT algorithm.

Other defense mechanisms of the CT watermark algorithm are also employed. For example, the CT watermark algorithm use cycle graph to resist node splitting attacks [11]. "Node splitting attack" means that adversaries try to split nodes into several linked components to distort the watermarking graph. [11].

Three desiderata are often used to evaluate the watermark[11]:

- *Stealth*: Stealth is the ability of a watermark algorithm to hide watermark. It is the measurement on how difficult for adversaries to detect a watermark.
- **Resilience**: Resilience is the ability of a watermark to resist possible attacks. It is the measurement on how easy for adversaries to attack a watermark successfully.
- **Data Rate**: Data rate is the ratio of the entropy of w to "extra" program size caused by watermark embedding[8]. It is the measurement on the efficient of watermark. In this thesis, we concern more on watermark size ratio which is closely related to data rate. Watermark size ratio is the ratio of 'extra" program size to the cover program size. It is the measurement of the capacity of watermark embedding. If the watermark, the cover program and watermark embedding parameters are all the same, then data rate is inverse related to Watermark size ratio. and A formal definition of watermark size ratio is given in Section 4.6.

Sometimes, to improve one desideratum will worsen other desiderata. So watermark design must consider the trade-off between those desiderata. [11]

One of the most important considerations in the design of the CT watermark algorithm is its resilience. We will discuss possible attacks to verify the *resilience* of the CT watermark algorithm in next section.

1.2 Possible Attacks

Collberg [11] suggests some types of possible attacks on CT watermark. Attack types suggested for other watermark algorithms [12] may also be applied on CT watermark algorithm. We summarize categories of possible attacks on CT watermark as follows.

- Unauthorized detection of watermark attack (will be abbreviated as AUDW afterwards)
- Additive attack
- Distortive attack
- Subtractive attack
- Protocol attack

AUDW tries to detect secret information about watermark without permission. Cox [12] suggests three types of unauthorized watermark detection threats:

Type I: Attackers can decode the watermarks embedded

Type II: Attackers can detect the existence of watermarks without decoding the watermark

Type III: Attackers can detect the existence of watermarks, but they can not decode the watermark. However, they can distinguish whether two watermarks share the same key.

Additive attack: Attackers try to embed a bogus watermark by the attacker into software and use it as the basis of their own claim on the software [11].

Distortive attack: Attackers try to transform the watermark with or without transforming the program as well. The goal of distortive attacks is to distort a watermark so that the authorized watermark recognizer can not longer recognize it [11].

Subtractive attack tries to remove the watermark embedded in software without degrading the quality of software [11].

Protocol attack tries to build a bogus watermark recognizer which can recognize the bogus watermark embedded by the attack [13]. Then they can claim their fake ownership to the potential buyer.

In this thesis, we will focus on type II of AUDW. We choose to focus our attacks against the CT watermarking algorithm because it is designed to resist such automated attacks [11]. In addition, type II attacks can be the basis of other attacks such as subtractive attack and distortive attack.

1.3 Motivation of This Research

Since the CT watermark is a relatively new approach compared to other watermark algorithms, little in-depth research exists on attacks to CT watermark. In this research, we attempt to determine the robustness of the CT watermark to AUDW. We want to determine attackers' accuracy in detecting the CT watermark. Specifically, we chose to explore the effects of the watermark size ratio on accuracy. We also consider the impacts of feature selection on attackers' accuracy in detecting the CT watermark.

One goal in our experiment is to explore how the watermark size ratio affects the accuracy of attack. Since the data rate is in indirect proportion to the watermark size ratio,

Among the three desiderata used to evaluate the CT watermark, *resilience* is an essential metric for assessing the success of watermark algorithms [11]. Since the attack we implemented is a detection of watermark attack, the *stealth* of the CT watermark must also be evaluated. Lastly, we consider how the data rate relates to the error rate of AUDW.

In order to conduct our experiment, we need to implement some attacks on CT watermark algorithm. In addition to our direct experimental measurement, we can gain understanding of how well those defense mechanisms of the CT watermark algorithm work. What is more, we can also check whether those defense mechanisms will introduce other vulnerabilities. For example, as we mentioned in Section 1.1, one defense mechanism of the CT watermark algorithm is using cycle graph to avoid node splitting attack. However, cycle graph using much more nodes than uncycled graph. Obviously, it will reduce the stealth of the CT watermark. Finally, our ultimate goal is to improve the CT watermark algorithm by analyzing those methodologies that can be employed to attack the CT watermark successfully.

In AUDW, attackers try to develop their own *detect* function based on attack methods and/or the watermarked and unwatermarked programs they collected. Then they can report whether a program is watermarked or not by their *detect* function. A more detailed AUDW attacker model are given in Chapter2.

The purpose of this thesis is to implement some AUDWs on the CT watermark based on statistical analysis methods. These AUDWs will be used to evaluate the stealth of the CT watermark. The detection of the CT watermark can be unauthorized because the CT watermark algorithm is a steganographic watermark algorithm. A steganographic watermark algorithm is designed not only to hide the content of watermark but also the existence of watermark [11]. The reason that we start from AUDW is given in last subsection. We limit the methods we used in our detection to statistical analysis methods because statistical analysis methods can be implemented automatically. Since CT watermark designers try to design their watermark algorithm against attacks can be automatically implemented.

The method we use to detect the CT watermark is pattern classification. Pattern classification is to distinguish the CT watermarked programs from unwatermarked programs based on the "pattern" (the measurements of a set of characteristic features of an object [40]) of programs. This method can find out whether the frequencies of features of a CT watermarked program are "abnormal" enough to distinguish it from most other

unwatermarked programs.

1.4 Related Work

Currently, although few methods to attack the CT watermark are practically implemented, several attacking methods in related fields may also be applied on the CT watermark. Those related fields include those attacks on media watermark [22] [37] or steganographic systems [31]. Other possible useful detection methods include those methods used in virus detection [34] and software birthmark detection [25]. In [11] [30], several possible attacks on the CT watermark are also suggested. In addition, we also learn from the information hiding and model of attackers setting issues discussed for media watermark [38, 5, 24].

Collberg and Thomborson [11] discuss the evaluation of stealthy of the CT algorithm by using feature occurrences. Their work is very related to this thesis. However, their experiment just gives some evaluation results from the viewpoint of defenders. This thesis tries to implement some unauthorized detection of watermark attacks on the CT watermark so it is evaluation from the viewpoint of attacker. Maybe our research can be viewed as a consecutive research of [11].

1.5 Structure of This Thesis

In this Chapter, we have briefly overviewed the CT watermark algorithm and possible attacks on CT watermark. We realize that the unauthorized detection of CT watermark is a kind of attack because CT watermark algorithm is a steganographic watermark algorithm.

In Chapter 2, we set up the attacker model for AUDW. Based on the designer's limitations on attackers, We defined the rules to limit the behaviours and to judge the success of AUDW attacker. We assert that the success of attacker is decided by the accuracy of attacker's **detect** function. Additionally, we analyze the designers' limitations on attacker and argue that attackers should be given less information of CT algorithm. In Chapter 3, we present the pattern classification theory. We assume that attackers understand the pattern classification theory and will try to use the theory to optimize his *detect* function. We survey factors can theoretically affect the accuracy of attacker's *detect* function in this chapter.

In Chapter 4, we explain the design of our experiment. We argue that our experiment design basically conform the pattern classification theory with some minor violations.

In Chapter 5 we present our experiment results and analyze the meaning of them.

In Chapter 6, we make the conclusion of our thesis and give some suggestions on our future work.

In Appendix A.1, we offer the information on our experiment environment which includes the hardware and softwares we used in this experiment.

In Appendix A.2, we present the Java opcode set, the sample set and the watermark set used in our experiment. The default parameters used in our watermark embedding procedure are also listed in Appendix A.2. The syntaxes of the discriminant function of SPSS used in our experiment are recorded and preserved in Appendix A.2. 2

The Game Between the Attacker and the Defender of the CT Watermark

2.1 Introduction

The general focus of this chapter is on describing the CT algorithm model and the AUDW model for later discussion. We also discuss the restrictions on the CT watermark, e.g.designers' limitations on attackers. In our experiments, we assume that the attackers of the CT watermark will not violate those restrictions. Lastly, the criteria to judge the success of attackers and/or defenders will be given and discussed.

2.2 The CT Watermark: Defender Side

2.2.1 CT Watermark Model

Currently, the CT watermark is implemented for Java programs [11]. Our experiments are all based on Java programs.

Definition (Program Set)

Let \mathcal{P} be the set of all the Java programs. \mathcal{P}_u is the subset of \mathcal{P} which consists of all the Java programs which are not watermarked by the CT algorithm. \mathcal{P}_w is the subset of \mathcal{P} which consists of all the Java programs which are watermarked by the CT algorithm.

$$\mathcal{P} = \mathcal{P}_w \cup \mathcal{P}_u$$

We denote a program as p, $p \in \mathcal{P}$, an unwatermarked program as p_u , $p_u \in \mathcal{P}_u$ and a watermarked program as p_w , where $p_w \in \mathcal{P}_w$.

Definition (Watermark Set)

Let \mathcal{W} be the set of all the watermarks which can be used in the CT watermarking algorithm, where $\mathcal{W} \subseteq \mathbb{P}$ String [15], and \mathbb{P} String is all possible *strings*. A *string* is a sequence of characters such as "a", "1", "A". We denote a watermark as w, where $w \in \mathcal{W}$.

The designers of the CT watermark algorithm define their CT watermark model with following functions [11]:

$$\begin{split} \mathbf{embed}_{CT}(p,w,key) &\to p_w \\ \mathbf{extract}_{CT}(p_w,key) &\to w \\ \mathbf{recognige}_{CT}(p_w,key,w) &\to [0.0,1.0] \end{split}$$

The embed function embeds the watermark w into a program p by using a secret key. The key is a sequence of inputs from the user. The extract takes out w from p_w to prove the ownership of the program p. The program p and its watermarked version p_w should exhibit the same input-output behaviour. The embedding of a watermark should have little or no adverse effects on the performance of the program p. That is, p_w should execute at almost the same speed as p. p_w also should not have significantly more bugs than p.

The embed function comes in three processes. Those steps include annotation, tracing and embedding [7]:

- Annotation indicates the locations suitable for inserting watermark-graph-generating codes in the target program. Places such as performance-critical points or conditionalized points are not ideal to put watermark-graph-generating codes in.
- *Tracing* records the list of *tracepoints* created by a *key* which is the user's secret inputs. The *tracepoints* are the selected locations for inserting watermark-graph-generating codes after running the user's secret inputs. The *key* will be used in watermark extraction. The *tracing* information determines the final locations to embed codes for constructing the watermark.
- *Embedding* means the process of inserting watermark-graph-generating codes in the locations determined by the *tracepoints*.

2.2.2 Security Requirement

The Security Goal of the CT Watermark

The purpose of the CT watermark is to prove the ownership of intellectual property. The security goal of the CT watermark algorithm is to increase the difficulties for attackers to implement those offensives which can be implemented automatically, such as distortive attack or AUDW. The CT watermark algorithm seeks to maximum its resilience and stealth [11].

Restrictions of the CT Watermark Algorithm

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The authors of the CT watermark algorithm restrict the types of attacks that the CT watermark should resist. They assert that they do not expect to develop a perfect watermark system [11]. A perfect system should resist any non-trivial attack [11].

The CT watermark algorithm is designed to resist attacks using automated methods. Those automated methods mainly consist of code transformations such as compilation and decompilation, binary translation, optimizations, compressions and obfuscations [11].

The CT algorithm is also designed to maximize its stealth [11]. It tries to obstruct the adversaries' attempts to detect the existence of the CT watermark at all [11]. So the CT algorithm should be able of resisting AUDW.

The CT algorithm designers restrict the capabilities of adversaries who will attack the CT watermark as well. Their limitations on the capabilities of adversaries are as follows [11]:

- designers' limitation 1 on attacker: Attackers can obtain all information of the CT watermark algorithm. But they can not obtain the content of watermark and/or the key to build and extract the CT watermark directly from the CT watermark owner or defender.
- designers' limitation 2 on attacker: Attackers can obtain the CT watermarked programs in the form of "a collection of Java class files" [11].
- designers' limitation 3 on attacker: Attackers will find it difficult to read the CT watermarked file manually. It means that the size of the target file should not be too small. It also means that attackers will prefer to use automatic methods.
- designers' limitation 4 on attacker: Attackers will not rewrite the watermark after studying the input-output behaviour of the watermarked program [11].

In our experiment, we introduce four additional limitations on adversaries to simplify our experiment:

- Additional limitation 1: Adversaries can only obtain one copy p_w without its unwatermarked version p_u .
- Additional limitation 2: The embed and extract function are unavailable to adversaries. So the attacker should not access Sandmark which is the tool used to embed and extract the CT watermark.
- Additional limitation 3: The attacker will only use one kind of statistical pattern classification algorithm: Fisher's discriminant function.
- Additional limitation 4: The attacker is interested only in the existence of the CT watermark (type II AUDW).

We will discuss the meaning of the four designer's limitations in Section 2.5. The four designer's limitations and the four additional limitations on the attacker will confine the behaviour of the attacker in our experiments.

2.2.3 Defensive Mechanism

The CT watermark algorithm also employs some defensive mechanisms against AUDW. Those mechanisms include [11]:

- Splitting a large graph into smaller components and spreading the codes to build those components in different locations. So the codes to build the CT watermark graph become harder for attackers to discover.
- Avoiding declaration of those root nodes constructing the CT watermark subgraphs as global variables. Good object-oriented programming will not use a lot of global variables. As a result, using global variables to declare root nodes is not stealthy. The solution is to "pass roots in the formal parameters of methods".
- Hiding the watermark class. The CT watermark algorithm must use a special class to create nodes which will construct the watermark graph. However, it is not very stealthy if the CT algorithm uses the same special class to watermark every program.

The solution in the CT algorithm is to pick an appropriate class in the program to be watermarked to build those nodes for the watermarking graph. The appropriate class here is one that fulfills the requirements for building the watermark graph. When an appropriate class is unavailable, a less desirable choice is to select an appropriate class in the Java library.

- Tamperproofing the CT watermarks by checking the predefined character of watermark graphs (i.e. checking the data in a graph data structure.). For example, the predefined character such as the diameter of a planar graph. Such checking data operations are often used in object-oriented programming. However, other watermark algorithms often use the tamperproofing method of checking the integrity of executable codes. But checking the integrity of executable codes is somewhat unusual because there is little need to do so in normal software programming. Thus the tamperproofing method used by the CT watermark is stealthier.
- Using obfuscating methods to resist a pattern-matching attack [11]. Since the CT watermark can resist many code transforming operations, many obfuscating methods will not affect the CT watermark. Instead, some obfuscating methods lend themselves as a defense in the CT watermark algorithm. Sandmark, the tool developed by Collberg for watermark algorithm research, already provides some obfuscation methods such as array splitting and class encryption. Some advanced obfuscation algorithms can be more powerful in such applications. Those advanced obfuscation algorithms include algorithms described in [6] and [39]. However, considerations of obfuscation effects on attacks are beyond the scope of this thesis.
- Using codes related to objects creating and objects linking to construct the CT watermark graph. In an object-oriented programming language such as Java, codes related to create objects and link objects are popularly used by programmers. So such codes will not be quite 'suspicious' to attackers.

2.3 CT Watermark: Attacker Side

2.3.1 Detection of Watermark Attack Models

Our detection of watermark attack model can be described by two functions: the **train** function and the **detect** function. The **train** function is used to build the attacker's **detect** function.

$$\mathfrak{train}:\mathcal{P}_{w,a} imes\mathcal{P}_{u,a} imes\mathcal{L} o\mathcal{D}$$

Where $\mathcal{P}_{w,a}$ is a subset of \mathcal{P}_w , the set of watermarked programs accessible by the attacker. The attacker can know a program p is watermarked if $p \in \mathcal{P}_{w,a}$. Similarly, $\mathcal{P}_{u,a}$ is a subset of \mathcal{P}_u which is the set of unwatermarked programs accessible by the attacker. The attacker can know a program is unwatermarked if $p \in \mathcal{P}_{u,a}$. \mathcal{L} is the set of all pattern classification algorithms, For example, pattern classification algorithms such as Fisher's Linear discriminant algorithm or Euclidean distance discriminant algorithm. \mathcal{D} is the set of all \mathfrak{detect} functions based on the pattern classification method.

The attacker's $\partial etect$ function in our thesis is an $\partial etect$ function using the key to embedding the watermark.

$$\mathfrak{detect}:\mathcal{P}\to\mathbb{B}$$

Where \mathbb{B} is the boolean set $\mathbb{B} = \{T, F\}$, where T means true and F means false. The function $\partial etect$ has the following property:

$$\mathfrak{detect}(p) = T \Rightarrow p \in \mathcal{P}_u$$

$$\mathfrak{detect}(p) = F \Rightarrow p \in \mathcal{P}_u$$

An early stage of developing a formal AUDW model on the CT watermark algorithm is also explored. As Prof. Thomborson outlined in a private communication [36], "No one has published a general, information-theoretic, model of attacks on software watermarks, analogous to the attack model proposed recently for audio-visual watermarks [2]". We still need much more effort to complete it. The immature model is as follows:

The attackers learn from the open security information \mathcal{I}_o and use some software analysis skills SK_a to develop a detect function of

$$\mathcal{I}_o \times SK_a \to \mathfrak{detect}$$

Then attackers use their \mathfrak{detect} to find the hidden information \mathcal{I}_h .

$$\operatorname{detect}(p_x) \to \mathcal{I}_h$$

Where p_x is a program that attackers do not know if it is watermarked. \mathcal{I}_h is the hidden information that the CT watermark algorithm tries to hide. In our experiment, the \mathcal{I}_h that the CT watermark algorithm tries to hide is the existence of the CT watermark. We assume attackers can not judge whether p_x is p_u or p_w without using their own **detect** function.

The \mathcal{I}_o may include one or more classes of the following information, as suggested in [21]:

- The availability of the information of the CT watermark algorithm. It is the extent of details from which attackers can learn the CT watermark algorithm. In our attack model, we assume that attackers can learn all the details of the CT algorithm. The reason for accepting this assumption is discussed in the Section 2.2.2.
- The number of program p_w that the attackers can collect. In our attack model, we only consider the scenario that the attackers can collect only one copy of the p_w without the unwatermarked version of p_w . But we assume that attackers can obtain as many as other possible unwatermarked programs p_u without their p_w versions.
- The availability of embed and extract function. In our attack model, we consider only the the scenario that attackers can not access the official embed and extract function.

 SK_a can be static or dynamic or hybrid static-dynamic program analysis skills. They differ mainly in that: static analysis obtains the information it is inspecting for by checking the codes of the target program without running the program; however, dynamic analysis obtains the information by executing the program. Hybrid static-dynamic analysis is a mixture of static and dynamic analysis [23]. Statistical analysis is one kind of static analysis method. When we do the statistical analysis based on the pattern of the program, then the method is called *software statistical pattern analysis*. In our attack model, we predefine the attackers own *software statistical pattern classification* skill. So they can classify softwares into classes (in our case, the classes are the CT watermarked program class C_w and unwatermarked program class C_u) based on pattern classification skill. The pattern classification skill is to classify an unknown program into C_w or C_u based on the statistically significant difference of patterns between C_w and C_u .

 \mathcal{I}_h is the information that the watermark designers try to hide. \mathcal{I}_h may include one or more pieces of the following information suggested by Cox [12]:

- The existence of a watermark: The information about whether an unknown $p_x \in C_w$ or $\in C_u$. In our attack model, we will consider only this scenario.
- The content of watermark: The information about the secret message embedded the watermark. We will not consider this scenario in our attack model.
- Location of watermark: The information about the locations of codes to construct the watermark in the codes of target program.

2.3.2 Limited Meaning of Our Detection of Watermark Attack

The results of our attack will only have limited meaning. Firstly, we can not distinguish whether the vulnerability is from the CT watermark algorithm or its implementation To distinguish where the vulnerability comes from, we need a white-box-check, i.e. checking the codes of Sandmark. But our experiment is a black-box-test, i.e. not allowed to check the codes of Sandmark. So we can not make the judgement in our experiment. The whitebox-check is an area for our future work. Secondly, we can not deny the possibility that a smarter attacker can find a better **detect** function than ours. So even our attack fails, we can only claim that the CT watermark is stealthy enough for our attack. No promise that the CT watermark can resist all other detection attacks. Lastly, our experiment result is only valid for our experimental sample set. So even if our attack is totally success, we still can not deny the possibility that it will fail for some programs not in our experimental sample set.

2.4 Judgement

To choose the winner between the defender and the attacker, we need a judgement rule. The judgement rule is defined by the possibility of misclassification on the attacker's part. If the attacker misclassifies more than half of the cases to be tested, then his/her **Detect** function is no better than a random guess. So the attacker fails and the defender wins completely. Otherwise the attacker at least can learn some information, so the attacker succeeds to some extent. The judgement can be more precise by introducing the false positive rate (FP) and false negative rate (FN). False positive means that the classifier falsely report an input program as watermarked when that program is actually not watermarked. False negative means the classifier falsely reports an input program that is unwatermarked when that program is actually watermarked. According to Collberg et al. [11], attackers will be more concerned with FN. The higher the FN, the higher the risk that an adversary will be "caught out". The equations for computing FP and FN are:

$$FP = \frac{|\{p_x \in C_u : \operatorname{detect}(p_x) = T\}|}{|\{p_x : p_x \in C_u\}|}$$
(2.1)

$$FN = \frac{|\{p_x \in C_w : \operatorname{detect}(p_x) = F\}|}{|\{p_x : p_x \in C_w\}|}$$
(2.2)

Figure 2.1 shows our judgement categories are mutually exclusive. We can describe the failure of attacker in the following equations.



Figure 2.1: Judgement rules

$$max(FN, FP) \ge 50\% \rightarrow \text{total failure of attacker}$$

(total success of defender) (2.3)

$$((0\% < FN < 50\%) \cap (0\% < FP < 50\%)) \rightarrow$$
 weak success of attacker

(weak failure of defender) (2.4)

 $((FN=0\%) \cap (0\% < FP < 100\%)) \rightarrow {\rm strong}$ success of attacker

(strong failure of defender) (2.5)

 $((FP = 0\%) \cap (0\% < FN < 100\%)) \rightarrow$ strong success of attacker

$$(strong failure of defender) \tag{2.6}$$

$$((FN = 0\%) \cap (FP = 0\%)) \rightarrow \text{total success of attacker}$$

(total failure of defender) (2.7)

2.5 Discussion

In the discussion section, we discuss each of the four designers' limitations on attacker. Then the dangers of AUDW are also discussed. Lastly, we discuss issues about judgement rules.

2.5.1 Designers' Limitation 1 on Attacker

The reason behind designers' limitation 1 on attacker is: security society people generally believe that it is impossible to keep a security algorithm secret [21]. So the security must completely depend on the secret key [1]. This principle is called Kerckhoffs' principle which is the foundation of cryptography. Kalker [21] and Furon [17] suggest applying Kerckhoffs' principle in watermark design too. The CT watermark algorithm obeys this principle.

Then one question arises: what can an AUDW attacker learn from the CT algorithm? It is very likely that the attacker may notice that the CT algorithm will use many codes related to node building and node linking. Although node building and node linking codes is often used in object-oriented programming, it may still not be very stealthy in some cases. The relatively high frequencies (e.g.in cycle graph) of using nodes building and linking codes to build the CT watermark might still be 'abnormal'. That 'abnormality' can then help attackers to distinguish the CT watermarked programs from unwatermarked programs. Additionally, the attackers can also assume that the opcodes used to build the CT watermark are those opcodes which can construct and link nodes. (Actually, in [11], the Java opcodes and their distributions are already given.) This information can be useful in the feature selection phase of our attack. However, we decide not to give the attacker in our experiment this information. Thus we can find how well SPSS can select features in its discriminant analysis.

Applying Kerckhoffs' principle in watermark design is doubtful. The problem comes from the fact that some techniques we used to defend against attackers can also be used by malicious adversaries. For example, an obfuscating method used to protect the CT watermark from detecting can also be used by adversaries. Adversaries can use that method to escape the detection of software plagiarism. On the other hand, techniques used in detection of software plagiarism can also be used in detection of software watermark by adversaries. So at least one type of adversary will win.

Although Kerckhoffs' principle is appropriate in cryptography, it is less appropriate in watermark design. Adversaries who attack the password put in enormous effort because the benefit of breaking passwords can be enormous, too. However, Adversaries who attack the watermark will not extend more effort beyond developing their own similar program. Similar program here means the program can perform the similar functions as the program protected by the watermark. Otherwise attackers who break the watermark can gain no economic benefits. So adversaries who attack watermark should be "weaker" than adversaries who break passwords. Another fact is that the effort paid to understand the watermark algorithm can be a large part of the effort paid to attack the watermark. As a result, Kerckhoffs' principle may be not quite suitable for watermark design consideration.

2.5.2 Designers' Limitation 2 on Attacker

Designers' limitation 2 on attacker gives the restriction that an attacker can not access the source code of the target watermarked program. Normally an attacker can seldom access the source code of the target program in the real world. Since the Java class files can be easily disassembled into opcodes, we reason those opcodes and their sequences (k - gram) used as our features are appropriate.

2.5.3 Designers' Limitation 3 on Attacker

Designers' limitation 3 on attacker restricts the size of the CT watermarked program. That is, it will make it difficult for attackers to read the CT watermarked program manually. If the attacker must pay a significant effort, the security goal of the CT algorithm is already somehow achieved.

However, we should take care with this limitation. Some types of "manual" attacks are not quite "difficult" for attackers. For example, attackers may manually read the constant pool with ease while reading the entire codes of that program line by line is difficult. Another possible scenario is suggested in [11]. The attacker may develop an automated tool which can reveal a small part of suspicious codes related to watermark construction. Then he can check those suspicious codes manually.

In addition, we can not quantitatively define how large a file has to be to make it "difficult" for an attacker to read it "manually". The skill of reading code manually can vary much from attacker to attacker. What is more, the programmer can make the code more difficult to be read manually according to Roedy's paper [18].

But we can argue this limitation according the generally believed fact that programs are "Easier written than read" [35]. That is, the effort on reading (and understanding)the entire program line by line often will be more than the effort of writing the same program. The effort can be measured by person months. According to Norman et al. [27], "because staff costs often dominate overall project cost, the term 'cost estimation' and 'effort estimation' are sometimes used interchangeably". So we can make an assumption that the cost to read a program (manual attack cost) will also be more than the cost to write the same program (program developing cost). From an economic viewpoint, those attacking for business reasons will choose the lower cost between the manual attack cost and the program developing cost. Since the program developing cost is often lower than the manual attack cost, attackers will not choose manual attack. That means we need not consider manual attack in most cases.

So when performing our AUDW, we can not exclude those relatively "small" programs. However, the success of detecting a watermarked program is related to the size of the target watermarked file. So we need to limit the file size to keep the CT watermark stealthy.

2.5.4 Designers' Limitation 4 on Attacker

Designers' limitation 4 on attacker excludes a certain type of attack based on dynamic analysis. It is not relevant to our attack which is based on static analysis.

2.5.5 The Danger of the Detection of Watermark Attack

Attackers can use the AUDW for two purposes:

- Attackers' purpose 1: when attackers can use their detect function to decide whether a unlabeled program is CT watermarked, they can collect programs judged as CT watermarked by their detect function. The collected CT watermarked programs can be used for further attacks.
- Attackers' purpose 2: when attackers can use their detect function to decide whether an unlabeled program is CT watermarked, they can avoid pirating the programs watermarked by the CT watermark algorithm to avoid being caught.

One example of the dangers of AUDW is that if attackers can detect a watermark with $(FP = 0\%) \cap (0\% < FN < 100\%)$, then they can obtain more than one copy of watermarked programs p_w . So a collusion attack can be applied. A collusion attack relies on owning more than one copy of watermarked programs p_w [12]. In addition, the collusion attack can be more dangerous when more and more copies of watermarked programs are collected by the unauthorized **detect** function.

2.5.6 The Judgement Rule

Equation (2.3) means that the $\partial etect$ function will do no better than a random guess which will have max(FN, FP) = 50%. So the $\partial etect$ function is useless. We define such a $\partial etect$ function as the total failure of attackers.

Equation (2.4) means that the **detect** function can do better than a random guess. So the **detect** function will somehow reveal the existence of the watermark. However, this is risky for attackers because sometimes they will judge a watermarked program as an unwatermarked program. So we define such a **detect** function as a weak success for attackers.

Equation (2.5) means that the *detect* function can detect a watermarked program without judging an unwatermarked program as a CT watermarked program. The *detect* function will be useful for attackers if their purpose is the *Attackers' purpose 1* which was discussed in Section 2.5.5. It is because the collected watermarked programs by the attacker will not mix with unwatermarked programs. So we define such a *detect* function as a strong success for attackers.

Equation (2.6) means that the **detect** function can detect a watermarked program without judging a watermarked program as an unwatermarked program. The **detect** function will be useful for attackers if their purpose is the **Attackers' purpose 2** which was discussed in Section 2.5.5. It is because the attacker will not risk pirating a CT watermarked programs. So we define such a **detect** function as a strong success for attackers.

Equation (2.7) means that the **detect** function will not make any mistakes, so it a full success for attackers.
3

Pattern Classification Theory

3.1 Introduction

In this chapter, we focus on pattern classification theory and the choices involved in pattern classification procedure. How those different choices will change the accuracy of classification results are also discussed. In the next chapter, we will show that our experiment design obeys the theory discussed in this chapter.

3.2 Overview of Pattern Classification

Pattern classification is one method which can be used for the detecting watermarks. In the procedure of watermark detection, unknown programs are classified into two categories: watermarked programs C_w and unwatermarked programs C_u . When the decision is based on some patterns of programs, it is an application of pattern classification. Usually, pattern classification system will include steps such as *data collection, feature choice, model choice, training, evaluation*[16]. Steps can be repeated more than once. Our experiment design will basically follow those steps. We will discuss them in the following subsections.

3.2.1 Data Collection

The most important thing in data collection is to ensure the collected sample set can represent the sample set to be collected [40]. Otherwise we must reason why and how the samples collected are different from the samples to be collected [40]. Webb [40] also discussed that other aspects should be considered in data collection. Those aspects include the total sample *size*, the *ratio* of sample numbers between C_w and C_u , *cost* related to data collection and the randomly sampling *rule*. Our choices are as follows.

- sample size: The maximum size (number of samples) of our collected sample set is 234. It is because that we decide to only use the same 234 samples collected by Collberg in our experiment. We will not optimize our sample set as presented in Section 3.3.1. It will be one of the reasons for lowering the accuracy of our classifier.
- the ratio between C_w and C_u : In the real world, $C_w \ll C_u$. In our experiment, we set our ratio of C_w to C_u above 1:150 for training purposes. The training procedure will be discussed in Section 3.2.4
- cost related to data collection: In our experiment, the main cost related to data collection is in embedding watermark manually.
- *randomly sampling rule*: We are using the sample set from the research group of Prof. Collberg. We choose to trust their randomly sampling rule which is used to collect the sample set.

3.2.2 Feature Choice

Feature choice includes two-level choices: level one choice involves features suitable for representing softwares while level two choice has features for data reduction purposes. Both of these considerations are described, and our reasons for choosing them, below.

Choosing Features Suitable for Representing Softwares

We choose opcode level k-grams as features to present the patterns of software programs because it is a general technique for detecting the similarities between programs [4, 25]. We only consider the situation that $k \leq 3$ in our experiment. We keep k a small number because the number of features will increase dramatically when k increases. The so-called *curse of dimensions* problem will be discussed in Section 3.3. Features can also be based on bytecode sequences or other software metrics. We choose k-grams as our features because they are resilient to semantic-preserving transformations [25].

We retrieve the pattern of a program by measuring the features (k - grams) of that program. The details about how to measure the k - grams to form the pattern of a program are shown in Figure 4.1.

Choosing features for data reduction purposes

For data reduction purposes, we will select only a subset of k-grams in our pattern classification. The need to reduce the number of features for two reasons [16, 40]:

- 1. Reducing the time and space used in statistical analysis.
- 2. Increasing the accuracy of statistical analysis.

We will discuss the second reason more in Section 3.3.

3.2.3 Model Choice

Our model choice (classifier choice) is Fisher's linear discriminant classifier. A classifier is a "rule to assign a class (or doubt or outlier) to new examples" [3]. A classifier takes an input

example (a program), and gives a classification result as the output. The classification result is given by applying a classification algorithm to some measurements of the features of that program. We name the classifier after the classification algorithm used in this thesis.

According to Raudys [32], there exist more than two hundred pattern classification algorithms and six important algorithm are described. These six important algorithm have demonstrated practical uses. Among them, Fisher's linear discriminant algorithm is the most popular [32]. Besides, it often gives good results [32]. So very likely, an attacker would be likely to try Fisher's linear discriminant classifier first, especially when he/she does not have other information to determine which classifier is better.

3.2.4 Training

A training procedure adjusts the coefficients of the classification function to fit those "known programs". "known programs" means that the attacker knows whether the programs are watermarked or not.

"Known programs" are those programs whether watermarked or not are known to the attacker. After training, we can use the classification function with adjusted coefficients to classify new programs or unknown programs.

3.2.5 Evaluation

Evaluation estimates the "real" misclassification rate by additional experiments. Four main methods are often used [32].

- The *resubstitution* method: All training samples are reused in evaluation
- *The hold-out* method: The sample set is divided into two parts. One part is for training and another part is for evaluation purpose. We adopt this method for our experiments.
- The cross-validation method: Suppose we have n number of samples, each time we

select k samples as the training set and the remaining n - k samples as the test set. When the k samples should not be repeated, then we can result in $\binom{n}{k}$ choices. Each choice will have an error rate. Finally the average error rate of all the $\binom{n}{k}$ choices will be computed and used in evaluation.

• The bootstrap method: In bootstrap, resampling samples from the training set r times forms r bootstrap sample sets. The error rate of each bootstrap sample set is compared with the error rate of the whole training set. Then we can use the differences of error rate for evaluation purpose.

3.3 Discussion

In this section, we will discuss how to optimize the pattern classification activities. In practise and in our experiment, an attacker may not be able to optimize each of his/her pattern classification activities. Then the error rate of attacker's **detect** function will increase.

3.3.1 Data Collection

To determine the appropriate sample size, we must consider several factors. Those factors include dimension d, the cost of classification the desired performance, the complexity of the classification rule and the asymptotic probability of misclassification. However, it is very difficult to theoretically calculate the relationship between the finite sample size and the performance of a certain classifier performance. So we just adopt the guidance of "having 5-10 times more samples per class than feature measurements" [40].

3.3.2 Feature Choice

Data reduction is important because of the *curse of dimensions*. The *Curse of dimensions* means that the complexity of high dimensions greatly increase the difficulty in developing an optimized discriminant function [16].

The dimension used in pattern classification can affect both of the feature extractor and classifier [16]. An high dimension will increase "the cost and complexity of both the feature extractor and classifier" [16]. An high dimension can also affect PMC as described below:

In that if the training sample size is unlimited, adding new features will help to increase the accuracy of the classifier (or reduce PMC) [16]. At least, adding new features will not increase PMC. So the higher the dimension, the lower the PMC.

However, if the sample number is fixed, according to [32], a *peak phenomenon* appears. With a *peak phenomenon*, the initial increase of feature numbers will reduce the possibility of misclassification (*PMC*). However, when the feature numbers exceed a certain number (d_{opt}) , the increase of feature numbers will increase *PMC* too. In the case of using linear discriminant function, $d_{opt} = \frac{N}{2} - 1$ when N is the total number of features.

3.3.3 Model Choice

Fisher's discriminant function might not be the best function we can use. However, according to the *No Free Lunch Theorem* [16], no classifier or learning algorithm is always better than others without considering the nature of the task. The nature of the task includes those factors that can affect the *PMC*. According to Raudys [33], the *PMC* of all discriminant functions depend on the following factors :

- The classification rule type;
- Training sample size $|\mathcal{P}_t|$;
- Asymptotic probability of misclassification PMC_{∞}

$$PMC_{\infty} = \lim_{|\mathcal{P}_t| \to \infty} EPMC$$
 (expect PMC when training size is $|\mathcal{P}_t|$)

;

• Dimensionality d.

Raudys also gives the analytical formulas to calculate the PMC of four popular linear discriminant functions (including Fisher's discriminant function which is called "standard linear DF" in that article). So we can obtain the theocratical PMC of Fisher's discriminant function when we know the PMC_{∞} , $|\mathcal{P}_t|$, and d. If we can calculate the EPMC, then we know the theoretical PMC which is independent from those samples in the training set. So we can overcome one of the restrictions of AUDW: our experiment result is valid only for our collected sample set \mathcal{P}_{E_0} . Nevertheless, the computation is complex. So in practice Raudys [33] uses the average error rate of 10-100 runs to estimate the EPMC, where each run should randomly draw $|\mathcal{P}_t|$ samples from the sample set.

Such a calculation needs $|\mathcal{P}_t|$ to be relatively large. However, currently we do not have many CT watermarked programs for training. Since we can only embed watermarked programs manually, the time spent on preparing a large number of CT watermarked programs will be too much for our experiment. So calculating the *EPMC* is beyond the scope of our experiment.

Currently we can not estimate well which classification algorithm is better. There are no published reports comparing classification algorithm on the accuracy of classifying watermark and unwatermarked programs. We can only select the classification algorithm in our experiment by our experience. In our experiment, we select Fisher's discriminant function as our classification algorithm. If we consider only the accuracy of classifiers, Fisher's discriminant function classifier might not be the best choice. According to [33], when $|\mathcal{P}_t|$ is small, it is better to choose simpler classification rules or reduce d. Some algorithms like Euclidean discriminant function may work better. However, it is easier to select features by using Fisher's discriminant function. The selection procedure can be automatically done in SPSS. That is why we finally choose Fisher's discriminant function.

3.3.4 Training Procedure

In the training procedure, we should be aware that our classifier should not overfit the training set. Overfitting means our classifier is too complex so that it works excellently on training set but poorly on test set [16]. To avoid overfitting, we need to control d.

3.3.5 Fisher's Discriminant Pattern Classifier

Fisher's discriminant pattern classifier should be based some assumptions. SPSS, the tool we use to do the statistical analysis work, also uses those assumptions. However, in the real world, those assumptions often are not valid. We will discuss the assumptions and the effect of violating them in Chapter 4.

4 Experiment Design

4.1 Introduction

For the most part, our experiment design is based on the classification design cycle as we discussed in Chapter 3. We also adopt the experiment design techniques presented in [19].

In this experiment design, we introduce the two roles of attacker and experimenter. The attacker is confined by the restrictions on attackers as defined in Chapter 2. His/her job is to develop a classifier (or $\partial etect$ function) on the samples provided by the experimenter. The experimenter's job is to distribute samples to the attacker and evaluate the classifier developed by the attacker. The relationship between the experimenter and the attacker will be discussed in Section 4.5.

As discussed in Chapter 2, the error rate (FN and FP) of the attacker's **detect** function determines the winner of the match between the watermark designer and the attacker. So we make some hypotheses on factors that can affect the error rate of the attackers' **detect** function. We design one main experiment set and two additional experiment sets to verify our hypotheses.

4.2 Experiments

All the experiments we made in this thesis form our experiment set.

Definition (Experiment Set)

The experiment set \mathcal{X} is an ordered set of **run***s*. A *run* is an experiment which runs with a certain combination of experiment parameters [19], and **run***^r* denotes the *r* - *th run* in our case [15].

$$\mathcal{X} = \{run_1, run_2, \dots, run_r, \dots, run_{14}\}$$

and \mathcal{X}_A is a subset of \mathcal{X} which is our main experiment set.

$$\mathcal{X}_A = \{run_1, run_2, \ldots, run_9\}$$

 \mathcal{X}_B and \mathcal{X}_C are also subsets of \mathcal{X} which is our additional experiment sets.

$$\mathcal{X}_B = \{run_{13}, run_{14}\}$$

$$\mathcal{X}_{C} = \{run_{10}, run_{11}, run_{12}\}$$

Both of \mathcal{X}_A and \mathcal{X}_C are based on pattern classification. We use \mathcal{X}_A and \mathcal{X}_C to simulate the AUDW of attackers. The goals of \mathcal{X}_A and \mathcal{X}_C are to find factors which can significantly affect the accuracy of attacker's **detect** function. Details of \mathcal{X}_A and \mathcal{X}_C will be discussed in Sections 4.8 and 4.9 respectively.

 \mathcal{X}_B is a simple experiment with only one run. With it, we explore the change of watermark size ratio caused by the different selection of watermark embedding parameters.

We will give the experiment flowchart of r - th run in Section 4.7 ($1 \le r \le 12$). The 13-th and 14th runs (\mathcal{X}_B) are simple, so the flowchart of them is not need.

4.3 Sample Sets

The sources of our experiment sample sets are from the Java programs collected by Collberg's group from the internet. We assume none of those Collberg's samples are watermarked.

Definition (Original Experiment Sample Set)

Let the \mathcal{P}_{E_0} be the finite, ordered subset of \mathcal{P}_u which is selected for our experiment:

$$\mathcal{P}_{E_0} = \{p_1, p_2, \dots, p_i, \dots, p_{234}\}$$

where p_i is the i-th program in \mathcal{P}_{E_0} (Table A.2 has the name and size of the element programs in \mathcal{P}_{E_0} .)

Our experiment requires building some CT watermarked programs. The watermarks used for those programs for our experiment are listed in Table A.4.

Definition (Watermark Set for Experiment)

Let watermark set for experiment \mathcal{W}_E be the subset of \mathcal{W} which will be used in our experiment. (The elements of \mathcal{W}_E are listed in Table A.4.) We denote \mathcal{W}_E^r as the subset of \mathcal{W}_E which is used in r - th run.

The combinations of CT watermark embedding parameters in Sandmark should also be considered in our experiment. For our experiment, we focus on two options of embedding parameters: *numeric watermark*, *use cycle graph*. *Numeric watermark* means that Sandmark will only accept a numeric number as watermark [7]. Collberg claims that by using only the numeric number as watermark, the watermark algorithm will be

| parameters | Numeric | Use | Other fixed |
|------------|-----------|-------------|----------------|
| selection | watermark | Cycle graph | parameters $*$ |
| m_1 | 0 | 1 | ** |
| m_2 | 1 | 0 | ** |

"1" means select, "0" means not select

* The fixed parameters list in Appendix A.2.4.

** Using default value. Those default values are listed in Appendix A.2.4.

Table 4.1: Combinations of CT watermark embedding parameters in Sandmark

more efficient than using an arbitrary string watermark [7]. Use cycle graph means that Sandmark will build a more complex watermark graph to resist node splitting attacks [7]. When Sandmark embeds watermark by using use cycle graph option, it will replace each node in the watermark graph with a 3-node cycle [7]. In our experiment, we will only consider these two options. Other fixed watermark embedding parameters are kept in their default values. The default values of those fixed watermark embedding parameters are in Appendix A.2.4.

Definition (Embedding parameter combination Set for Experiment)

Let embedding parameter combination Set for Experiment \mathcal{M}_E be the ordered subset of \mathcal{M} . $\mathcal{M}_E = \{m_1, m_2\}$. The combinations used for m_1 , m_2 are listed in Table 4.1.

Definition (Experiment Sample Set)

Let \mathcal{P}_E^r be the subset of \mathcal{P} which is used for r - th run. We map \mathcal{P}_E^r from \mathcal{P}_{E_0} by the **transform** function (for $r \leq 12$).:

$$\mathcal{P}_{E_0} imes \mathcal{W}_E imes \mathcal{K}_E imes \mathcal{M}_E
ightarrow \mathcal{P}_E^r$$

$$\operatorname{transform}(p_{0,j}) = \begin{cases} p_j = \operatorname{embed}(p_{0,j}, w_j, key_j, m_1) & \text{if } j \in \{1, 5, 15, 16, 18, 30, 50, 54, 60, \\ 69, 73, 77, 78, 87, 92, 123, 141, 155, \\ 197, 207, 234\} and (10 \le r \le 12) \\ p_j = \operatorname{embed}(p_{0,j}, w_{j+1000}, key_j, m_1) & \text{if } j \in \{1, 5, 15, 16, 18, 30, 50, 54, 60, \\ 69, 73, 77, 78, 87, 92, 123, 141, 155, \\ 197, 207, 234\} and (1 \le r \le 9) \\ p_j = \emptyset & \text{if } j \in \{19, 37, 9, 22, 24, 33, 40, 47, 48, \\ 88, 94, 100, 103, 114, 119, 125, 138, \\ 147, 157, 159, 162, 164, 175, 176, 177, \\ 178, 207, 229, 230, 129, 152, \\ 144, 75, 143, 182\} \\ and (1 \le r \le 9) \\ p_j = p_{0,j} & \text{otherwise} \end{cases}$$

All watermarked programs in \mathcal{P}_E^r are denoted as $\mathcal{P}_{w,E}^r$.

$$\mathcal{P}^r_{w,E} = \mathcal{P}_w \cap \mathcal{P}^r_E;$$

All unwatermarked programs in \mathcal{P}_E^r are denoted as $\mathcal{P}_{u,E}^r$.

$$\mathcal{P}^r_{u,E} = \mathcal{P}_u \cap \mathcal{P}^r_E$$

In r - th run, \mathcal{P}_E^r will be split into \mathcal{P}_t^r and \mathcal{P}_v^r . \mathcal{P}_t^r is the subset of \mathcal{P}_E^r which is used by adversaries to build *classifier*^r in the r - th run. \mathcal{P}_v^r is the subset of \mathcal{P}_E^r which is used by the experimenter to verify the accuracies of adversaries' *classifier*_r. \mathcal{P}_t^r and \mathcal{P}_v^r should be *mutually exclusive*:

$$\mathcal{P}_t^r \cap \mathcal{P}_v^r = \phi$$

The subsets of \mathcal{P}_t^r and \mathcal{P}_v^r are:

$$\mathcal{P}_{w,t}^r : \mathcal{P}_{w,t}^r = \mathcal{P}_w \cap \mathcal{P}_t^r$$
$$\mathcal{P}_{w,v}^r : \mathcal{P}_{w,v}^r = \mathcal{P}_w \cap \mathcal{P}_v^r$$
$$\mathcal{P}_{u,t}^r : \mathcal{P}_{u,t}^r = \mathcal{P}_u \cap \mathcal{P}_t^r$$
$$\mathcal{P}_{u,v}^r : \mathcal{P}_{u,v}^r = \mathcal{P}_u \cap \mathcal{P}_v^r$$

4.4 Pattern

As we mentioned in Chapter 1, a pattern consists of the measurements of a set of characteristic features of an object [40]. In our experiment, the characteristic features are k - grams.

Definition (Experiment Gram Set)

Let the gram set for r-th run be \mathcal{G}^r . $\mathcal{G}^r = \{k-gram_1, k-gram_2, \ldots, k-gram_i, \ldots, k-gram_n\}$, where k is the length of the k-gram, n is the number of k-grams in \mathcal{G}^r . For a particular r-th run, we always use the same k. So \mathcal{G}^r is a subset of \mathcal{A}^k .

$$\mathcal{A}^k = \underbrace{\mathcal{A} \times \ldots \times \mathcal{A}}_{n \text{ times}}$$

where \mathcal{A} is defined as the ordered set of Java opcodes, $\mathcal{A} = \{op_1, op_2, \dots, op_j, \dots, op_{206}\};$ op_j is the j-th opcode in \mathcal{A} ; the elements of \mathcal{A} are shown in Table A.1.

In $r - th \ run$, we retrieve a pattern vector \overrightarrow{p}^r from a p by a measure procedure. The measure procedure is based on \mathcal{G}^r . The pattern vectors retrieved from \mathcal{P}_E^r are used by attackers to train his *classifier*_r and used by experimenter to evaluate attackers' *classifier*_r.

Definition (Pattern Set)

Let \mathcal{T}_*^r be the set of pattern vectors retrieved from \mathcal{P}_*^r in r - th run. \mathcal{T}_*^r relates to \mathcal{P}_*^r for the r - th run under the *measure* procedure described in Figure 4.1; the *measure* procedure will take a $p \in \mathcal{P}_*^r$ and the \mathcal{G}^r as input and return a pattern vector $\overrightarrow{p} \in \mathcal{T}_*^r$ as output; e.g. $\mathcal{T}_{w,t}^r$ relate to $\mathcal{P}_{w,t}^r$ for the r - th run.

Figure 4.1 shows the measure procedure in r - th run for a arbitrary program whose jar file is called A.jar. The meaning of each step is as follows:

- *Step 1*: We obtain Java .class files for Java .jar file then disassemble Java class files into Java byte code.
- Step 2: We filter out the opcode list.
- Step 3: We sweep a window of size k on the opcode list to retrieve the k gram sequence of A.jar.
- Step 4: We count the frequencies of occurrence of all k grams belong to \mathcal{G}^r upon the k - gram sequence of A.jar retrieved in Step 3. Then we obtain a frequency vector \overrightarrow{f}^r of A.jar for $r - th \ run$. $\overrightarrow{f}^r = \{f_1, \ldots, f_n\}$, where n is the number of k - grams in \mathcal{G}^r .
- Step 5: We retrieve the pattern vector \overrightarrow{p}^r from \overrightarrow{f}^r . $\overrightarrow{p}^r = \{f'_i \in \overrightarrow{p}^r, f_i \in \overrightarrow{f}^r : f'_i = \frac{f_i}{\sum_{i=1}^n f_i}\}$

4.5 Two Roles

We define two roles operate in our experiment design: the attacker and the experimenter. As a restriction in Section 2.3, an attacker can not access the official embed and extract functions of the CT watermark algorithm. Also an attacker can not evaluate his/her classifier. So we need to define a role as experimenter to prepare the \mathcal{P}_E^r for r - th runand evaluate the classifier of attacker.

Figure 4.2 shows the relationship between them in the r-th run. We denote $attacker^r$ as the attacker in the r-th run. In the r-th run, the experimenter distributes the



Figure 4.1: Measuring Procedure

 \mathcal{P}_t^r to the *attacker*^r, then the *attacker*^r trains his/her *classifier*^r with \mathcal{P}_t^r and Fisher's discriminant function. The trained *classifier*^r will be evaluated by the experimenter with \mathcal{P}_v^r . The experimenter uses FN^r and FP^r to evaluate the accuracy of *classifier*^r. We



Note: dotted line means exchanges between the Attacker and the Experimenter Figure 4.2: The Relationship Between the Attacker and the Experimenter in r - th rundenote the output of r - th run as \mathcal{O}^r .

$$\mathcal{O}^r = \{FN^r, FP^r\}$$

4.6 Hypotheses

In our experiment, we try to explore the factors which will significantly affect the accuracy of an attacker's classifier. Since no surveyed paper can report which factor can significantly affect the accuracy of an attacker's classifier, we keep hypotheses and verify them by our experiment.

Our main hypothesis relates to the levels of watermark size ratios of $\mathcal{P}_{w,t}^r$ and $\mathcal{P}_{w,v}^r$. The watermark size ratio is defined as follows.

| Levels | definitions |
|---------|--|
| QTL_1 | $\forall q \in \mathcal{Q}_{w,t}^r, 0 < q \le 0.2$ |
| QTL_2 | $\forall q \in \mathcal{Q}_{w,t}^r, 0.2 < q \le 2.0$ |
| QTL_3 | $\forall q \in \mathcal{Q}_{w,t}^r, 2.0 < q$ |
| QVL_1 | $\forall q \in \mathcal{Q}_{w,v}^r, 0 < q \le 0.2$ |
| QVL_2 | $\forall q \in \mathcal{Q}_{w,v}^r, 0.2 < q \le 2.0$ |
| QVL_3 | $\forall q \in \mathcal{Q}_{w,v}^r, 2.0 < q$ |

Table 4.2: The levels of watermark size ratio of $\mathcal{P}_{w,t}$ and $\mathcal{P}_{w,v}$

Definition (watermark size ratio)

Let q be the watermark size ratio of a watermarked program, $\mathcal{Q}_{w,*}^r$ is the set of watermark size ratios of programs in $\mathcal{P}_{w,*}^r$, $\mathcal{Q}_{w,*}^r \subseteq \mathbb{R}$, and the **ratio** function maps $\mathcal{Q}_{w,*}^r$ from $\mathcal{P}_{w,*}^r$:

$$\mathcal{Q}_{w,*}^r = \{ q \in \mathcal{Q}_{w,*}^r, p_w \in \mathcal{P}_{w,*}^r : q = \mathfrak{ratio}(p_w) \}$$

The **ratio** function is defined as:

 $\mathfrak{ratio}:\mathcal{P}_w
ightarrow \mathbb{R}$

$$\mathfrak{ratio}(p_w) = \{ p_w \in \mathcal{P}_w : \frac{|p_w| - |p_u|}{|p_u|} \}$$

Where $p_w = embed(p_u, w, key, m)$; w is the watermark to be embedded; key is the input sequence used to embed watermark, m is the combination of parameters used in watermark embedding. $|p_w|$ and $|p_u|$ are the size of p_w and p_u respectively, the size is measured by the sum of all the frequencies of the occurrence of all ops in a program p. e.g.a program $p \equiv \langle ADD, SUB, ADD, ADD, SUB, AASTORE \rangle$, then |p| = 6

Then we denote the levels of watermark size ratios of $\mathcal{P}_{w,t}^r$ as QTL^r : $QTL^r \in \{QTL_1, QTL_2, QTL_3\}$, where QTL_1 , QTL_2 , QTL_3 are defined in Table 4.2.

Similarly, we denote the levels of watermark size ratios of $\mathcal{P}_{w,v}^r$ as QVL^r : $QVL^r \in \{QVL_1, QVL_2, QVL_3\}$, where QVL_1, QVL_2, QVL_3 are defined in Table 4.2.

Our main hypothesis of the experiment is **Hypothesis One**: While all other experiment parameters are fixed, FN^r and/or FP^r of attacker's classifier^r will decrease when QTL^r and/or QVL^r increase. On the other hand, FN^r and/or FP^r of attacker's classifier^r will increase when QTL^r and/or QVL^r decrease.

The basis of **Hypothesis One** comes from a well known fact in media watermark techniques: The error rate of media watermark detection will increase when the size of the embedded watermark decreases for a fixed media file size [38, 5].

Although software watermark algorithms are quite different from the media watermark algorithms, they share many of the same information hiding problems. i.e. in media watermarking, watermark designers should control their watermark size small enough compare to the size of the picture to be watermarked. So the watermark will be imperceivable to human eyes [38, 5]. In software watermarking, we believe that we should also keep the size of the watermark building codes small compare to the size of program to be watermarked. Thus statistical analyzing methods used by attackers can not detect the watermark.

We test **Hypothesis One** using \mathcal{X}_A . The details to implement \mathcal{X}_A will be discussed in Section 4.8.

If **Hypothesis One** is true, then we need to consider the variables which can change the *watermark size ratio*. According to Collberg and Townsend [7], in Sandmark, when we embed watermark using the "*numeric watermark*" option, the watermark embedded will be more efficient. When we embed watermark using the "*cycle graph*" option, each node of the watermark graph will be changed into a 3-cycle. So we assume using the "cycle graph" option will use more codes to build the same watermark than using the "numeric watermark" option.

So our **Hypothesis Two** states that for the same watermark and same program, embedding a watermark employing m_2 parameter combinations (using the "numeric watermark" option) will cause lower water mark size ratio than employing m_1 parameter combinations (using the "cycle graph" option) in Sandmark.

To verify our **Hypothesis Two**, we design a simple one factor experiment \mathcal{X}_B to check it. The details of how to implement \mathcal{X}_B will be in Section 4.9.

The idea of our third hypothesis is from Christian Collberg and Clark Thomborson [11]. They believe that the selection of the length of k - grams will affect the accuracy

of attacker's $\partial etect$ function. So we want to check the effect of the length of k - gram on the accuracy of attacker's $\partial etect$ function.

Our last hypothesis is **Hypothesis Three** where we posit that the selection of k (length of k - grams) in the feature selection phase of attack will change the FN^r and/or FP^r of that attacker's **detect** function while all other experiment parameters are fixed. To verify our **Hypothesis Three**, we design a simple experiment \mathcal{X}_C to check it. The implementation details of \mathcal{X}_C will be in Section 4.10.

4.7 Experiment Flowchart

We design our experiment mainly according to the design cycle described in Chapter 3. The design cycle consists of following activities: *data collection, feature choice, model choice, training* and *evaluation*. In the design cycle described in *Pattern Classification* [16], some activities will repeat to optimize the outputs of the experiment.

However, our experiment design is slightly different from the above design cycle. We assume that attackers can not repeat above the activities to achieve better results to simplify our experiment. Each activity is executed only once.

Figure 4.3 is the flowchart of r - th run in our experiment $(1 \le r \le 12)$. Each r - thrun consists of six steps $(1 \le r \le 12)$. The six steps sequentially implement the activates of data collection, feature choice, model choice, training and evaluation. Details are as follows:

- Step 1: The experimenter builds \mathcal{P}_E^r from \mathcal{P}_{E_0} by the transform function.
- Step 2: The experimenter builds $\mathcal{P}_{w,t}^r, \mathcal{P}_{u,t}^r, \mathcal{P}_{w,v}^r, \mathcal{P}_{u,v}^r$ from programs in \mathcal{P}_E^r .
- Step 3: The experimenter distributes $\mathcal{P}_{w,t}^r$ and $\mathcal{P}_{u,t}^r$ and \mathcal{G}^r to attacker^r and attacker^r uses them to retrieve the pattern set \mathcal{T}_t^r .
- Step 4: The attacker^r builds and trains his detect^r function (or classifier^r) by T^r_t and using Fisher's linear discriminant function.

- Step 5: The experimenter uses $\mathcal{P}_{w,v}^r$, $\mathcal{P}_{u,v}^r$ and \mathcal{G}^r to retrieve the pattern set \mathcal{T}_v^r .
- Step 6: The experimenter evaluates $attacker^r$'s $detect^r$ function.
- Step 7: The experimenter obtain the FN^r and FP^r of $attacker^r$'s $detect^r$ function as \mathcal{O}^r .

Step 1 and 2 implement the *data collection* activity in the pattern classification design cycle. Step 3 implements the *feature selection* and *model choice* activities. Step 4 implements the *training* activity. Steps 5, 6 and 7 implement the evaluation activity.

In Figure 4.3, the $attacker^r$ can access items only inside the dotted oval area. On the other hand, the experimenter can access items only outside the dotted oval area.

4.8 Design for Experiment Set A

We adopt the two-factor full factorial design without replications [19] as our experiment design for experiment set A \mathcal{X}_A . We choose this design because we want to test combinations of all levels of those two factors while each combination runs only once[19]. The two factors we will explore in our experiment are called primary factors [19] and those we selected for \mathcal{X}_A are QTL^r and QVL^r .

Table 4.3 shows the experiment design for experiment set A. Table 4.4 shows the elements of $\mathcal{P}_{w,t}^r$ for experiment set A. Table 4.5 shows the elements of $\mathcal{P}_{w,v}^r$ for Experiment set A. For all *runs* of \mathcal{X}_A :

$$\mathcal{P}_{u,v}^{r} = \{ p_i : p_i \in \mathcal{P}_E^{r}, i \in \{11, 14, 25, 35, 38, 49, 85, 93, 112, 133, 105, 148, 156, 163, 164, 174, 137, 189, 191, 196 \} \}$$



(Notice: The fonts of Sets are slightly changed because the drawing software do not support fonts used by Latex)

Figure 4.3: Flowchart of r - th run in Experiment ($1 \le r \le 12$)

For all *runs* of \mathcal{X}_A :

 $\mathcal{P}_{u,t}^r = \{ p_i : p_i \in \mathcal{P}_E^r, i \notin \{11, 14, 25, 35, 38, 49, 85, 93, 112, 133, 105, 148, ...\}$

156, 163, 164, 174, 137, 189, 191, 196, 1, 5, 15, 16,

18, 30, 50, 54, 60, 69, 73, 77, 78, 87, 92, 123, 141, 155,

 $197, 207, 234\}$

| Levels | QTL_1 | QTL_2 | QTL_3 |
|---------|---------|---------|---------|
| QVL_1 | run_1 | run_2 | run_3 |
| QVL_2 | run_4 | run_5 | run_6 |
| QVL_3 | run_7 | run_8 | run_9 |

Table 4.3: experiment design for Experiment Set A

| $\mathcal{P}^r_{w,t}$ | Elements |
|--|---|
| $\mathcal{P}_{w,t}^r, r \in \{1, 2, 3\}$ | $\{p_i: p_i \in \mathcal{P}_E^r, i = 73\}$ |
| $\mathcal{P}_{w,t}^{r}, r \in \{4, 5, 6\}$ | $\{p_i: p_i \in \mathcal{P}_E^r, i = 155\}$ |
| $\mathcal{P}_{w,t}^{r}, r \in \{7, 8, 9\}$ | $\{p_i: p_i \in \mathcal{P}_E^r, i = 234\}$ |

Table 4.4: Elements of watermarked programs in the training set for Experiment set A

The training and evaluation of \mathfrak{detect} function are accomplished in the SPSS. The SPSS syntax used for run_i , $(1 \le i \le 9)$ is same and is presented in Appendix A.2.6.

The \mathcal{G}^r used in \mathcal{X}_A is:

$$\mathcal{G}^r = \mathcal{A}, 1 \le r \le 9$$

4.9 Design for Experiment Set B

Unlike the two-factor design of experiment set \mathcal{X}_A , \mathcal{X}_B is a simple, one factor experiment. The primary factor used in \mathcal{X}_B is the embedding combination $m_i, i \in \{1, 2\}$ It consists of two runs : run_{13}, run_{14}

the transform function (for $13 \le r \le 14$).:

| $\mathcal{P}^r_{v,t}$ | Elements |
|--|--|
| $\mathcal{P}_{w,v}^r, r \in \{1, 4, 7\}$ | $\{p_i : p_i \in \mathcal{P}_E^r, i \in \{5, 30, 78, 123, 141, 205\}\}\$ |
| $\mathcal{P}_{w,v}^r, r \in \{2, 5, 8\}$ | $\{p_i : p_i \in \mathcal{P}_E^r, i \in \{15, 16, 18, 69, 87, 197\}\}$ |
| $\mathcal{P}_{w,v}^r, r \in \{3, 6, 9\}$ | $\{p_i : p_i \in \mathcal{P}_E^r, i \in \{1, 50, 54, 60, 77, 92\}\}$ |

Table 4.5: Elements of watermarked programs in the test set for Experiment set A

| k | run |
|---|------------|
| 1 | run_{10} |
| 2 | run_{11} |
| 3 | run_{12} |

Table 4.6: experiment design for Experiment Set C

$$\operatorname{transform}(p_{0,j}) = \begin{cases} p_j = \operatorname{embed}(p_{0,j}, w_j, key_j, m_1) & \text{if } j \in \{1, 5, 15, 16, 18, 30, 50, 54, 60, \\ 69, 73, 77, 78, 87, 92, 123, 141, 155, \\ 197, 207, 234\} \text{ and } r = 13 \\ p_j = \operatorname{embed}(p_{0,j}, w_j, key_j, m_2) & \text{if } j \in \{1, 5, 15, 16, 18, 30, 50, 54, 60, \\ 69, 73, 77, 78, 87, 92, 123, 141, 155, \\ 197, 207, 234\} \text{ and } r = 14 \end{cases}$$

4.10 Design for Experiment Set C

As with experiment set \mathcal{X}_B , \mathcal{X}_C is also a simple, one-factor experiment. The primary factor used in \mathcal{X}_C is the length of k - grams used in \mathcal{G}^r . Table 4.6 shows the experiment design of \mathcal{X}_C .

For all runs in \mathcal{X}_C , $\mathcal{P}^r_{w,t}$, $\mathcal{P}^r_{w,v}$, $\mathcal{P}^r_{u,t}$, $\mathcal{P}^r_{u,v}$:

$$\mathcal{P}_{u,v}^{r} = \{ p_i : p_i \in \mathcal{P}_E^{r}, i \in \{11, 14, 25, 35, 38, 49, 85, 93, 112, 133, 143, 148, 156, 163, 164, 174, 177, 189, 191, 196 \} \}$$

156, 163, 164, 174, 177, 189, 191, 196, 1, 5, 15, 16,

18, 30, 50, 54, 60, 69, 73, 77, 78, 87, 92, 123, 141, 155,

 $197, 207, 234\}$

 $r \in \{10, 11, 12\}\}$

 $\mathcal{P}_{w,v}^{r} = \{ p_{i} : p_{i} \in \mathcal{P}_{E}^{r}, i \in \{ 5, 15, 16, 18, 30, 50, 54, 60, 69, 73, 77, 78, 87, 92, \\ 123, 141, 155, 197, 207, 234 \}$

 $r \in \{10, 11, 12\}\}\}$

$$\mathcal{P}_{w,t}^{r} = \{ p_i : p_i \in \mathcal{P}_{E}^{r}, i = 1 \}$$
$$r \in \{ 10, 11, 12 \} \}$$

As in \mathcal{X}_A , the training and evaluation of **detect** function are accomplished in the SPSS. The SPSS syntax used for run_i , $(10 \le i \le 12)$ is presented in Appendix A.2.6. The \mathcal{G}^{10} is listed between "/VARIABLES=" and "/ANALYSIS ALL" of SPSS syntax for 10-th run in Appendix A.2.6. The \mathcal{G}^{11} is listed between "/VARIABLES=" and "/ANALY-SIS ALL" of SPSS syntax for 11-th run in Appendix A.2.6. The \mathcal{G}^{12} is listed between "/VARIABLES=" and "/ANALY-SIS ALL" of SPSS syntax for 11-th run in Appendix A.2.6. The \mathcal{G}^{12} is listed between "/VARIABLES=" and "/ANALY-SIS ALL" of SPSS syntax for 11-th run in Appendix A.2.6. The \mathcal{G}^{12} is listed between "/VARIABLES=" and "/ANALYSIS ALL" of SPSS syntax for 12-th run in Appendix A.2.6.

4.11 Violations of the Assumptions of Fisher's Discriminant Function

4.11.1 Violation of the Assumption of Multivariate Normality

Fisher's Discriminant Function assumes that variables are multivariate normality [28]. However, in practice, this assumption can often be violated [14]. According to [14, 28], this violation will not cause a big problem if the distribution of variables is not far away from the multivariate normality. Otherwise the results will not be accurate.

4.11.2 Violation of the Assumption of Equality of Variance-Covariance Matrices

Fisher's Discriminant Function also assumes that the variance-covariance matrices are equal [14, 28]. The violation of this assumption will cause the classification result not optimal [28]. However, according to [28], the classification result will still be good even this assumption is violated.

4.11.3 Violation of the Common Rule of Sample Size

In [14], a common rule about sample size is that "the number of cases in the smallest group should be five times the number of predictors [here predictor means feature]". However, we have only one sample in the watermarked program group in \mathcal{P}_E . So our feature number should be 0.2. This is impossible. Thus we violate this common rule and will make our results inaccurate.

5

Experiment Results and Analysis

5.1 Introduction

In this chapter, the experiment results are presented and analyzed. The validation of our experiment results are discussed in Section 5.4. Finally, we report some bugs of Sandmark in the CT watermark *extracting* process in Section 5.2.4.

5.2 Experiment Results

Experiment set \mathcal{X}_A is used to verify the **Hypothesis One** which is stated in Chapter 4. Experiment set \mathcal{X}_B is used to verify the **Hypothesis Two** and Experiment set \mathcal{X}_C is used to verify the **Hypothesis Three**.

5.2.1 Results of Experiment set A

Training Results of Experiment set A

In this experiment, the attacker uses SPSS to build his/her **detect** function. We present the Fisher's linear discriminant functions provided by SPSS as the attacker's **detect** function. According to *SPSS 12.0 statistical procedures companion* p314 [28], "[the classification results by using the Fisher's linear discriminant functions] are identical to what you get using the discriminant function scores.". Since by using the discriminant function score, we can read the classification result of each case directly from the "predicted group" column of the "casewise result" Table of SPSS output, our experiment results are actually achieved by SPSS's discriminant function scores.

An example of how to classify the cases by using the Fisher's linear discriminant functions are given in Section 5.5. Here we give only the coefficients of Fisher's linear discriminant functions. Table 5.1, 5.2 and 5.3 present Fisher's linear discriminant function coefficients for (run_1, run_2, run_3) , (run_4, run_5, run_6) , (run_7, run_8, run_9) respectively, where function 1 is the function for unwatermarked program class and function 2 is the function for watermarked program class. We present Fisher's linear discriminant functions as attacker's **Detect**^r functions for run_1 to run_9 .

Table 5.1: Fisher's Linear Discriminant Function Coefficients for run_1 , run_2 , run_3

| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function2 |
|------------------------|-----------|-----------|---------|-----------|-----------|
| dcmpg | 476922.2 | 472120.5 | dsub | -135886.2 | -125462 |
| 12i | 16548 | 14620.7 | fastore | 227475 | 216594.6 |
| aastore | 10488.5 | 11509.1 | ldc | 6121.2 | 6433.9 |
| dcmpl | 2462.7 | 3639.8 | iaload | -5885 | -5186 |
| dload_0 | -65024.7 | -72776.2 | iload_0 | 22702.3 | 24403.6 |
| dload_1 | 93611.5 | 92531.2 | i2l | 17034.7 | 26645.8 |
| Continued on next page | | | | | |

| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function2 |
|---------------|-----------|--------------|-----------------|-----------|-----------|
| fload_0 | -192193.2 | -134889.8 | daload | -39713.1 | -38936.7 |
| dload_2 | 68557.5 | 87797.4 | iload_1 | -80.4 | -213.8 |
| fload_1 | 700803.1 | 637704.2 | iload_2 | -4934.5 | -2073.4 |
| iand | 35281 | 32629.9 | iload_3 | 25430.2 | 26831.9 |
| dload_3 | -48879.3 | -42993.4 | lsub | 150688.5 | 132959.9 |
| fload_2 | -841932.7 | -767289.3 | dreturn | -183709.1 | -196458.9 |
| fload_3 | 1345628.2 | 1270922.3 | dadd | -24681.2 | -37104.3 |
| lreturn | 240247 | 199354.5 | i2s | -105045.1 | -94941.8 |
| athrow | 28253.5 | 25618.3 | bastore | 315.3 | 577.3 |
| dmul | 139813.9 | 145706.1 | lxor | 974939.3 | 786790.4 |
| ifle | 9039 | 5161.4 | imul | 40271.2 | 40512.9 |
| lastore | 59644.9 | 31241.8 | dastore | -4181 | -7939.3 |
| aaload | 16046.2 | 15268.5 | new | -22617.7 | -21840.3 |
| anewarray | -55662.6 | -55806 | ifge | 108769.2 | 115556.7 |
| if_icmple | -20871.8 | -17842.1 | ladd | -387013.3 | -374373.3 |
| irem | 222357.5 | 217004.9 | invokeinterface | 20184.7 | 20356.7 |
| pop | 12019.8 | 11451.8 | if_icmpge | -22637.6 | -23760.7 |
| checkcast | 26302.3 | 25978.4 | iushr | 124828.5 | 118715.7 |
| fsub | 191976.5 | 184478 | sipush | 2367 | 2198 |
| lmul | 129142.4 | 101798.9 | monitorenter | 44515.1 | 49381.9 |
| putfield | 15801.6 | 15639.2 | ifeq | 27483 | 26771.2 |
| ifne | -21458.6 | -19873.4 | dconst_0 | 643.1 | 2537.5 |
| ifnonnull | 365.5 | 1208.7 | $dconst_1$ | 70273.6 | 67280.4 |
| saload | -42626 | -62464.3 | if_icmpeq | 7400.4 | 6618.5 |
| invokespecial | 11763.5 | 11829.2 | tableswitch | -9033.2 | 6148.2 |
| if_icmpne | 15257.6 | 16735.3 | frem | 142712.6 | 935103.5 |
| fcmpg | 9954.3 | 40359.1 | dup_x1 | -59551.1 | -56331.6 |
| | | Continued of | n next page | | |

Table 5.1 – continued from previous page

| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function2 |
|------------------------|------------|-----------|------------|-----------|------------|
| ineg | -98850 | -108921.8 | newarray | 12366.1 | 12123.1 |
| fstore | 200509.1 | 202692 | dup_x2 | 125084.1 | 132111.1 |
| fadd | -545213.4 | -537826.4 | caload | 52584.8 | 51607.5 |
| fcmpl | -1021941.1 | -999832.2 | fconst_0 | 70535.3 | 69818.6 |
| d2f | -591314.6 | -568719.1 | istore | 32990.3 | 29878.8 |
| idiv | 44566.3 | 46227.7 | $fconst_1$ | -350456.2 | -375150.4 |
| astore | 13783.6 | 14201.1 | ifgt | -34233.8 | -48032.7 |
| instanceof | 20769.2 | 19358.8 | $fconst_2$ | 170927.9 | 256264.9 |
| iflt | 21879.3 | 9887.6 | ior | -94967.2 | -78994.2 |
| bipush | 1584.5 | 1122.2 | putstatic | -2445.8 | -648.8 |
| if_icmplt | 54304.1 | 53024.3 | if_icmpgt | -53659.4 | -53725 |
| d2i | -189346.5 | -190576.5 | dstore | 62482.1 | 68946.3 |
| invokestatic | 19405.7 | 18392 | iconst_0 | 346.5 | -78.8 |
| invokevirtual | 12984.7 | 12494.7 | lshl | -36751.9 | -161477 |
| lor | 338583.7 | 438751.7 | iconst_1 | 19775.3 | 18489.6 |
| dup2_x1 | -190002.7 | -255484.3 | iconst_2 | 2619.9 | 984.9 |
| lookupswitch | 45703.6 | 50568.5 | getfield | 1938.6 | 1541.1 |
| d2l | 2358637.9 | 2215489.6 | fneg | 882378.5 | 673188.1 |
| $dup2_x2$ | 294447 | 392300.8 | iconst_3 | 15512.1 | 16182.2 |
| if_acmpeq | 52537.9 | 51169 | lconst_0 | 93115.9 | 90934.7 |
| i2b | 138389.3 | 121263.9 | iconst_4 | -43640.9 | -36837.8 |
| freturn | 72176.7 | 77327.8 | dup | 22675.6 | 22489.1 |
| i2c | -3479.3 | -4554.5 | $lconst_1$ | 155313.5 | 207031.9 |
| return | 2428.7 | 1438.2 | f21 | -1014953 | -1197502.5 |
| i2d | 9394 | 9948.8 | aload_0 | 8400.6 | 8714.8 |
| ret | -210147.6 | -200269.8 | ireturn | 3582.4 | 1895.7 |
| i2f | -35559.8 | -39951.6 | iinc | -24575.8 | -20772.7 |
| Continued on next page | | | | | |

Table 5.1 – continued from previous page

| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function2 |
|---------|--------------|--------------|------------|-----------|-----------|
| sastore | -73249 | -51515.6 | castore | -5759.2 | -4877.7 |
| dload | -21514.1 | -26032.6 | (Constant) | -3330.8 | -3282.8 |
| lload | -112180.4548 | -99961.26121 | | | |

Table 5.1 – continued from previous page

 Table 5.2:
 Fisher's Linear Discriminant Function Coefficients

for run_4 , run_5 , run_6

| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function2 | |
|------------------------|-----------|-----------|---------|-----------|-----------|--|
| dcmpg | 476922.2 | 534027.2 | dsub | -135886.2 | -137199.5 | |
| l2i | 16548 | 4966.6 | fastore | 227475 | 237511.8 | |
| aastore | 10488.5 | 11526.2 | ldc | 6121.2 | 5573.2 | |
| dcmpl | 2462.7 | 590.3 | iaload | -5885 | -4494.6 | |
| dload_0 | -65024.7 | -68056.3 | iload_0 | 22702.3 | 23021.5 | |
| dload_1 | 93611.5 | 87806.8 | i2l | 17034.7 | 11510.7 | |
| fload_0 | -192193.2 | -216809.1 | daload | -39713.1 | -38031.8 | |
| dload_2 | 68557.5 | 55665.9 | iload_1 | -80.4 | 640.5 | |
| $fload_1$ | 700803.1 | 718794 | iload_2 | -4934.5 | -2418.5 | |
| iand | 35281 | 33683 | iload_3 | 25430.2 | 27445.7 | |
| dload_3 | -48879.3 | -49352.4 | lsub | 150688.5 | 172971.1 | |
| fload_2 | -841932.7 | -855240.6 | dreturn | -183709.1 | -170914.9 | |
| fload_3 | 1345628.2 | 1394908.2 | dadd | -24681.2 | -12936.5 | |
| lreturn | 240247 | 280732.1 | i2s | -105045.1 | -116120.8 | |
| athrow | 28253.5 | 26714.7 | bastore | 315.3 | 1327.3 | |
| dmul | 139813.9 | 141792.1 | lxor | 974939.3 | 606330.6 | |
| ifle | 9039 | 8319.9 | imul | 40271.2 | 42581 | |
| lastore | 59644.9 | 136080.5 | dastore | -4181 | -3042 | |
| Continued on next page | | | | | | |

| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function2 | |
|------------------------|------------|-----------|-----------------|-----------|-----------|--|
| aaload | 16046.2 | 15491.4 | new | -22617.7 | -20692.8 | |
| anewarray | -55662.6 | -54542.5 | ifge | 108769.2 | 107385 | |
| if_icmple | -20871.8 | -12813.7 | ladd | -387013.3 | -436632.4 | |
| irem | 222357.5 | 242953.6 | invokeinterface | 20184.7 | 18636 | |
| pop | 12019.8 | 12579 | if_icmpge | -22637.6 | -16237.3 | |
| checkcast | 26302.3 | 26105.6 | iushr | 124828.5 | 132889.4 | |
| fsub | 191976.5 | 194177.8 | sipush | 2367 | 657.2 | |
| lmul | 129142.4 | 150757 | monitorenter | 44515.1 | 42503.4 | |
| putfield | 15801.6 | 16961.5 | ifeq | 27483 | 26797.4 | |
| ifne | -21458.6 | -22573 | dconst_0 | 643.1 | 250.6 | |
| ifnonnull | 365.5 | -932.2 | $dconst_1$ | 70273.6 | 62733.6 | |
| saload | -42626 | -11191.3 | if_icmpeq | 7400.4 | 9468.1 | |
| invokespecial | 11763.5 | 10705.1 | tableswitch | -9033.2 | -23406.8 | |
| if_icmpne | 15257.6 | 9753.6 | frem | 142712.6 | 548499.5 | |
| fcmpg | 9954.3 | 66108.5 | dup_x1 | -59551.1 | -61168.1 | |
| ineg | -98850 | -97338.5 | newarray | 12366.1 | 14654.3 | |
| fstore | 200509.1 | 184600.3 | dup_x2 | 125084.1 | 83772.4 | |
| fadd | -545213.4 | -539713 | caload | 52584.8 | 57185 | |
| fcmpl | -1021941.1 | -1005639 | fconst_0 | 70535.3 | 67184.5 | |
| d2f | -591314.6 | -568592.6 | istore | 32990.3 | 34157.8 | |
| idiv | 44566.3 | 37635.9 | fconst_1 | -350456.2 | -348727.4 | |
| astore | 13783.6 | 15524.3 | ifgt | -34233.8 | -77254.2 | |
| instanceof | 20769.2 | 20982.8 | fconst_2 | 170927.9 | 101995.3 | |
| iflt | 21879.3 | 17253 | ior | -94967.2 | -109865.6 | |
| bipush | 1584.5 | 882.1 | putstatic | -2445.8 | -3207.9 | |
| if_icmplt | 54304.1 | 58915.1 | if_icmpgt | -53659.4 | -53991.8 | |
| d2i | -189346.5 | -202074.7 | dstore | 62482.1 | 48810 | |
| Continued on next page | | | | | | |

Table 5.2 – continued from previous page

| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function2 |
|---------------|-----------|-----------|------------|-----------|------------|
| invokestatic | 19405.7 | 20209.7 | iconst_0 | 346.5 | -867.8 |
| invokevirtual | 12984.7 | 12497.7 | lshl | -36751.9 | -7836.8 |
| lor | 338583.7 | 361662.4 | iconst_1 | 19775.3 | 19706.3 |
| dup2_x1 | -190002.7 | -269845.4 | iconst_2 | 2619.9 | -2244.6 |
| lookupswitch | 45703.6 | 34488 | getfield | 1938.6 | 1903.8 |
| d2l | 2358637.9 | 2597313.2 | fneg | 882378.5 | 610601.3 |
| dup2_x2 | 294447 | 247840.2 | iconst_3 | 15512.1 | 10820.4 |
| if_acmpeq | 52537.9 | 49646.8 | lconst_0 | 93115.9 | 104197.8 |
| i2b | 138389.3 | 150428.9 | iconst_4 | -43640.9 | -37313.4 |
| freturn | 72176.7 | -13795.8 | dup | 22675.6 | 23137 |
| i2c | -3479.3 | -8626.4 | $lconst_1$ | 155313.5 | 152153 |
| return | 2428.7 | 1029.1 | f21 | -1014953 | -1238374.3 |
| i2d | 9394 | 9603 | aload_0 | 8400.6 | 8192.6 |
| ret | -210147.6 | -223124.9 | ireturn | 3582.4 | 3400.3 |
| i2f | -35559.8 | -39197 | iinc | -24575.8 | -33504 |
| sastore | -73249 | -71275.7 | castore | -5759.2 | -5229.5 |
| dload | -21514.1 | -20779 | (Constant) | -3330.8 | -3341.8 |
| lload | -112180.5 | -127434.5 | | | |

Table 5.2 – continued from previous page

Table 5.3: Fisher's Linear Discriminant Function Coefficients for run_7 , run_8 , run_9

| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function2 |
|------------------------|-----------|-----------|---------|-----------|-----------|
| dcmpg | 476922.2 | 644686.9 | dsub | -135886.2 | -128950.4 |
| l2i | 16548 | 14276.9 | fastore | 227475 | 192810.8 |
| aastore | 10488.5 | 12652 | ldc | 6121.2 | 5694.6 |
| Continued on next page | | | | | |

| 1 - qram | Function1 | Function2 | 1-qram | Function1 | Function2 |
|------------------------|-----------|-----------------------|-----------------|-----------|-----------|
| dcmpl | 2462.7 | -14440.6 | iaload | -5885 | 1267.7 |
| dload_0 | -65024.7 | -49586.7 | iload_0 | 22702.3 | 25900.8 |
| dload_1 | 93611.5 | 78746.8 | i2l | 17034.7 | -12646.4 |
| fload 0 | -192193.2 | -53678.9 | daload | -39713.1 | -26834.4 |
| dload 2 | 68557 5 | 100059 | iload 1 | -80.4 | 2078 6 |
| fload 1 | 700803.1 | 628635.2 | iload 2 | -4934 5 | 4254 5 |
| iand | 35281 | 14744 | iload 3 | 25430.2 | 26826 |
| dload 3 | -48870-3 | -59303 1 | leub | 150688 5 | 110170 3 |
| fload 2 | -40079.3 | 708700.0 | droturn | 182700 1 | 174666 0 |
| fload 2 | -041932.7 | -190199.9 | dedd | -103709.1 | -174000.9 |
| lusteres | 1343028.2 | 1000210.0 040000.c | uadd :9- | -24081.2 | -11092.5 |
| Ireturn | 240247 | 242202.6 | 128 | -105045.1 | -111320.5 |
| athrow | 28253.5 | 18241.8 | bastore | 315.3 | 2280.9 |
| dmul | 139813.9 | 143690.7 | lxor | 974939.3 | 180695.1 |
| ifle | 9039 | 4366.5 | imul | 40271.2 | 42536.4 |
| lastore | 59644.9 | 136577.7 | dastore | -4181 | -4263.9 |
| aaload | 16046.2 | 12468.5 | new | -22617.7 | -13903.8 |
| anewarray | -55662.6 | -50538.8 | ifge | 108769.2 | 123483.5 |
| if_icmple | -20871.8 | -3302.1 | ladd | -387013.3 | -302530.9 |
| irem | 222357.5 | 221135.7 | invokeinterface | 20184.7 | 16522.3 |
| pop | 12019.8 | 11427 | if_icmpge | -22637.6 | -17487.3 |
| checkcast | 26302.3 | 21160.5 | iushr | 124828.5 | 137726.2 |
| fsub | 191976.5 | 234358.7 | sipush | 2367 | -860.4 |
| lmul | 129142.4 | 78380.7 | monitorenter | 44515.1 | 48259.7 |
| putfield | 15801.6 | 17867.4 | ifeq | 27483 | 21919.5 |
| ifne | -21458.6 | -18461.6 | dconst_0 | 643.1 | 3929.7 |
| ifnonnull | 365.5 | -3043.7 | dconst_1 | 70273.6 | 47882.6 |
| saload | -42626 | 30160 | if_icmpeq | 7400.4 | 15920.3 |
| Continued on next page | | | | | |

Table 5.3 – continued from previous page

| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function2 |
|------------------------|------------|------------|-------------|-----------|-----------|
| invokespecial | 11763.5 | 6784.2 | tableswitch | -9033.2 | 1912.1 |
| if_icmpne | 15257.6 | 5791.6 | frem | 142712.6 | 2816745.4 |
| fcmpg | 9954.3 | 216015 | dup_x1 | -59551.1 | -35846.1 |
| ineg | -98850 | -72522.4 | newarray | 12366.1 | 17647.6 |
| fstore | 200509.1 | 169539.7 | dup_x2 | 125084.1 | 30538.9 |
| fadd | -545213.4 | -473625.5 | caload | 52584.8 | 60049.3 |
| fcmpl | -1021941.1 | -1018621.1 | fconst_0 | 70535.3 | 61890.5 |
| d2f | -591314.6 | -566005.6 | istore | 32990.3 | 29054.4 |
| idiv | 44566.3 | 36778 | $fconst_1$ | -350456.2 | -358347.6 |
| astore | 13783.6 | 19034 | ifgt | -34233.8 | -100860.6 |
| instanceof | 20769.2 | 23045.8 | fconst_2 | 170927.9 | 212747 |
| iflt | 21879.3 | -10649.7 | ior | -94967.2 | -105647.2 |
| bipush | 1584.5 | -972.9 | putstatic | -2445.8 | 3563.9 |
| if_icmplt | 54304.1 | 67782.7 | if_icmpgt | -53659.4 | -54182.4 |
| d2i | -189346.5 | -204650 | dstore | 62482.1 | 34601.2 |
| invokestatic | 19405.7 | 18572.2 | iconst_0 | 346.5 | -3666.5 |
| invokevirtual | 12984.7 | 10356.8 | lshl | -36751.9 | -220305.1 |
| lor | 338583.7 | 591269.4 | $iconst_1$ | 19775.3 | 15180.9 |
| dup2_x1 | -190002.7 | -490280.5 | $iconst_2$ | 2619.9 | -2210.6 |
| lookupswitch | 45703.6 | 45841.6 | getfield | 1938.6 | 1568.4 |
| d2l | 2358637.9 | 2431321.2 | fneg | 882378.5 | -368822.4 |
| dup2_x2 | 294447 | 1130726.9 | iconst_3 | 15512.1 | 1088 |
| if_acmpeq | 52537.9 | 38329.1 | lconst_0 | 93115.9 | 120557.2 |
| i2b | 138389.3 | 141136.5 | iconst_4 | -43640.9 | -18709.6 |
| freturn | 72176.7 | -6055.3 | dup | 22675.6 | 23060.4 |
| i2c | -3479.3 | -13408 | lconst_1 | 155313.5 | 131140.7 |
| return | 2428.7 | -54.4 | f21 | -1014953 | -1792131 |
| Continued on next page | | | | | |

Table 5.3 – continued from previous page

 $\mathbf{2}$

| | QVL_1 | QVL_2 | QVL_3 |
|---------|---------|---------|---------|
| QTL_1 | 50 | 50 | 16.7 |
| QTL_2 | 83.3 | 66.7 | 0 |
| QTL_3 | 100 | 66.7 | 0 |

Table 5.4: *FN* of Detecting CT watermark of Experiment Set A (See Table 4.2 for the meaning of QTL_i and QVL_j , $i, j \in \{1, 2, 3\}$.)

| | QVL_1 | QVL_2 | QVL_3 |
|---------|---------|---------|---------|
| QTL_1 | 35 | 35 | 35 |
| QTL_2 | 0 | 0 | 0 |
| QTL_3 | 0 | 0 | 0 |

Table 5.5: *FP* of Detecting CT watermark of Experiment Set A (See Table 4.2 for the meaning of QTL_i and QVL_j , $i, j \in \{1, 2, 3\}$.)

| | | | - | | |
|---------|-----------|-----------|------------|-----------|----------|
| 1-gram | Function1 | Function2 | 1-gram | Function1 | Function |
| i2d | 9394 | 21903.2 | aload_0 | 8400.6 | 7959.1 |
| ret | -210147.6 | -196373.8 | ireturn | 3582.4 | 2316.3 |
| i2f | -35559.8 | -68318.3 | iinc | -24575.8 | -32774.7 |
| sastore | -73249 | -70324.8 | castore | -5759.2 | -3893 |
| dload | -21514.1 | -39994.9 | (Constant) | -3330.8 | -3687.8 |

-110057.3

Table 5.3 – continued from previous page

Evaluation Results of Experiment set A

-112180.5

lload

Table 5.4 and Table 5.5 present the false negative rate and false positive rate of attacker's **detect** function in each *run* of experiment set A. They are our main experiment outputs. The QTL_i and QVL_j in the two tables are the levels of watermark size ratios of $\mathcal{P}_{w,t}^r$ and $\mathcal{P}_{w,v}^r$ respectively, where $i, j \in \{1, 2, 3\}$. The values of QTL_i and QVL_j are presented in Table 4.2 in Chapter 4.

Tables 5.4 and Table 5.5 show some interesting results:

First, we can judge the success of the detection attack based on the judgement rules discussed in Chapter 2. Table 5.6 shows the results of judgement.
| | | QTL_1 | QTL_2 | QTL_3 |
|---------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| | | $(\forall q \in \mathcal{Q}_{w,t}^r,$ | $(\forall q \in \mathcal{Q}_{w,t}^r,$ | $(\forall q \in \mathcal{Q}_{w,t}^r,$ |
| | | $0 < q \le 0.2)$ | $0.2 < q \le 2.0$) | 2.0 < q) |
| QVL_1 | $(\forall q \in \mathcal{Q}_{w,v}^r,$ | total failure | total failure | weak success |
| | $0 < q \le 0.2)$ | | | |
| QVL_2 | $(\forall q \in \mathcal{Q}_{w,v}^r,$ | strong success | strong success | total success |
| | $0.2 < q \le 2.0)$ | | | |
| QVL_3 | $(\forall q \in \mathcal{Q}^r_{w,v},$ | strong success | strong success | total success |
| | 2.0 < q) | | | |

Table 5.6: Judging the Success of Attackers

According to Table 5.6, the combinations of (QTL_2, QVL_3) and (QTL_3, QVL_3) correspond to a high watermark size ratio level in both of $\mathcal{P}_{w,t}$ and $\mathcal{P}_{w,v}$. The combinations of (QTL_1, QVL_1) and (QTL_1, QVL_2) correspond to a low watermark size ratio level in both of $\mathcal{P}_{w,t}$ and $\mathcal{P}_{w,v}$. The first combinations cause total success of the attacker and the second combinations cause total failure of the attacker. Or we can say the first combinations have a low error rate (FN and FP) of the attacker's **detect** function while the second have a high error rate. Thus we can draw two conclusions: (1). high watermark size ratio in both of $\mathcal{P}_{w,t}$ and $\mathcal{P}_{w,v}$ will have low error rate; (2). low watermark size ratio in both of $\mathcal{P}_{w,t}$ and $\mathcal{P}_{w,v}$ will have a high error rate. Thereby we proving that **Hypothesis One** stated in Chapter 4 is true.

5.2.2 Results of Experiment set B

Table 5.7 shows the pair of watermark size ratios by using m_1 and by using m_2 . Table 4.9 has the procedure for preparing watermarked programs for experiment set B by using the transform function (used for $13 \le r \le 14$).

The mean value of using m_1 in embedding is 1.582, and the mean value of using m_2 in embedding is 0.135. We use the *paired-samples t-test* to check whether there is a significant difference between using m_1 and m_2 . *Paired-samples t-test* is a test to measure whether the mean of two sets of data indicates significant difference. The test result is Sig.(2 - tails) = 0.008. According to Julie [29], when Sig. (2-tails) is less than 0.05,

| Name | m_1 | m_2 |
|-----------------------------|--------|-------|
| TTT | 2.794 | 0.233 |
| $web_ActiveRegionExplorer$ | 0.047 | 0.004 |
| web_aspectj-1.1.0 | 0.783 | 0.071 |
| web_AutoSim | 0.269 | 0.019 |
| web_BBI | 0.222 | 0.017 |
| web_ChronicleLite-bin-v1.2 | 0.142 | 0.012 |
| web_DigestCalc | 2.247 | 0.196 |
| web_dss | 10.022 | 0.872 |
| web_ff | 3.078 | 0.250 |
| web_fuzzyide | 0.267 | 0.023 |
| web_grades | 0.089 | 0.007 |
| web_hqt | 3.063 | 0.252 |
| web_HTMLEditorPro | 0.054 | 0.005 |
| web_jar-util | 0.646 | 0.056 |
| web_javapopt | 2.058 | 0.165 |
| web_jpp | 0.094 | 0.008 |
| web_Logisim | 0.152 | 0.013 |
| web_ModEdit | 0.429 | 0.039 |
| web_run | 0.809 | 0.068 |
| web_SpidersRUs | 0.073 | 0.006 |
| web_YMStrings | 5.894 | 0.510 |

(See Table 4.1 for the meaning of m_1 and m_2)

Table 5.7: Watermark size ratios for two embedding parameter combinations

the two means are significantly different. So we can conclude that the mean values of watermark size ratios by using m_1 and by using m_2 display significant difference. The mean value of watermark size ratios by using m_2 (using numeric watermark) is much lower than by using m_1 (using cycle graph). Thus we prove that our **Hypothesis Two** stated in Section 4.6 is true.

5.2.3 Results of Experiment set C

Training Results of Experiment set C

Tables 5.8, 5.9 and 5.10 show the Fisher's Linear Discriminant Function Coefficients for run_{10} , run_{11} and run_{12} respectively. We present them as the attacker's trained \mathfrak{detect}^r functions for run_{10} , run_{11} and run_{12} .

| 1-gram | Function1 | Function2 |
|---------------|-----------|-----------|
| putfield | 5.6 | 1110 |
| astore | 126 | 1754 |
| invokevirtual | 17.6 | -236.3 |
| new | 267.9 | 1067.9 |
| istore | 193.8 | -1685.7 |
| isub | 77.5 | 949.5 |
| aload_0 | 76.9 | -218.9 |
| aload_2 | 88.9 | -310.1 |
| iadd | 131.5 | 567.6 |
| istore_1 | 549.3 | 3156.9 |
| istore_2 | 754.6 | 3946.1 |
| iload | 44.1 | 537.3 |
| (Constant) | -15.8 | -213.3 |

Table 5.8: Fisher's Linear Discriminant Function Coefficients for run_{10}

Table 5.9: Fisher's Linear Discriminant Function Coefficients for run_{11}

(Note that A.B is a 2-gram where A is the first opcode and B is the second opcode in the 2-gram)

| 2-gram | Function1 | Function2 | |
|--------------------------|-----------|-----------|--|
| $iconst_3.invokespecial$ | 539.3 | 356642.1 | |
| $istore_1.iconst_0$ | 2655.2 | 557397.1 | |
| Continued on next page | | | |

| 2-gram | Function1 | Function2 | |
|-----------------------------|-----------|-------------|--|
| goto.ldc | 476.5 | 76744.5 | |
| iadd.iload_2 | 32.7 | -155521 | |
| iadd.iload_3 | 833.5 | -459167.4 | |
| istore_1.getstatic | -766.2 | -1164691 | |
| aload.bipush | 179.5 | 116543 | |
| if_icmple.iconst_m1 | -931 | 1832448.4 | |
| iconst_2.if_icmpne | -51.7 | -179596.5 | |
| iconst_1.goto | 225.2 | 168948.4 | |
| $iload_1.invokestatic$ | 223.5 | -376313.9 | |
| getfield.astore | 507.7 | 155456.8 | |
| getfield.getstatic | -76.1 | -142756.4 | |
| $i const_0. invokes tatic$ | 116.9 | -78200.4 | |
| $goto.iconst_2$ | 3338 | 879442.4 | |
| ior.istore_1 | 287.5 | 333125.1 | |
| iload.iload_3 | -228.9 | -291383.1 | |
| ifne.iconst_1 | -1423.5 | -767040.1 | |
| putfield.new | 1215.3 | 363627.1 | |
| ifeq.getstatic | -112.5 | -90528.3 | |
| iand.aload_0 | -996.3 | 697134.2 | |
| aaload.ldc | 29.4 | 95531.7 | |
| aastore.iinc | -353.6 | -269206.6 | |
| invokespecial.ior | 53685.6 | 119603675.6 | |
| getfield.iload | 221.8 | 42991.1 | |
| aload.putfield | 313.8 | 198109.7 | |
| putstatic.goto | 39.7 | 55448.2 | |
| astore.new | -76 | -37434.6 | |
| aload_1.astore_2 | 1214.9 | -408697.1 | |
| Continued on next page | | | |

Table 5.9 – continued from previous page

| 2-gram | Function1 | Function2 |
|-------------------|-----------|-----------|
| ldc.aload_0 | 306.2 | 71835.8 |
| iconst_0.istore | 127.3 | 20986.5 |
| dup.invokespecial | 208 | 11070.7 |
| ldc2_w.lcmp | -437.3 | -641268.2 |
| (Constant) | -4.6 | -145287.1 |

Table 5.9 – continued from previous page

Table 5.10: Fisher's Linear Discriminant Function Coefficients for run_{12}

(Note that A.B.C is a 3-gram where A is the first opcode, B is the second opcode and C is the third opcode in the 3-gram)

| 3-gram | Function1 | Function2 |
|---------------------------------------|-----------|--------------|
| if_acmpne.iconst_1.istore_1 | 8374.6 | -125498914.1 |
| aload_0.iconst_0.iconst_4 | -10793.5 | -246783472.7 |
| invokevirtual.aload_0.ldc | 31.5 | -147381.6 |
| getfield.invokevirtual.ldc | 108.5 | 146225.1 |
| getstatic.invokevirtual.invokespecial | -31.2 | -5132792.4 |
| $ldc.if_acmpne.iconst_1$ | -12198.6 | -135617638.5 |
| iconst_2.iconst_4.bipush | -69 | -60351781.5 |
| bipush.invokevirtual.checkcast | 262.5 | -3656266.3 |
| iconst_2.iadd.invokespecial | 3333.9 | -15712319.8 |
| astore_1.aload_1.astore_2 | -132.4 | 6240592.2 |
| iconst_1.istore_1.iinc | 2629.4 | -18275143.5 |
| invokestatic.getstatic.iload_2 | 3006.9 | 64282763.2 |
| Continued on next page | | |

| k | 1 | 2 | 3 |
|--------|----|-----|----|
| FN (%) | 55 | 100 | 25 |
| FP (%) | 0 | 0 | 0 |

Table 5.11: The Effect of Length of k - gram (k) on FN and FP of attacker's detect function

| 3-gram | Function1 | Function2 |
|------------------------------------|-----------|-------------|
| getfield.getstatic.invokevirtual | 101.5 | 233769 |
| ldc.aload_0.getfield | 120.8 | 956688.5 |
| aload.putfield.new | 13892.9 | 249736278.4 |
| getfield.ifne.ldc | -2290.3 | -28561715 |
| iconst_2.putfield.aload_0 | 729.9 | 1035831.7 |
| bipush.invokespecial.invokevirtual | 96 | 164465 |
| iadd.iload_3.iconst_2 | 6411.4 | 89065532.6 |
| istore_2.iload_2.iflt | 921.2 | -2860894.6 |
| aload_0.ldc.aload_0 | -441.8 | -3340396.5 |
| aaload.iload_1.invokestatic | 1816.4 | 168707706.8 |
| dup.invokespecial.ldc | 61 | 50312.8 |
| nop.goto.nop | -645.8 | -20926818.6 |
| invokevirtual.ifeq.getstatic | 78.5 | -269162.9 |
| (Constant) | -1.8 | -9250570.8 |

Table 5.10 – continued from previous page

Evaluation Results of Experiment set C

Table 5.11 shows how the selection of k for \mathcal{G}^r will affect FN^r and FP^r of the attacker's **detect** function. For the same \mathcal{P}_t^r and \mathcal{P}_v^r , FN^r changes when k changes. Thus we prove our **Hypothesis Three** stated in Section 4.6. But the FN^r is not always lower when k is higher. e.g. when k = 2, FN = 100%. However, when k = 1, FN = 55%.

| Watermark | Recognition behaviour |
|------------|---------------------------------|
| 123 | recognized |
| metalworks | can not be recognized |
| metal123 | can not be recognized |
| qsc123 | recognized |
| m123 | recognized |
| qsc12345 | can not be recognized |
| qsc1234 | recognized only in command line |

Table 5.12: CT Watermark Recognition Results of a Certain Program (called "metalworks")

5.2.4 Bug Report

In our experiment environment, we find bugs in the Sandmark in CT watermark extracting process. The experiment environment is presented in Appendix A.1. The bug is that Sandmark sometimes can not correctly recognize the watermark from CT watermarked programs. A case illustrating the bug is as follows.: when embedding CT watermarks into a program called "metalworks", some embedded watermarks can be successfully recognized while other watermarks can not be recognized. An interesting fact is that watermark "qsc1234" can be recognized in the command line interface of Sandmark. But the graph interface of Sandmark can not report the recognized watermark. The bug information is given in Table 5.12. The key (user's input sequence) is : file \Rightarrow new \Rightarrow close \Rightarrow quit

5.3 Results Analysis

5.3.1 Analyzing Results for Experiment Set A

The experiment results in Section 5.2.1 show that the success of detection watermark attack relies on the watermark size ratios of CT watermarked programs. High watermark size ratio in both of $\mathcal{P}_{w,t}$ and $\mathcal{P}_{w,v}$ will tend to give low FN and FP for attacker's **detect** function. So we should control the watermark size ratio of watermarked programs lower than a certain criterion to defend against AUDW.

Our experiment results show that when every watermarked program in $\mathcal{P}_{w,v}$ and $\mathcal{P}_{w,t}$

has a watermark size ratio higher than 2.0 (the combination of (QVL_3, QTL_3)), the attackers **detect** function will have 0% FN and 0% FP. It will be the total success of the attacker. So at least the criterion of watermark size ratio should be less than 2.0. More experiments are needed to determine the exact criterion of the watermark size ratio which is beyond the scope of our thesis.

To amend above problem, our suggestion is: from the equation to calculate the watermark size ratio q of a watermarked program p_w , we can find two ways to restrict q under a criterion β . $\beta \in \mathcal{Q}$, $0 < \beta$.

The ratio q can be calculated as follows.

$$\operatorname{ratio}(p_w) = \frac{|p_w| - |p_u|}{|p_u|}$$

where $p_w = embed(p_u, w, key, m)$; w is the watermark to be embedded; key is the input sequence used to embed watermark and m is the combinations of parameters used in watermark embedding. $|p_w|$ and $|p_u|$ are the size of p_w and p_u .

So to make sure $q < \beta$ means

$$q < \beta \Rightarrow \frac{|embed(p_u, w, key, m)| - |p_u|}{|p_u|} < \beta$$

$$\Rightarrow |embed(p_u, w, key, m)| - |p_u| < \beta |p_u|$$

$$\Rightarrow |embed(p_u, w, key, m)| < (\beta + 1)|p_u|$$

$$\Rightarrow \frac{|embed(p_u, w, key, m)|}{(\beta + 1)} < |p_u|$$
(5.1)

We can either fix $|p_u|$ and reduce $\frac{|embed(p_u, w, key, m)|}{(\beta+1)}$ or make sure $|p_u| > \frac{|embed(p_u, w, key, m)|}{(\beta+1)}$.

To reduce $\frac{|embed(p_u,w,key,m)|}{(\beta+1)}$, we should make our watermark embedding more efficient. According to Collberg and Townsend [7], in Sandmark, when we embed a watermark using the "numeric watermark" option, the watermark embedded will be more efficient. We proved that by using the "numeric watermark" option in the embedding process then the watermark size ratio of a watermarked program is far lower than by using the "cycle graph" option. So using the "numeric watermark" option is one way to reduce watermark size ratio. The drawback of using "cycle graph" option is that once we opt for cycle graph, we can only input pure digital numbers. We can not input characters such as "a", "b", "c", So it will reduce the number of watermarks we can use.

Another way is to make sure that $|p_u| > \frac{|embed(p_u,w,key,m)|}{(\beta+1)}$. If there is a program p_u , we can not find a way to make sure $|p_u| > \frac{|embed(p_u,w,key,m)|}{(\beta+1)}$, we can refuse to watermark this program by claiming such a kind of programs are not suitable for our watermark algorithm.

5.4 Discussion

As we discussed in Section 2.3.2, our experiment results only have limited meaning. Our experiment results are only valid for our sample set and our experiment method.

In addition, we design our experiments in an exploring process, the experiment parameters did not keep consistent. For example, in experiment set \mathcal{X}_A , we did not use watermarks consist of pure numeric numbers. However, when we tried to perform experiment set \mathcal{X}_B , we find only pure numeric numbers can be accepted as watermarks when we use *numeric watermark* option to embed watermarks. So the watermark set used in \mathcal{X}_A and \mathcal{X}_B are not consistent.

5.5 Example of Using the Detect Function

An example of using Fisher's linear discriminant function to classify a program is as follows:

Suppose we have a program p and we retrieved the pattern vector \overrightarrow{p}^r by using \mathcal{G}^r . Suppose $\mathcal{G}^r = \{dcmpg, dsub, aastore\}, \ \overrightarrow{p}^r = \{0.1, 0.2, 0.7\}$, where the first element of \overrightarrow{p}^r is the relative frequency of the occurrence of dcmpg in p, the second element of \overrightarrow{p}^r is the relative frequency of the occurrence of dsub in p, and so on.

From Fisher's linear discriminant functions in Table 5.13, Function1 is for class1 (unwatermarked program class) and Function2 is for class2 (watermarked program class).

| 1-gram | Function1 | Function2 |
|------------|-----------|-----------|
| dcmpg | 100 | 10 |
| dsub | 20 | 20 |
| aastore | 50 | 40 |
| (Constant) | -5 | -2 |

Table 5.13: Example of Using Fisher's Linear Function to Classify Case

Then we can classify p as follows.

by using Function 1,

$$y_1 = 100 \times 0.1 + 20 \times 0.2 + 50 \times 0.7 - 5 = 44$$

By using Function 2,

$$y_2 = 10 \times 0.1 + 20 \times 0.2 + 40 \times 0.7 - 2 = 31$$

Since $y_2 > y_1$, we classify p to class2, the watermarked program class.

6

Conclusion and Future Work

6.1 The Challenge for the CT Watermark Designer and AUDW Attacker

For AUDW, the challenge for the attacker is that he/she should do his/her best to reduce the error rate of the *detect* function. On the other hand, to defeat the assault from an attacker, the CT watermark designer should find ways to increase the error rate of the attacker's *detect* function.

For attackers using the pattern classification methods, decisions made in pattern classification procedures will affect the error rate of attackers' detect function. So a good attacker will try to reduce the error rate of his/her *detect* function by optimizing the pattern classification activities such as data collection, feature choice, model choice, etc..

To simplify our experiment, we only simulate an attacker implementing Fisher's discriminant function by using the statistical analyzing tool called SPSS. We focus on the effects of two factors which will change the error rate of the attacker's **detect** function: the *watermark size ratios* of the watermarked programs collected by the attacker and the length of k - grams used by the attacker to retrieve the pattern vectors. The results show that the error rate of attacker's **detect** function is low when both of the watermark size ratio of the watermarked programs used to train the attacker's **detect** function and to evaluate the attacker's **detect** function are high.

We suggest two ways to solve above problem: using *numeric watermark* option in the CT watermark embedding procedure or restrict the size of the program to be watermarked. Our experiment show that the watermark size ratio of watermark programs will be dramatically reduced by using *numeric watermark* embedding option.

The length of k - grams used by the attacker to retrieve the pattern vectors will also affect the error rate of attacker's **detect** function. However, as discovered in our experiment, increasing the length of k - grams does not always reduce the error rate of attacker's **detect** function.

6.2 Future Work

Our experiments are only in the early stage of research into attacks on the CT watermark. We implemented all only one type of AUDW due to the limitations of this thesis. The accuracy of the attacker's **detect** functions by using other popular classification algorithms is still unknown. More experiments should be done to verify whether those suggested obfuscation methods can really defeat our attack.

On the theoretic level, we need to carefully define the formal attack model analogous to what is suggested in media watermarking field by Mauro Barni et al [2]. WE did some early stage developments on this topic but it is far away from accomplishment.

Our experiments reveal the information hiding problem in software watermarking. In

media watermarking, a similar problem are researched by Cox [12] and [24]. However, their results can not be used in our AUDW. They did not consider the detection of watermark attacks in their research. So we should solve the problem ourself.



Appendix

A.1 Experiment Environment

A.1.1 Software System

System files:

Microsoft Window XP Professional Version 2002 Service Pack 2 Linux:Version: Linux 2.4.27-2-686-smp

Java SDK: Java TM 2 Platform Standard Edition 5.0 Development Kit (JDK 5.0)

Tool for obtaining pattern frequencies (My programs) The tools for obtaining pattern frequencies are some shell files and gawk files:.

A.1.2 Hardware

CPU: Intel(R) Pentium(R) 4 CPU 2.80GHz Memory: 1.00GB of RAM

A.2 Experiment Procedure

A.2.1 Java opcode set

| Table A.1: Java Virtual | Machine Opcode S | Set |
|-------------------------|------------------|-----|
|-------------------------|------------------|-----|

| Elements | Opcodes | Elements | Opcodes |
|------------------------|---------|------------|----------|
| op_1 | dcmpg | op_{104} | fconst_1 |
| op_2 | l2i | op_{105} | ifgt |
| Continued on next page | | | |

| | | - | 1 0 |
|-----------|--------------|--------------|-------------|
| Elements | Opcodes | Elements | Opcodes |
| op_3 | aastore | op_{106} | fconst_2 |
| op_4 | dcmpl | op_{107} | ior |
| op_5 | dload_0 | op_{108} | goto_w |
| op_6 | dload_1 | op_{109} | putstatic |
| op_7 | fload_0 | op_{110} | if_icmpgt |
| op_8 | dload_2 | op_{111} | dstore |
| op_9 | fload_1 | op_{112} | iconst_0 |
| op_{10} | iand | op_{113} | lshl |
| op_{11} | dload_3 | op_{114} | iconst_1 |
| op_{12} | fload_2 | op_{115} | iconst_2 |
| op_{13} | fload_3 | op_{116} | getfield |
| op_{14} | lreturn | op_{117} | fneg |
| op_{15} | impdep1 | op_{118} | iconst_3 |
| op_{16} | athrow | op_{119} | fload |
| op_{17} | impdep2 | op_{120} | lconst_0 |
| op_{18} | dmul | op_{121} | iconst_4 |
| op_{19} | ifle | op_{122} | f2d |
| op_{20} | lastore | op_{123} | dup |
| op_{21} | aaload | op_{124} | lconst_1 |
| op_{22} | anewarray | op_{125} | iconst_5 |
| op_{23} | if_icmple | op_{126} | getstatic |
| op_{24} | irem | op_{127} | fdiv |
| op_{25} | pop | op_{128} | lshr |
| op_{26} | checkcast | op_{129} | iastore |
| op_{27} | fsub | op_{130} | monitorexit |
| | Continued of | on next page | |

Table A.1 – continued from previous page

| Elements | Opcodes | Elements | Opcodes |
|------------------------|---------------|------------|-------------|
| op_{28} | lmul | op_{131} | arraylength |
| op_{29} | putfield | op_{132} | f2i |
| op_{30} | ifne | op_{133} | nop |
| op_{31} | ifnonnull | op_{134} | isub |
| op_{32} | saload | op_{135} | f21 |
| op_{33} | invokespecial | op_{136} | aload_0 |
| op_{34} | if_icmpne | op_{137} | astore_0 |
| op_{35} | fcmpg | op_{138} | aload_1 |
| op_{36} | ineg | op_{139} | goto |
| op_{37} | fstore | op_{140} | astore_1 |
| op_{38} | fadd | op_{141} | aload_2 |
| op_{39} | fcmpl | op_{142} | ldc_w |
| op_{40} | d2f | op_{143} | astore_2 |
| op_{41} | idiv | op_{144} | laload |
| op_{42} | astore | op_{145} | aload_3 |
| op_{43} | instanceof | op_{146} | astore_3 |
| op_{44} | iflt | op_{147} | ireturn |
| op_{45} | bipush | op_{148} | land |
| op_{46} | if_icmplt | op_{149} | dstore_0 |
| op_{47} | d2i | op_{150} | lcmp |
| op_{48} | invokestatic | op_{151} | dstore_1 |
| op_{49} | invokevirtual | op_{152} | ixor |
| op_{50} | lor | op_{153} | drem |
| op_{51} | dup2_x1 | op_{154} | dup2 |
| op_{52} | lookupswitch | op_{155} | dstore_2 |
| Continued on next page | | | |

Table A.1 – continued from previous page $% \left({{{\bf{A}}_{{\rm{B}}}}} \right)$

| | | - | 10 |
|-------------------------|-----------|------------|----------------|
| Elements | Opcodes | Elements | Opcodes |
| op_{53} | d2l | op_{156} | dstore_3 |
| op_{54} | dup2_x2 | op_{157} | iadd |
| op_{55} | if_acmpeq | op_{158} | baload |
| op_{56} | i2b | op_{159} | iinc |
| op_{57} | freturn | op_{160} | fstore_0 |
| op_{58} | i2c | op_{161} | lload_0 |
| op_{59} | return | op_{162} | multianewarray |
| op_{60} | i2d | op_{163} | lrem |
| op_{61} | ret | op_{164} | fstore_1 |
| op_{62} | i2f | op_{165} | lload_1 |
| op_{63} | sastore | op_{166} | aconst_null |
| op_{64} | dload | op_{167} | fstore_2 |
| op_{65} | lload | op_{168} | lload_2 |
| op_{66} | dsub | op_{169} | dneg |
| op_{67} | fastore | op_{170} | fstore_3 |
| op_{68} | ldc | op_{171} | lload_3 |
| op_{69} | iaload | op_{172} | reserved |
| op_{70} | iload_0 | op_{173} | lushr |
| op_{71} | i2l | op_{174} | istore_0 |
| op_{72} | daload | op_{175} | lstore |
| op_{73} | iload_1 | op_{176} | istore_1 |
| op_{74} | iload_2 | op_{177} | ddiv |
| op_{75} | iload_3 | op_{178} | istore_2 |
| op_{76} | lsub | op_{179} | ifnull |
| <i>op</i> ₇₇ | dreturn | op_{180} | wide |
| Continued on next page | | | |

Table A.1 – continued from previous page

| Elements | Opcodes | Elements | Opcodes |
|------------------------|-----------------|------------|---------------|
| op_{78} | dadd | op_{181} | swap |
| op_{79} | i2s | op_{182} | istore_3 |
| op_{80} | bastore | op_{183} | lstore_0 |
| op_{81} | lxor | op_{184} | lstore_1 |
| op_{82} | imul | op_{185} | xxxunusedxxx1 |
| op_{83} | dastore | op_{186} | lneg |
| op_{84} | new | op_{187} | fmul |
| op_{85} | ifge | op_{188} | castore |
| op_{86} | ladd | op_{189} | lstore_2 |
| op_{87} | invokeinterface | op_{190} | lstore_3 |
| op_{88} | if_icmpge | op_{191} | ldc2_w |
| op_{89} | iushr | op_{192} | ldiv |
| op_{90} | sipush | op_{193} | ishl |
| op_{91} | monitorenter | op_{194} | iconst_m1 |
| op_{92} | ifeq | op_{195} | jsr_w |
| op_{93} | $dconst_0$ | op_{196} | jsr |
| op_{94} | dconst_1 | op_{197} | if_acmpne |
| op_{95} | if_icmpeq | op_{198} | pop2 |
| op_{96} | tableswitch | op_{199} | faload |
| op_{97} | frem | op_{200} | areturn |
| op_{98} | dup_x1 | op_{201} | l2d |
| op_{99} | newarray | op_{202} | ishr |
| op_{100} | dup_x2 | op_{203} | aload |
| op_{101} | caload | op_{204} | breakpoint |
| op_{102} | fconst_0 | op_{205} | l2f |
| Continued on next page | | | |

Table A.1 – continued from previous page

| Elements | Opcodes | Elements | Opcodes |
|------------|---------|------------|---------|
| op_{103} | istore | op_{206} | iload |

Table A.1 – continued from previous page

A.2.2 Collberg's Sample Set Information

Table A.2: Collberg's Sample Set

| Serial Number | Program Name | size (in opcodes) |
|------------------------|-----------------------------|-------------------|
| 1 | TTT | 1208 |
| 2 | web_a2cat11 | 18199 |
| 3 | web_acme | 8941 |
| 4 | web_activation | 5340 |
| 5 | $web_ActiveRegionExplorer$ | 71723 |
| 6 | web_aglight-pac | 757 |
| 7 | web_Agna_2_kit | 1084 |
| 8 | web_allkara-en | 101474 |
| 9 | web_allkara-x-en | 101474 |
| 10 | web_accode-public.src | 38768 |
| 11 | $web_aoserv-examples.src$ | 871 |
| 12 | web_Arachnophilia | 79929 |
| 13 | web_archery | 3673 |
| 14 | web_ArraySort2D1 | 275 |
| 15 | web_aspectj-1.1.0 | 3807 |
| 16 | web_AutoSim | 13261 |
| 17 | web_BattleShip | 2100 |
| Continued on next page | | |

| Serial Number | Program Name | size (in opcodes) |
|---------------|----------------------------|-------------------|
| 18 | web_BBI | 14305 |
| 19 | web_BBIagent | 49527 |
| 20 | web_bigal | 1388 |
| 21 | web_biojava-1.00 | 45637 |
| 22 | web_bluej-121 | 1840 |
| 23 | web_bluej-122 | 1840 |
| 24 | web_bluej-130beta2 | 1843 |
| 25 | web_btools-1.1 | 5101 |
| 26 | web_bytecode-0.90 | 4393 |
| 27 | web_candy | 2194 |
| 28 | web_ccmb | 210318 |
| 29 | web_chimera | 45390 |
| 30 | web_ChronicleLite-bin-v1.2 | 25055 |
| 31 | web_ClassMapper | 85081 |
| 32 | web_CodeProcessor | 3474 |
| 33 | web_commons-collections | 22102 |
| 34 | web_commons-pool | 3805 |
| 35 | web_connect | 39 |
| 36 | web_Conzilla | 63154 |
| 37 | web_Conzilla1.1Beta2 | 74153 |
| 38 | web_cparser | 4217 |
| 39 | web_crimson | 22625 |
| 40 | web_crimson_mod | 22759 |
| 41 | web_CryptoHeaven | 51064 |
| 42 | web_CurveSimulator | 33301 |
| | Continued on next page | |

Table A.2 – continued from previous page

| Serial Number | Program Name | size (in opcodes) |
|------------------------|------------------------|-------------------|
| 43 | web_customizer | 15168 |
| 44 | web_Cvt2Mae | 40250 |
| 45 | $web_DecodeHtml$ | 3731 |
| 46 | web_desktop_indicator | 257 |
| 47 | web_devpgjdbc1 | 20157 |
| 48 | web_devpgjdbc2 | 24767 |
| 49 | web_devpgjdbc3 | 27137 |
| 50 | web_DigestCalc | 1439 |
| 51 | $web_DMLObjectModeler$ | 29023 |
| 52 | web_DocWiz0.68 | 58869 |
| 53 | web_dom | 21 |
| 54 | web_dss | 320 |
| 55 | web_Dylan | 12108 |
| 56 | web_ecp1_0beta | 7359 |
| 57 | web_Edgeis | 73561 |
| 58 | web_EditFiles | 1038 |
| 59 | web_FetchFiles | 2254 |
| 60 | web_ff | 1042 |
| 61 | web_ffgui | 1007 |
| 62 | web_ffthis | 3083 |
| 63 | web_figue | 35081 |
| 64 | web_FileEdit | 4709 |
| 65 | web_FindFiles | 358 |
| 66 | web_flat | 22003 |
| 67 | web_form | 6157 |
| Continued on next page | | |

Table A.2 – continued from previous page

| Serial Number | Program Name | size (in opcodes) |
|------------------------|-----------------------------|-------------------|
| 68 | web_foxhunt-0.4 | 2209 |
| 69 | web_fuzzyide | 12078 |
| 70 | web_geometria | 85312 |
| 71 | web_geotransform | 5594 |
| 72 | web_gif | 1201 |
| 73 | web_grades | 36659 |
| 74 | web_graphpanel | 2986 |
| 75 | web_GrsFinder | 954 |
| 76 | web_HotEqn | 10124 |
| 77 | web_hqt | 1040 |
| 78 | web_HTMLEditorPro | 59425 |
| 79 | web_i503emulator | 11309 |
| 80 | web_ifxjdbc | 133525 |
| 81 | web_ij | 131758 |
| 82 | web_ImageWarper | 1891 |
| 83 | web_interface | 11286 |
| 84 | web_ITower | 9925 |
| 85 | web_jabadex | 15472 |
| 86 | web_JarCreator | 806 |
| 87 | web_jar-util | 5118 |
| 88 | web_jasminclasses-sable-1.2 | 27686 |
| 89 | web_jasmin-sable-1.2 | 23867 |
| 90 | web_java2html.vj0.2 | 3338 |
| 91 | web_java-getopt-1.0.9 | 1654 |
| 92 | web_javapopt | 1624 |
| Continued on next page | | |

Table A.2 – continued from previous page

| Serial Number | Program Name | size (in opcodes) |
|---------------|------------------------|-------------------|
| 93 | web_jaxp | 1790 |
| 94 | web_jaxp-api | 1541 |
| 95 | web_jblitz | 395595 |
| 96 | web_jcalc_dist | 12226 |
| 97 | web_jcchart401K | 172732 |
| 98 | web_jce1_2_1 | 21695 |
| 99 | web_jcm1.0-config | 44854 |
| 100 | web_jconnect45 | 35753 |
| 101 | web_jconnect55 | 40800 |
| 102 | $web_jdbc2_0-stdext$ | 22 |
| 103 | web_jdbc6.5-1.2 | 12653 |
| 104 | web_jdbc7.0-1.1 | 12190 |
| 105 | web_jdeps | 22270 |
| 106 | web_jdictionary | 22989 |
| 107 | web_jDvi | 9375 |
| 108 | web_JevaESUI2001-03-03 | 46948 |
| 109 | web_Jexa1999-10-11 | 8961 |
| 110 | web_jexn0.1.1 | 5583 |
| 111 | web_jext-install | 16583 |
| 112 | web_jfinger-v0.05b | 10264 |
| 113 | web_jh | 42521 |
| 114 | web_jhbasic | 25358 |
| 115 | web_JJukeboxSetup | 25438 |
| 116 | web_JKeyboard | 17051 |
| 117 | web_jmix_dist | 293 |
| | Continued on next page | |

Table A.2 – continued from previous page

| Serial Number | d Number Program Name | |
|---------------|------------------------|-------|
| 118 | web_jndi | 7829 |
| 119 | web_jode-1.0.93-1.2 | 71030 |
| 120 | web_jode-1.1.1-JDK1.1 | 88489 |
| 121 | web_jp0211jt | 439 |
| 122 | web_jpe | 7707 |
| 123 | web_jpp | 33573 |
| 124 | web_jraceman-0_3_8 | 1629 |
| 125 | web_js | 85545 |
| 126 | web_js2 | 87954 |
| 127 | web_jstyle | 4813 |
| 128 | web_junit | 5079 |
| 129 | web_Jupiter | 2615 |
| 130 | web_jV | 14386 |
| 131 | web_jV_src | 14618 |
| 132 | web_jxtasecurity | 8363 |
| 133 | web_ladder | 8133 |
| 134 | 4 web_lava 41093 | |
| 135 | web_ldap | 20406 |
| 136 | web_LINK | 536 |
| 137 | web_linx | 8274 |
| 138 | web_linxbuilder | 6505 |
| 139 | web_live 234' | |
| 140 | web_logan-games | 13680 |
| 141 | web_Logisim | 21689 |
| 142 | web_Lucifers | 318 |
| | Continued on next page | |

Table A.2 – continued from previous page

| Serial Number | Serial Number Program Name | |
|---------------|-------------------------------------|--------|
| 143 | web_Lunar | 826 |
| 144 | web_LunarHeights | 1983 |
| 145 | web_LV154 | 24734 |
| 146 | web_m_date_entry | 13229 |
| 147 | web_m2mpapi20010504 | 3855 |
| 148 | web_m2mpapi20011221 | 3952 |
| 149 | web_mad | 13108 |
| 150 | web_MAExplorer | 158474 |
| 151 | web_Marquee | 974 |
| 152 | web_Mars | 2010 |
| 153 | web_mindterm | 72109 |
| 154 | web_MMLViewerApplet | 137634 |
| 155 | web_ModEdit | 7346 |
| 156 | web_moses | 361496 |
| 157 | web_moses100install | 44119 |
| 158 | web_mysql | 41101 |
| 159 | web_mysql-connector-java-2.0.14-bin | 12852 |
| 160 | web_myxml-1.3 | 685 |
| 161 | web_nanoxml-2.2.3 | 5355 |
| 162 | web_nanoxml-lite-2.2.3 | 1812 |
| 163 | web_Navigation | 527 |
| 164 | web_networkbk_client | 22224 |
| 165 | web_networkbk_server | 22224 |
| 166 | web_netx | 12191 |
| 167 | web_nis | 12282 |
| | Continued on next page | |

Table A.2 – continued from previous page

| Serial Number | Serial Number Program Name | |
|---------------|----------------------------|--------|
| 168 | web_or124 | 72965 |
| 169 | web_oracle | 221320 |
| 170 | web_oro | 13967 |
| 171 | web_pac3d | 161503 |
| 172 | web_parser | 3094 |
| 173 | web_ParTasks-0.1.0 | 871 |
| 174 | web_patbinfree153 | 32378 |
| 175 | web_pg72jdbc1 | 15154 |
| 176 | web_pg73jdbc2ee | 26921 |
| 177 | web_pg73jdbc3 | 27366 |
| 178 | web_photoindex_dist | 3689 |
| 179 | web_photoindex3_dist | 5372 |
| 180 | web_ping_icmp | 196 |
| 181 | web_pircbot | 3568 |
| 182 | web_PlanetFinder | 897 |
| 183 | web_PopsEdit 303 | |
| 184 | web_Posse | 41899 |
| 185 | web_postgresql | 27385 |
| 186 | web_powerforms | 38072 |
| 187 | web_ProblemParser | 1805 |
| 188 | web_profiler | 16327 |
| 189 | web_providerutil 11377 | |
| 190 | web_proxy | 7882 |
| 191 | web_PSOL | 58945 |
| 192 | web_ptah | 1540 |
| | Continued on next page | |

Table A.2 – continued from previous page

| Serial Number Program Name | | size (in opcodes) |
|----------------------------|-------------------------|-------------------|
| 193 | web_qoca-1.0beta2-mod | 76393 |
| 194 | web_Rangavalli-1.2 | 53022 |
| 195 | web_rockz | 41483 |
| 196 | web_RollOver | 962 |
| 197 | web_run | 4223 |
| 198 | web_sax | 2995 |
| 199 | web_scoreboard | 3614 |
| 200 | web_Scramble | 1768 |
| 201 | web_SeqSpace | 96682 |
| 202 | web_shared | 18255 |
| 203 | web_skinlf | 44270 |
| 204 | web_smpp | 16012 |
| 205 | web_SpidersRUs | 40340 |
| 206 | web_splat | 1728 |
| 207 | web_stockClient | 590 |
| 208 | web_stockServer | 780 |
| 209 | web_sunjce_provider | 33518 |
| 210 | web_suntimes | 834 |
| 211 | web_sxp | 28779 |
| 212 | 212 web_Tank | |
| 213 | 213 web_template | |
| 214 | 214 web_TextScroller 13 | |
| 215 | web_toy_1.4 | 60418 |
| 216 | web_ttt2 | 3364 |
| 217 | web_turtletracks | 22307 |
| | Continued on next page | |

Table A.2 – continued from previous page

| Serial Number | Program Name | size (in opcodes) |
|---------------|---------------------|-------------------|
| 218 | web_TZTester | 514 |
| 219 | web_unit-util | 1953 |
| 220 | web_UofAC114 | 104 |
| 221 | web_utils | 28891 |
| 222 | web_vienna | 15474 |
| 223 | web_virbotchi | 17444 |
| 224 | web_WiynRo | 63000 |
| 225 | web_WP | 4307 |
| 226 | web_xalan | 127515 |
| 227 | web_xlink | 653 |
| 228 | web_xml | 10409 |
| 229 | web_xmlbench | 4277 |
| 230 | web_xmlser-rc5 615 | |
| 231 | web_XmlWriter 4666 | |
| 232 | web_xpointer 9811 | |
| 233 | web_XsltEditor 4331 | |
| 234 | web_YMStrings 539 | |

Table A.2 – continued from previous page

A.2.3 Collberg's Sample Set Clearance

In experiment C, we assume that the attacker is lazy and will not clean his sample set. In experiment set A, we assume that the attacker is very skeptical. He/she will check programs which are suspiciously to be related to each other. For each group of programs suspiciously to be related, he/she will randomly select one program and abandon all other programs in that group. Thus he/she can increase the accuracy of his classifier. The removal is based on the observations of the attacker. The serial number of programs to be removed from the Collberg's Sample set are {19, 37, 9, 22, 24, 33, 40, 47, 48, 88, 94, 100, 103, 114, 119, 125, 138, 147, 157, 159, 162, 164, 175, 176, 177, 178, 207, 229, 230, 129, 152, 144, 75, 143, 182 }. So totally thirty-five programs are removed.

It is possible that the attacker will remove some programs actually unrelated. However, such removal will affect only the total number of samples. While no restriction on the number of unwatermarked programs the attacker can obtain, such removal will not be a problem for the attacker.

A.2.4 Watermark Embedding Procedure

The watermark embedding is done by using Sandmark. To embed a C-T watermark into a program by Sandmark, three steps are necessary : *annotating*, *tracing*, and *embedding*.

In the *annotating* step, we execute the following command to annotate the class file of the program to be watermarked. (That class file must contain a main() function) :

java -cp bcel.jar;sandmark.jar; CTifier (class to be annotated)

To run the above command, we should keep the four files (CTifier, the class file to be annotated, bcel.jar and sandmark.jar) in the same folder.

Then we can use the annotated class to replace its original version and pack the program into a jar file as follows:

jar cvfm \langle target jar file name \rangle man.txt *.*

In the tracing step, we select the Dynamic Watermark tab in Sandmark interface. Next in Dynamic Watermark panel, we select Collberg/Thomborson in the algorithm option box. Then we select the Trace tab. Next we select the program to be watermarked from the Input File text field. In the Main Class text field, the name of the mainclass (the class with the main() function) of the program to be watermarked must be entered. Then we click on Start. At this point, the program will be running. We make some inputs (e.g.input by clicking buttons with mouse) as key, and then close the program. Lastly, we click Done. In the *embedding* step, we select the *embed* tab in the *Dynamic Watermark* panel of Sandmark. Then we input our watermark w in the *Watermark* text field. In watermark embedding, there are many parameters can be selected in the *Embed* panel. we will change two check boxes (*Numeric Watermark*, use Cycle Graph) for each watermark embedding procedure while keep all other parameters as their default value.

The default values of parameters fixed in our experiment are as follows:

- Storage Policy: 'root'
- Storage Method: 'array:vector:hash'
- Storage Location: 'formal'
- Protection Method: 'if:safe:try'
- Graph Type: '*'
- Subgraph Count: '2'
- dump Intermediate Code: 'not select'
- Inline Code: our choice: 'not select'
- Replace Watermark Class: 'not select'
- Dump Intermediate Code: 'not select'

The key set used in our experiment is key_E . Table A.3 lists the elements of key_E . The watermark set used in our experiment is \mathcal{W}_E . Table A.4 lists the elements of \mathcal{W}_E .

| Elements | Watermarks | Elements | Watermarks |
|------------------------|------------|------------|------------|
| w_1 | 102708082 | w_{1001} | ko234asx |
| w_5 | 386872058 | w_{1005} | rusem |
| Continued on next page | | | |

Table A.4: Watermark Set for Experiment

| Elements | Watermarks | Elements | Watermarks |
|-----------|------------|------------|------------------------|
| w_{15} | 911393057 | w_{1015} | 45gtr |
| w_{16} | 123564098 | w_{1016} | jpsrty |
| w_{18} | 14565167 | w_{1018} | jitih |
| w_{30} | 474080610 | w_{1030} | gi990lu |
| w_{50} | 673096763 | w_{1050} | dtghjg |
| w_{54} | 111042854 | w_{1054} | dss |
| w_{60} | 184208742 | w_{1060} | erserwerty |
| w_{69} | 468498932 | w_{1069} | 681 |
| w_{73} | 605378825 | w_{1073} | ojko |
| w_{77} | 949414928 | w_{1077} | euio |
| w_{78} | 986551407 | w_{1078} | v45 |
| w_{87} | 858354009 | w_{1087} | awe763 |
| w_{92} | 122682574 | w_{1092} | xssdf |
| w_{123} | 710435026 | w_{1123} | uilj |
| w_{141} | 983396868 | w_{1141} | 484m8h |
| w_{155} | 542323271 | w_{1155} | 4er0spo |
| w_{197} | 308208724 | w_{1197} | co8p |
| w_{205} | 4056306 | w_{1205} | trtrh |
| w_{234} | 941246940 | w_{1234} | vb5bk8 |

Table A.4 – continued from previous page

A.2.5 Pattern Retrieve Procedure

The pattern retrieve procedure is shown in Figure 4.1. Details are as follows:

Firstly, under Unix, we use the "sh OPTfilepretest" command to disassemble Java class files and retrieve the k - gram sequence from a Java program.

| keys | INPUT |
|--------------------|--|
| key ₁ | Click ``upleft square"=>click ``middle square"=>click ``downright square"=>exit |
| key₅ | Click ``OK" |
| key ₁₅ | Click``next"=>``cancel"=>``Yes" |
| key ₁₆ | Click ``file"=>``new"=>``deterministic automation"=>``no"=>``quit" |
| key ₁₈ | Click``next"=>``close" |
| key 30 | in ``user name" field, input ``tt" => in ``password" field, input ``123"=> Click ``options"=>select all checkbox-> click ``OK" |
| key 50 | (do nothing) |
| key 54 | Click ``find DSS image"=>Close program |
| key 60 | (do nothing) |
| key 69 | (do nothing) |
| key 73 | Click ``file"=>click ``new"=> in ``year" field, input ``1111"=> in ``Course" field, input ``1111"=>in ``description' field, input ``1111"=>click ``OK"=>click ``file"=> click ``exit" |
| key 77 | (do nothing) |
| key ₇₈ | Click ``file"=>click ``exit"=>click ``no" |
| key 87 | Click ``file"=>click ``New"=>click ``Jar"=>click ``Open"=>click ``Cancel"=>click ``file"=>click ``exit" |
| key ₉₂ | (do nothing) |
| key ₁₂₃ | (do nothing) |
| key ₁₄₁ | Click ``file"=>click ``new"=>click ``Yes"=>click ``file"=> click ``quit"=> click ``no" |
| key ₁₅₅ | Click ``file"=>click ``exit" |
| key ₁₉₇ | Click ``close" |
| key 205 | Click ``OK"=>click ``file"=>click ``new"=>click ``cancel" |
| KEV 224 | (do nothing) |

Table A.3: Elements of keys used in our experiment

"OPTfilepretest" is a shell program that we developed. "OPTfilepretest" uses a tool provided by JDK called "javap" to disassemble Java class files. The command used by "OPTfilepretest" is "disassemble javap -c -l -private < classfile >"

Secondly, we use "sh OPTdatacollection" followed by the "sh OPTruntransform" command to retrieve the pattern vectors. The pattern vectors are saved in a .csv file which can be read by SPSS.

A.2.6 SPSS Analysis Procedure

In Experiment set A, the syntax of discriminant analysis used by run_i , $(1 \le i \le 9)$ is as follows:

DISCRIMINANT /GROUPS=category(1 2) /VARIABLES=dcmpg l2i aastore dcmpl dload_0 dload_1 fload_0 dload_2 fload_1 iand dload_3 fload_2 fload_3 lreturn impdep1 athrow impdep2 dmul ifle lastore aaload anewarray if_icmple irem pop checkcast fsub lmul putfield ifne ifnonnull saload invokespecial if_icmpne fcmpg ineg fstore fadd fcmpl d2f idiv astore instanceof ifft bipush if_icmplt d2i invokestatic invokevirtual lor dup2_x1 lookupswitch d2l dup2_x2 if_acmpeq i2b freturn i2c return i2d ret i2f sastore dload lload dsub fastore ldc iaload_0 i2l daload_l iload_1 iload_2 iload_3 lsub dreturn dadd i2s bastore lxor imul dastore new ifge ladd invokeinterface if_icmpge iushr sipush monitorenter ifeq dconst_0 dconst_1 if_icmpq tableswitch frem dup_x1 newarray dup_x2 caload fconst_0 istore fconst_1 ifgt fconst_2 ior goto_w putstatic if_icmpgt dstore iconst_0 lshl iconst_1 iconst_2 getfield fneg iconst_3 fload lconst_0 iconst_4 f2d dup lconst_1 iconst_5 getstatic fdiv lshr iastore monitorexit arraylength f2i nop isub f2l aload_0 astore_0 aload_1 goto astore_1 aload_2 ldc_w astore_2 laload aload_3 astore_3 ireturn land dstore_0 lcmp dstore_1 ixor drem dup2 dstore_2 dstore_3 iadd baload iinc fstore_0 lload_0 multianewarray lrem fstore_1 lload_1 aconst_null fstore_2 lload_2 dneg fstore_3 lload_3 reserved lushr istore_0 lstore istore_1 ddiv istore_2 ifnull wide swap istore_3 lstore_0 lstore_1 xxxunusedxxx1 lneg fmul castore lstore_2 lstore_3 ldc2_w ldiv ishl iconst_m1 jsr_w jsr if_acmpne pop2 faload areturn l2d ishr aload breakpoint l2f iload /ANALYSIS ALL /PRIORS EQUAL /STATISTICS=MEAN STDDEV UNIVF BOXM COEFF RAW CORR COV GCOV TCOV TABLE /PLOT=CASES /CLASSIFY=NONMISSING SEPARATE .

In Experiment C, the syntaxes of discriminant analysis are as follows:

1. run_{10} of Experiment C

DISCRIMINANT /GROUPS=Category(1 2) /VARIABLES=aastore iand aaload anewarray pop if_icmple checkcast putfield ifne invokespecial if_icmpne astore bipush iflt if_icmplt invokestatic invokevirtual if_acmpeq return lload ldc i2l iload_1 iload_2 iload_3 new sipush ifeq istore ior iconst_0 putstatic iconst_1 iconst_2 getfield iconst_3 iconst_4 dup getstatic nop isub aload_0 aload_1 astore_0 aload_2 astore_1 goto astore_2 aload_3 astore_3 ireturn lcmp dup2 iadd iinc lstore istore_1 istore_2 istore_3 ldc2_w iconst_m1 if_acmpne pop2 areturn aload iload /ANALYSIS ALL /METHOD=MAHAL /PIN= .05 /POUT= .10 /PRIORS EQUAL /HISTORY /STATISTICS=MEAN STDDEV UNIVF BOXM COEFF RAW CORR COV GCOV TCOV /PLOT=CASES /CLASSIFY=NONMISSING SEPARATE

2. run_{11} of Experiment C

(Notice: A.B is a 2-gram where A is the first opcode and B is the second opcode in the 2-gram)

DISCRIMINANT /GROUPS=Category(1 2) /VARIABLES=bipush.invokespecial if_icmplt.iconst_0 iconst_1.istore_1 iconst_3.invokespecial iload_1.aaload aload_1.invokevirtual astore_0.iconst_m1 if_icmplt.nop goto.aload_0 istore_1.iconst_0 aload_0.invokespecial lstore.pop2 if_icmpne.iconst_1 if_acmpne.iconst_1 iload_1.iconst_1 aload_2.invokevirtual iload_1.iconst_2 lload.ldc2_w nop.goto goto.ldc iload_3.aaload invokevirtual.ifne ldc.invokevirtual iconst_3.iadd getfield.iconst_1 iconst_2.iconst_4 iand.istore_1 aload_3.goto getfield.iconst_2 astore.nop astore_1.aload_1 if_icmplt.iload_1 getfield.getfield iload_2.aaload istore_2.iload_2 invokevirtual.istore_2 iadd.iload_2 iadd.iload_3 istore_1.getstatic aload_0.new sipush.aload aload_0.invokevirtual ldc.invokespecial invokestatic.goto invokevirtual.ireturn iload_1.bipush astore_3.aload_3 iadd.aaload getstatic.invokevirtual istore_1.iinc astore_2.aload_0 invokevirtual.if_acmpne aload.invokevirtual aaload.iload_1 aaload.invokevirtual aload.bipush iload_3.bipush getstatic.invokespecial goto.new if_icmple.iconst_m1 pop.iinc bipush.aload invokevirtual.aload_0 invokevirtual.aload_1 invokestatic.return iload_2.bipush dup.getstatic getfield.aload_0 getfield.ifne invokevirtual.nop getfield.ireturn iflt.iload_2 invokespecial.astore_1 iconst_2.if_icmpne ldc.areturn invokespecial.aload_0 iconst_2.iadd getstatic.iload_2 iconst_2.istore_1 invokespecial.aload_2 iconst_1.goto iload_1.invokestatic getfield.astore iconst_1.putfield $ldc.iconst_1 getfield.getstatic iconst_0.invokestatic aload_1.putfield ireturn.new ldc.if_acmpne astore_2.iconst_0 iconst_3.ico$ invokevirtual.checkcast sipush.invokevirtual goto.iconst_0 if_icmplt.return aload.getfield goto.iconst_2 dup.ldc ifeq.aload_0 dup2.lstore pop2.aload_0 iconst_0.iconst_4 sipush.invokespecial if_icmpne.ldc iconst_1.isub ior.istore_1 pop2.lload invokevirtual.ifeq pop.return goto.nop ldc.astore_1 if_icmplt.aload_0 istore_2.aload_0 iload_iload_3 iload_1.i2l if_icmpne.aload_0 $iload_2.iconst_1\ iload_2.iconst_2\ iload_1.invokevirtual\ invokevirtual.areturn\ iload_2.iconst_3\ goto.astore_0\ getstatic.getstatic$ $pop.aload iconst_1.iadd if ne.iconst_1 aload_0.iconst_0 if eq.go to aload_0.iconst_1 iload_2.invokevirtual invokevirtual.go to aload_0.iconst_1 iload_2.invokevirtual.go to aload_0.iconst_1 iload_2.iconst_1 iload_2.invokevirtual.go to aload_0.iconst_2$ putfield.iconst_0 aload_0.iconst_2 iinc.iload_1 invokespecial.ldc dup.new aload_0.getfield if_acmpeq.aload_0 iinc.iload_2 iinc.iload_3 checkcast.astore nop.new invokevirtual.if_icmpne istore_3.goto invokestatic.iconst_1 iinc.iload putfield.aload anewarray.putfield iconst_1.bipush putfield.new iload_1.new invokevirtual.aload ifeq.getstatic istore.goto iand.ireturn $iload_2. if t \ put field. return \ iconst_4. bipush \ aload_0. get static \ nop. aload \ aload_sipush \ aload_0. bipush \ invoke virtual. invoke special \ aload_0. bipush \ invoke virtual. invoke special \ aload_0. bipush \ invoke virtual. invoke \ aload_0. bipush \ invoke \ virtual. invoke \ virtual.$ iload_3.iload_3 iconst_0.istore_1 iconst_2.putfield iconst_0.istore_2 invokevirtual.return iconst_0.istore_3 getfield.ldc ${\tt dup.iconst_3\ pop.nop\ iload_2.iload_0\ invokes pecial.invokevirtual\ invokes tatic.invokevirtual\ iand.aload_0\ invokes pecial.invokevirtual\ invokes pecial.invokevirtual\ invokes pecial.invokevirtual\ iand.aload_0\ invokes pecial.invokevirtual\ invokes pecial.invokevirtual\ iand.aload_0\ invokes pecial.invokevirtual\ invokes pecial.invokevirtual\ iand.aload_0\ invokes\ invokes\ invokes\ iand_0\ invokes\ iand_0\ invokes\ iand_0\ iand_0\ iand_0\ iand_0\ i$ ifne.aload_2 sipush.sipush aload_2.nop getfield.i2l ifeq.iconst_0 bipush.if_icmple bipush.anewarray putstatic.getstatic $aa load. ldc \ bipush. iadd \ getstatic. ireturn \ iconst_3. if \ iconplt \ invokevirtual. invokevirtual \ getfield. invokevirtual \ dup. sipush$ iadd.invokespecial ldc.goto ldc.if_acmpeq invokespecial.invokespecial goto.iload_1 aastore.iinc invokevirtual.pop get $static.ldc\ iconst_0.aload_0\ invokespecial.aastore\ invokespecial.putfield\ invokespecial.return\ invokespecial.inc\ aload_0\ iload_1\ invokespecial.return\ invokespecial.inc\ aload_0\ iload_1\ invokespecial.return\ invokespecial.return\ invokespecial.inc\ aload_0\ iload_1\ invokespecial.return\ invokesp$ isub.istore_2 aload_0.iload_2 nop.return new.dup aload.nop putfield.goto aload_0.iload_3 putfield.aload_0 pop.aload_0 getfield.new invokes pecial.putstatic is to re-2.go to iconst-0.ireturn iload-3.iconst-1 nop.nop lcmp.ifne invokes pecial.astore and the set of the setiload_3.iconst_2 if_acmpne.aload_0 iload_3.iconst_3 nop.astore putfield.nop invokespecial.ior bipush.if_icmplt getfield.iload $putstatic.return a load.putfield a a load.new i2l.dup 2 if_icmplt.new astore.a load invokestatic.getstatic iconst_1.if_icmpne$ getstatic.new invokevirtual.putstatic goto.getstatic dup.aload_0 dup.aload_1 invokevirtual.iconst_0 aload_0.sipush goto.pop
putstatic.goto aastore.aload_0 astore.new if_icmpne.getstatic invokevirtual.getstatic invokevirtual.iload_1 iload_1.aload_0 getfield.iload_1 aload_1.astore_2 iload_1.aload_2 getfield.iload_2 ldc.aload_0 iconst_0.putfield iconst_m1.invokestatic getfield.iload_3 invokevirtual.ldc iload_3.iadd astore_2.iinc iload_1.ireturn aload_0.aload_0 iconst_0.istore nop.lload aload_0.aload_1 iload.iconst_3 ifne.ldc bipush.invokevirtual iconst_1.invokespecial dup.invokespecial istore_1.goto in-vokevirtual.invokestatic nop.aload_0 aload.aload nop.astore_2 ldc2_w.lcmp nop.astore_3 iconst_0.iand invokevirtual.astore_2 aload_0.ldc i21.pop2 /ANALYSIS ALL /METHOD=MAHAL /PIN= .05 /POUT= .10 /PRIORS EQUAL /HISTORY /STATISTICS=MEAN STDDEV UNIVF BOXM COEFF RAW CORR COV GCOV TCOV /PLOT=CASES /CLAS-SIFY=NONMISSING SEPARATE .

3. run_{12} of Experiment C

(Notice: A.B.C is a 3-gram where A is the first opcode, B is the second opcode and C is the third opcode in the 3-gram)

 $\label{eq:discrete} DISCRIMINANT \space{-1.5} \\ GROUPS = Category (1\ 2) \space{-1.5} \\ VARIABLES = iand.istore \space{-1.5} \\ I.inc \space{-1.5} \\ up \sp$ istore_3.goto.iload_1 iconst_3.iadd.iload_2 iconst_3.iadd.iload_3 if_icmple.iconst_m1.invokestatic istore_1.iinc.iload_2 is $to re_1. inc. i load_3 i and. a load_0. get field sipush. sipush. invokevirtual goto. a load_0. invokevirtual ldc. invokes pecial. put field sigush. sipush. sipush. a load_0. invokevirtual ldc. invokes pecial. put field signs a load_0. invokes pecial. put field signs a load_0. invokes pecial. put field signs a load_0. invokes pecial.$ if_acmpne.iconst_1.istore_1 istore_2.goto.iload_1 bipush.invokespecial.ior aload.putfield.nop if_acmpne.iconst_1.goto i2l.dup2.lstore anewarray.putfield.iconst_0 invokevirtual.invokevirtual.getstatic getstatic.iload_2.invokevirtual ifne.aload_2.nop goto.nop.nop nop.aload_0.getfield invokevirtual.invokevirtual.aload_0 $aastore.aload_0.getfield_aload_0.iconst_2.putfield_astore_2.iinc.iload_goto.getstatic.ldc_iload_2.aaload.invokevirtual_aload_0.invokevirtual.ifeq_2.iinc.iload_2.aaload_invokevirtual_aload_0.invokevirtual.ifeq_2.iinc.iload_2.aaload_1.invokevirtual_aload_0.invokevirtual.ifeq_2.iinc.iload_2.aaload_1.invokevirtual_aload_0.invokevirtual.ifeq_2.iinc.iload_2.aaload_1.invokevirtual_aload_0.invokevirtual.ifeq_2.iinc.iload_2.aaload_1.invokevirtual_2.iinc.iload_2.aaload_1.invokevirtual_aload_0.invokevirtual.ifeq_2.iinc.iload_2.aaload_1.invokevirtual_aload_0.invokevirtual.ifeq_2.iinc.iload_2.aaload_1.invokevirtual_2.iinc.iload_2.aaload_1.invokevirtual_2.iinc.iload_2.aaload_1.invokevirtual_2.iinc.iload_2.aaload_1.invokevirtual_2.iinc.iload_2.aaload_1.invokevirtual_2.iinc.iload_2.aaload_1.invokevirtual_2.iinc.iload_2.iinvokevirtual_2.iinc.iload_2.iinvokevirtual_2.iinc.iload_2.iinvokevirtual_2.iinc.iload_2.iinvokevirtual_2.iinc.iload_2.iinvokevirtual_2.iinvokevirtual_2.iinc.iload_2.iinvokevirtual_2.iinvokev$ iinc.iload_2.iconst_3 putfield.goto.pop ldc.if_acmpne.aload_0 iconst_0.iand.istore_1 if_acmpne.aload_0.getfield invokevirtual.ifeq.aload_0 iconst_0.iconst_4.bipush iload_2.bipush.iadd lload.ldc2_w.lcmp invokevirtual.ifne.iconst_1 invokevirtual.pop.aload putstatic.goto.astore_0 aload_0.iload_2.invokevirtual dup.ldc.invokespecial putstatic.getstatic.ldc aload_0.getfield.getstatic invokevirtual.invokevirtual.iconst_0 getfield.iload_3.aaload aaload.invokevirtual.ldc istore.goto.new iconst_3.if_icmplt.iload_1 getstatic.getstatic.invokevirtual.dup.getstatic.invokespecial iconst_2.if_icmpne.iconst_1 invokevirtual.putstatic.getstatic invokevirtual.aload_1.invokevirtual.ldc.invokevirtual.aload_0.aload_0.iconst_0.iconst_4.astore_2.iconst_0.istore_3.astore.aload.getfield invokevirtual.aload_0.ldc dup.invokespecial.aload_2 aload.sipush.aload getstatic.invokevirtual.iconst_0 iconst_1.iadd.iload_2 $i const_1.iadd.iload_3 a load_0.a load_0.getfield \ pop 2.a load_0.iload_1 \ invokevirtual.a load_0.getfield \ invokevirtual.invokevirtual.ldc \ a load_0.getfield \ a load_0.$ ifne.aload_0.invokevirtual goto.aload_0.getstatic new.dup.new invokespecial.invokevirtual.nop invokevirtual.ifeq.iconst_0 $i const_0.is to re_2.go to invoke special.as to re.aload invoke virtual.if_acmpne.aload_0 iload_3.iconst_2.iadd nop.new.dup getermine states and the states of the state$

field.invokevirtual.ldc aaload.invokevirtual.aload_0 aaload.invokevirtual.pop bipush.if_icmplt.new aload_1.invokevirtual.invokespecial aload_2.nop.astore getstatic.invokevirtual.invokespecial iload_1.new.dup iconst_1.isub.istore_2 invokespecial.invokespecial.putstatic aaload.ldc.invokevirtual iadd.iload_3.bipush aload_0.iconst_1.putfield iload_2.invokevirtual.ifne ldc.astore_1.aload_1 dup.invokespecial.astore_1 iconst_3.iconst_3.invokespecial invokevirtual.iload_1.i2l iload_2.iconst_2.iadd aload_0.getfield.invokevirtual ldc.if_acmpne.iconst_1 astore.aload.aload pop.iinc.iload_1 iconst_2.iconst_4.bipush aload_0.iload_1.invokevirtual invokevirtual.aload_0.sipush aload.getfield.astore iload_1.aaload.ldc istore_1.getstatic.new bipush.invokevirtual.checkcast aload_2.invokevirtual.aload_0 aload_2.invokevirtual.aload_1 dup.new.dup aaload.new.dup

invokespecial.invokevirtual.istore_2 iconst_1.istore_1.iconst_0 iinc.iload_3.bipush ldc.invokespecial.aastore invokevir-

 $tual.ldc.invok evirtual a load.bipush.a load invok evirtual.check cast.a store i const_2.iadd.invok especial invok evirtual.goto.a load_0$

 $invokevirtual.aload_0.invokevirtual\ aload_0.iload_2\ aload_aload_putfield\ getfield.invokevirtual.pop\ if_icmpne.getstatic.iload_2\ aload_aload_putfield\ getfield\ invokevirtual.pop\ if_icmpne.getstatic.iload_2\ aload_aload_putfield\ getfield\ invokevirtual\ pop\ if_icmpne.getstatic.iload_2\ aload_aload_putfield\ invokevirtual\ pop\ if_icmpne.getstatic.iload_2\ aload_aload_putfield\ invokevirtual\ pop\ if_icmpne.getstatic.iload_2\ aload_aload_putfield\ invokevirtual\ aload_aload_aload_putfield\ invokevirtual\ pop\ invokevirtual\ pop\ if_icmpne.getstatic.iload_2\ aload_alo$

 $put field.a load_0.i const_2.i const_1.invokes pecial.put field goto.i const_2.put field a load.sipush.invokevirtual get field.ldc.invokevirtual get field.ldc.invokevir$

 $a load_b ip ush.invokevirtual_invokevirtual_op_aload_0_invokevirtual_ifne_aload_0_b ip ush_anewarray_ifne_ldc.go to aload_0_invokevirtual_ifne_aload_0_b ip ush_anewarray_ifne_ldc.go to aload_0_invokevirtual_ifne_aload_0_invokevirtual$

 $i21.pop2.a load_0\ invokevirtual.a load_0.getstatic\ astore_2.a load_0.invokevirtual\ astore_a load.sipush\ invokevirtual.iload_1.invokevirtual\ astore_2.a load_0.invokevirtual\ astore_a load_0.invokevirtual\ astore_2.a load_0.invokevirtual\ astore_0.invokevirtual\ as$

 $iload_2. iconst_3. if_icmplt getfield. iload_2. invokevirtual aload_0. getfield. i2l astore_1. aload_1. astore_2 getfield. iconst_2. if_icmple getfield. iconst_3. i$

 $ldc. invoke virtual. invoke virtual invoke special. a load_0. new ldc. invoke virtual. iinc iconst_3. invoke special. invoke virtual invoke$

vokespecial.ldc.invokevirtual getfield.iload_2.aaload invokespecial.astore.new aload_0.getstatic.invokevirtual invoke-

virtual.ifeq.goto goto.iconst_0.aload_0 goto.iconst_2.istore_1 ifeq.iconst_0.ireturn iconst_1.istore_1.goto iload_1.invokevirtual.aload_0

 $goto.iload_1.aload_0\ invokes pecial.ior.istore_1\ aload_0.invokes pecial.aload_0\ invoke virtual.astore_2.iconst_0\ new.dup.aload_0\ invokes pecial.aload_0\ invoke virtual.astore_2.iconst_0\ new.dup.aload_0\ new.dup.aload_0\ new.dup.aload_0\ new.dup.aload_0\ new.dup.aload_0\ new.dup.aload_0\ new.dup.aload_0\$

 $if_icmpne.iconst_1.istore_1\ new.dup.aload_1\ aload_0.getfield.iload\ iload_3.bipush.if_icmplt\ aload_0.sipush.sipush\ ldc.invokevirtual.goto$

 $ldc.if_acmpeq.aload_0.iload_1.aload_2.invokevirtual invokevirtual.putstatic.go to iload_3.iload_3.iconst_1 invokevirtual.nop.aload_0.iload_0$

 $ior.istore_1.iconst_0\ iload_3.iload_3.iconst_3\ iconst_0\ istore_1.goto\ iload_2.invokevirtual.getstatic\ invokevirtual.istore_2.aload_0\ iload_3.i$

 $if_icmplt.nop.aload_0\ iconst_1.istore_1.iinc\ iload_3.iconst_1.iadd\ getfield.getfield.ldc\ if_icmpne.iconst_1.goto\ nop.lload.ldc2_wardstartersta$

 $sipush. invokevirtual. a load invokevirtual. invokes pecial. invokevirtual iconst_0. a load_0. get field invokes pecial. a a store. a load_0. get field invokes pecial. a store. a load_0. get field in$

 $lcmp.ifne.aload_2\ lstore.pop2.lload\ invokes pecial.invokevirtual.put static\ getfield.iload_1.new\ new.dup.sipush\ get static.invokevirtual.get static\ st$

 $bipush.iadd.invokespecial aload_0.getfield.ifne invokevirtual.iinc.iload_1 astore.nop.aload invokevirtual.iinc.iload_2 if_icmplt.iconst_0.istore_3 astore.nop.aload invokevirtual.iinc.iload_2 istore.nop.aload invokevirtual.iinc.iload_3 astore.nop.aload invokevirtua$

 $dup. invokes pecial. invokevirtual gets tatic. invokevirtual.goto iload _2. iconst_1. iadd iload. iconst_3. if _icmplt as to re. new. dup invokes pecial invokevirtual gets tatic. invokevirtual.goto iload _2. iconst_1. iadd iload. iconst_3. if _icmplt as to re. new. dup invokes pecial invokevirtual gets tatic. invokevirtual get$

 $invokevirtual.pop.iincldc.invokevirtual.ifeq invokestatic.getstatic.iload_2 iload_3.aaload.invokevirtual astore_1.aload_1.invokevirtual astore_1.aload_1.$

 $iload_2. bip ush.if_icmple if_icmplt.aload_0.get field dup.get static.invokevirtual aload_0.get field.iconst_1 aload_0.get field.iconst_2 aload_0.get fiel$

aload_0.iconst_0.putfield pop.aload_0.ldc aload_1.astore_2.iconst_0 iload_2.bipush.if_icmplt getfield.iload_iload_3 invoke-

 $virtual.goto.getstatic getfield.getstatic.invokevirtual putfield.aload.aload bipush.if_icmplt.aload_0 invokevirtual.ldc.if_acmpeq$ iinc.iload_2.bipush aaload.invokevirtual.invokevirtual iconst_0.iand.aload_0 ifft.iload_2.bipush goto.getstatic.iload_2 $put field.nop.gotoldc.aload_0.get field invokevirtual.if_acmpne.iconst_1 iload_1.invokestatic.invokevirtual if eq.get static.ldc$ goto.iload_1.iconst_1 aload_0.getfield.aload_0 goto.iload_1.iconst_2 getstatic.invokevirtual.ifeq dup2.lstore.pop2 nop.nop.goto iload_3.iconst_3.if_icmplt pop.nop.nop.nop.aload.putfield.new ldc.invokevirtual.iload_1 nop.astore.new getfield.ifne.ldc bipush.aload.invokevirtual aload_0.getfield.ldc aload_1.invokevirtual.invokevirtual getstatic.invokevirtual.invokevirtual $a load_1. invoke virtual.a load_1 iadd.i load_2. bip ush go to. new. dup nop. nop. load a load.invoke virtual.a pop invoke virtual.a load_0. new dup nop. nop. load a load.invoke virtual.a load_1. invoke virtual.a load_1$ putfield.iconst_0.istore_1 aload_0.iload_2.iload_2 checkcast.astore.nop invokevirtual.goto.iload_1 dup.aload_0.invokespecial $iload_1.bipush.if_cmplt\ invokevirtual.getstatic.getstatic\ bipush.anewarray.putfield\ goto.pop.nop\ iload_3.bipush.iadd$ iload_1.iconst_1.if_icmpne invokevirtual.getstatic.invokevirtual iload_1.aload_0.iload_2 iload_1.aload_0.iload_3 getfield.invokevirtual.iconst_0 $getstatic.invokevirtual.putstatic astore.aload.bipush iconst_1.goto.iconst_0 iconst_2.goto.iconst_2.putfield.aload_0 iconst_1.goto.iconst_2.putfield.aload_0 iconst_1.goto.iconst_2.putfield.aload_0 iconst_2.putfield.aload_0 iconst_0 icons$ iconst_1.if_icmpne.getstatic getstatic.ldc.invokevirtual pop.aload.aload iload_2.ifft.iload_2 aload.putfield.aload put $field.aload_0.iload_1\ invokevirtual.aload.nop\ nop.aload_0.getstatic\ iconst_0.ireturn.new\ bipush.invokespecial.aload_0$ $bipush.invokespecial.invokevirtual\ ldc2_w.lcmp.ifne\ iload_1.aaload.new\ iconst_0.istore_1.iconst_0\ iadd.iload_3.iconst_2.iconst_0\ iadd.iload_3.iconst_3.i$ $aload_0.iload_3.iload_3.iload_3.iload_3.iload_1.iload_0.iload_2.iflt new.dup.invokespecial anewarray.putfield.aload_0.iload_2.iflt new.dup.invokespecial anewarray.putfield.aload_0.iload_2.iflt new.dup.invokespecial anewarray.putfield.aload_0.iload_2.iflt new.dup.invokespecial anewarray.putfield.aload_0.iload_3.iloa$ invokevirtual.invokevirtual.goto aload.putfield.goto pop.aload_0.getfield iadd.invokespecial.ior getfield.iload_1.aaload ior.istore_1.iinc astore_2.iinc.iload_3 invokestatic.iconst_1.isub getstatic.invokevirtual.aload_0 aload_0.iconst_2.iconst_4 $new.dup.iconst_3\ goto.aload_0.getfield\ istore_2.goto.aload_0\ iconst_4.bipush.invokespecial\ getfield\ ii2l.pop2\ istore_1.goto.aload_0\ iconst_4.bipush.invokespecial\ getfield\ ii2l.pop3\ istore_1.goto.aload_0\ iconst_4.bipush.invokespecial\ getfield\ ii2l.pop3\ istore_1.goto.aload_0\ iconst_4.bipush.invokespecial\ getfield\ ii2l.pop3\ istore_4.bipush.invokespecial\ getfield\ ii2l.pop3\ istore_4.bipush.invokespecial\ getfield\ ii2l.pop3\ istore_4.bipush.invokespecial\ getfield\ ii3l.pop3\ istore_4.bipush.invokespecial\ getfield\ ii3l.pop3\ istore_4.bipush.invokespecial\ getfield\ ii3l.pop3\ istore_4.bipush.invokespecial\ getfield\ ii3l.bipush.invokespecial\ getfield\ ii3l.bipush.invokespecial\ getfield\ ii3l.bipush.invokespecial\ getfield\ ii3l.bipush.invo$ $new.dup.ldc\ dup.invokespecial.putstatic\ nop.astore_3.aload_3\ aload_2.invokevirtual.ldc\ iconst_2.if_icmpne.ldc\ nop.astore_2.aload_0\ aload_3\ aload_3\$ $invokevirtual.ldc. if a cmpne~dup.invokespecial.putfield~if ne.iconst_1.go to~pop2.lload.ldc2_w~if eq.go to.get static~putfield.aload_0.get field if ne.iconst_1.go to~pop2.lload.ldc2_w~if eq.go to~get static~putfield.aload_0.get static~putfield.aload_0.get static~putfield if ne.iconst_1.go to~pop2.lload.ldc2_w~if eq.go to~get static~putfield.aload_0.get static~putfield if ne.iconst_1.go to~pop2.lload.ldc2_w~if eq.go to~get static~putfield.aload_0.get static~putfield if ne.iconst_1.go to~pop2.lload.ldc2_w~if eq.go to~get static~putfield.aload_0.get static~putfield.aload_0.get static~putfield if ne.iconst_1.go to~get static~putfi$ aload_0.new.dup ldc.goto.aload_0 aload.nop.astore_3 getfield.getfield.iload_2 iload_1.aaload.invokevirtual aload_0.aload_1.putfield $if_icmpne.ldc.goto\ dup.invokespecial.aastore\ invokespecial.putfield.aload_0.iload_2.iload_2.iconst_1\ iload_2.iload_2.iconst_3$ aload_3.goto.nop nop.aload.getfield sipush.invokespecial.astore_astore_3.aload_3.goto invokestatic.getstatic.invokevirtual $goto.iconst_0.iand\ iconst_1.if_icmpne.ldc\ ldc.iconst_1.bipush\ dup.aload_1.invokevirtual\ iinc.iload_3.iconst_3\ invokespender aloga a$ cial.invokevirtual.aload_0 iconst_0.invokestatic.getstatic invokevirtual.invokestatic.iconst_1 astore_2.iconst_0.istore si-istore_2.aload_0.getfield iconst_3.if_icmplt.new ifeg.aload_0.getstatic getstatic.invokespecial.invokespecial iconst_2.istore_1.iconst_0 $aload_0.getfield.iload_1\ aload_0.getfield.iload_3\ invokevirtual.ifne.aload_0\ aload_0.ldc.aload_0\ aload_0.ldc.aload_0\ aload_0.ldc.aload_0\ aload_0\ al$ getfield.astore.aload aaload.invokevirtual.if_icmpne iload_1.i2l.dup2 iinc.iload_1.bipush putfield.aload.bipush astore_0.iconst_m1.invokestatic $i load.i load_3.i add \ goto.nop.astore_2 \ iadd.aa load.invokevirtual \ ldc.i const_1.invokes pecial \ put \ field.a load_0.b i push \ aa load.i \ load_1.invokes \ tatic \ results \ r$ if_acmpeq.aload_0.getfield_aaload.invokevirtual.if_acmpne_iconst_2.istore_1.getstatic_iconst_m1.invokestatic.getstatic $put field.aload_0.new~iload_1.invok evirtual.ifne~invok evirtual.invok evirtual$ if_icmplt.new.dup iload_1.aaload.iload_1 dup.invokespecial.ldc ireturn.new.dup invokespecial.aload_0.iconst_2 iload_2.invokevirtual.goto iconst_0.istore.goto iconst_0.istore_3.goto dup.ldc.iconst_1 invokevirtual.iconst_0.istore_1 iload_1.invokevirtual.ldc in $vokevirtual.iconst_0.istore_2\ invokespecial.aload_2.invokevirtual\ iload_3.iconst_3.iadd\ getstatic.new.dup\ invokevirtual.ifeq.getstatic.new.dup\ invokevirtual.ifeq.getstatic.new.d$ $iadd.iload_2.iconst_2 iload_2.aaload.ldc \ bipush.if_icmple.iconst_n1 \ getfield.iconst_1.if_icmpne \ iconst_1.if_icmpne.aload_0 \ or the standard or the st$ $new.dup.getstatic inc.iload.iconst_3 a load_0.getfield.getfield bipush.if_icmplt.iconst_0 getfield.a load_0.getfield invokestatic.invokevirtual.a load_0 getfield.getfield bipush.if_icmplt.iconst_0 getfield.a load_0 getfield invokestatic.invokevirtual.a load_0 getfield.getfield bipush.if_icmplt.iconst_0 getfield.a load_0 getfield getfield getfield bipush.if_icmplt.iconst_0 getfield.a load_0 getfield getfield getfield getfield bipush.if_icmplt.iconst_0 getfield getfi$ if_icmpne.aload_0.getfield ifeq.getstatic.new aload_0.invokespecial.invokevirtual getfield.new.dup invokespecial.aload_0.bipush $put field.new.dup is to re_3.go to.new sipush.aload.invok evirtual invok evirtual.if_icmpne.iconst_1 invok evirtual.astore_2.inconst_1 invok$ invokespecial.astore_1.aload_1 istore_1.goto.iconst_2 iload_2.invokevirtual.ifeq invokespecial.aload_0.aload_1 iload_2.iconst_3.iadd $iload_1.iconst_2.if_icmpne\ aload_0.getfield.new\ putfield.aload.getfield\ dup.sipush.invokespecial\ isub.istore_2.iload_2\ dup.invokespecial.astore_2.iload_2\ dup.invokesp$.05 /POUT= .10 /PRIORS EQUAL /HISTORY /STATISTICS=MEAN STDDEV UNIVF BOXM COEFF RAW CORR COV GCOV TCOV /PLOT=CASES /CLASSIFY=NONMISSING SEPARATE .

This is the end!

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