WHAT IS A RANDOM STRING?*

I. MOTIVATION

Suppose that persons A and B give us a sequence of 32 bits each, saying that they were obtained from independent coin flips. If A gives the string

u = 01001110100111101001101001110101

and B gives the string

then we would tend to believe A and would not believe B: the string u seems to be random, but the string v does not. Further on, if we change the value of a bit (say, from 1 to 0) in a (non) "random" string, then the result is still a (non) "random" string. If we keep making such changes in a "random" string, then we will eventually complete destroy randomness.

Laplace [21], pp.16-17 was, in a sense, aware of the above paradox, as it may be clear from the following phrase:

In the game of heads and tails, if head comes up a hundred times in a row then this appears to us extraordinary, because after dividing the nearly infinite number of combinations that can arise in a hundred throws into regular sequences, or those in which we observe a rule that is easy to grasp, and into irregular sequences, the latter are incomparably more numerous.

In other words: non random strings are strings possessing some kind of regularity, and since the number of all those strings (of a given length) is small, the occurrence of such a string is extraordinary.

Furthermore, regularity is a good basis for compression. Accordingly, randomness means the absence of any compression possibility; it corresponds to maximum information content (because after dropping any part of the string, there remains no possibility of recovering it). As we shall prove in Section 5, most strings have this property. In opposition, most strings we deal with do not.

The information content of a phrase in a natural language (English, for example) can be recovered even some letters (words) are omitted. The reason comes from the redundancy of most spoken languages. As a consequence, there exist many efficient programs to compress texts written in natural languages. It is important to emphasize that all these methods work very well on texts written in some natural language, but they do not work well on average, i.e. on *all* possible combinations

W. DePauli-Schimanovich et al. (eds.), The Foundational Debate, 101–113. © 1995 Kluwer Academic Publishers.

of letters of the same length. Redundancy is also a very powerful handle to readers of mathematical books (and, in general, of scientific literature), and also to cryptanalysts (for example, Caesar's ciphers—just permutations of letters—can be broken by frequency analysis; see more on this topic in Salomaa [27]). A hypothetical language in which there are only strings with maximum information content gives no preference to strings (i.e. they have equal frequency); this makes the cipher impossible to break. However, such languages do not exist (and cannot be constructed, even with the help of the best computers, available now and in the future); redundancy is essential and inescapable in a spoken language (and to a large extent in most artificial languages; see Marcus [25]).

Before passing to some the formal treatment it is natural to ask the following question: Are there any random strings? Of course, we do not have yet the necessary tools to properly answer this question, but we may try to approach it informally. Let us call canonical program the smallest program generating a string. We claim that every canonical program should be random, independently if it generates or not a random output. Indeed, assume that x is a canonical program generating y. If x is not random, then there exists a program z generating x which is substantially smaller than x. Now, consider the program

from z calculate x, then from x calculate y.

This program is only a few letters longer than z, and thus it should be much shorter than x, which was supposed to be canonical. We have reached a contradiction.

Borel [1, 2] was the first author who systematically studied random sequences. The complexity-theoretic approach was independently initiated by Kolmogorov [22] and Chaitin [9]. For more historical facts see Chaitin [17] (A Life in Math), Uspensky [31], Li and Vitányi [23] and Calude [4].

II. COMPUTERS AND COMPLEXITIES

Denote by N the set of natural numbers; $N_+ = N \setminus \{0\}$. If S is a finite set, then #S denotes the cardinality of S. We shall use the following functions: i) rem(m, i), the remainder of the integral division of m by $i (m, i \in N_+)$, ii) $\lfloor \alpha \rfloor$, the integral part of the real α , iii) \log_Q , the base Q logarithm, $\log = \lfloor \log_2 \rfloor$.

Fix $A = \{a_1, \ldots, a_Q\}, Q \ge 2$, a finite alphabet. By A^* we denote the free monoid generated by A (under concatenation). The elements of A^* are called *strings*; λ is the empty string. For x in A^* , |x| is the length of $x(|\lambda| = 0)$. For m in N, $A^m = \{x \in A^* \mid |x| = m\}$. For every $x \in A^*$ and natural n put $x^n = xx \dots x$, (n times); $x^0 = \lambda$.

Every total ordering on A, say $a_1 < a_2 < \cdots < a_Q$, induces a quasi-lexicographical order on $A^* : \lambda < a_1 < \cdots < a_Q < a_1a_1 < \cdots < a_1a_Q < a_Qa_Q < \cdots < a_1a_1a_1 < \cdots$. We denote by string(n) the *n*th string in A^* according to the quasi-lexicographical order. The induced order on each set A^m coincides with the lexicographical order.

Working with partial recursive (p.r.) functions $\varphi: A^* \times A^* \xrightarrow{o} A^*$ (called sometime blank-endmarker computer—see Chaitin [15]) we adopt the notations from Calude [3]. If $x \in dom(\varphi)$, that is x is in the domain of φ , then we write $\varphi(x) < \infty$. A Chaitin computer is a p.r. function $C : A^* \times A^* \xrightarrow{o} A^*$ with a prefix-free domain (i.e. for every string z, there is no pair of distinct strings x, y such that $U(x,z) < \infty$, $U(y,z) < \infty$, and x is a prefix of y). To a Chaitin computer C one associates the self-delimiting complexity or Chaitin complexity

$$H_C: A^* \xrightarrow{o} \mathbf{N}, H_C(x/y) = \min\{|z| \mid z \in A^*, C(z, y^*) = x\},\$$

with the convention $\min \emptyset = \infty$; here $y^* = \min\{w \in A^* | U(w, \lambda) = y\}$, the operator min being taken according to the quasi-lexicographical order.

The basic result obtained by Chaitin [9] (called the *Invariance Theorem*) states the existence of a Chaitin computer U (called *universal Chaitin computer*) such that for every Chaitin computer C there exists a constant c (depending upon U and C) such that

$$H_U(x/y) \le H_C(x/y) + c,$$

for all $x, y \in A^*$.¹ The complexity induced by a blank-endmarker computer ϕ , K_{ϕ} is defined by $K_{\phi}(x/y) = \min\{|z| \mid z \in A^*, \phi(z, y) = x\}$. A similar Invariance Theorem holds true for blank-endmarker computers. See also Chaitin [9, 10], Kolmogorov [22], Martin-Löf [26], Calude [3].

For this paper we fix a universal Chaitin computer U and denote by H the induced complexity. Also, fix a universal blank-endmarker computer $\psi: A^* \times A^* \xrightarrow{o} A^*$ and denote by K the induced complexity. By H(x), K(x) we denote the complexities $H(x/\lambda)$, $K(x/\lambda)$, respectively.

Let $f, g, h: A^* \to [0, \infty)$ be three functions. We write $f \le g + O(h)$ in case there exists C > 0 such that $f(x) \le g(x) + Ch(x)$, for almost all strings x. We write f = g + O(h) in case $f \le g + O(h)$ and $g \le f + O(h)$; $f \asymp g$ means that there exists two positive reals α, β such that $f(x) \le \alpha g(x)$ and $g(x) \le \beta f(x)$, for almost all strings x.

III. CHAITIN RANDOM STRINGS

To motivate our approach we use the analogy between "tallness" and "randomness". To appreciate if a person is or is not tall we proceed as follows. We choose a unity measure (say, centimetre) and we evaluate the height. We get an *absolute value*. Next, we establish "a set of people of reference". For instance, if we have to appreciate how tall is a little girl we fix an age and we relate her height to the average height of girls of that age. But, if we discuss the same question for a teenager, the situation is completely different. It follows that the adjective tall is *relative*. To correctly appreciate it we need both components: the exact one (height) and the relative one (comparison within a fixed set). It is fortunate that in English we have two words to express this: height and tall.

For randomness we proceed in a similar way, trying to capture, as best as possible, the idea that a string is random if it cannot be algorithmically compressed.

First we use a measure of complexity for strings (H); this represents the "absolute component". Secondly, we define randomness "relative to a set"—the relative component. In our case we appreciate the degree of randomness of a string with respect to the set of all strings, over a fixed alphabet, having the same length.² Of course, the success or failure of the approach depends upon the measure of complexity we are adopting.

The complexity of the most complex strings of a given length (first obtained in Chaitin [12]) is given by

Theorem 3.1 For every $n \in \mathbb{N}$, one has:

$$\max_{x \in A^n} H(x) = n + H(string(n)) + O(1).$$

We conclude with the following definition. Let $\Sigma : N \to N$ be the function defined by

$$\Sigma(n) = \max_{x \in A^n} H(x).$$

In view of Theorem 3.1, $\Sigma(n) = n + H(string(n)) + O(1)$. We define the random strings of length *n* to be the strings with maximal self-delimiting complexity among the strings of length *n*, i.e. the strings $x \in A^n$ having $H(x) \approx \Sigma(n)$.

Definition 3.2 A string $x \in A^*$ is Chaitin *m*-random (*m* is a natural number) if $H(x) \ge \Sigma(|x|) - m$; x is Chaitin random if it is 0-random.

The above definition depends upon the fixed universal computer U; the generality of the approach comes from the Invariance Theorem.

Obviously, for every length n and for every $m \ge 0$ there exists a Chaitin mrandom string x of length n. Denote by $RAND_m^C$, $RAND^C$, respectively, the sets of Chaitin m-random strings and random strings.

It is worth to note that the property of Chaitin *m*-randomness is asymptotic. Indeed, for $x \in RAND_m^C$, the larger is the difference between |x| and *m*, the more random is *x*. There is no sharp dividing line between randomness and pattern, but it looks as though all $x \in RAND_m^C$ with $m \leq H(string(|x|))$ have a true random behaviour.

How many strings $x \in A^n$ have maximal complexity, i.e. $H(x) = \Sigma(|x|)$? The answer was given by Chaitin [18]:

Theorem 3.3 There exists a natural constant c > 0 (which depends upon the size of the underlying alphabet, Q) such that

$$\gamma(n) = \#\{x \in A^n \mid H(x) = \Sigma(|x|)\} > Q^{n-c},$$

for all natural n.

104

How large is c? Out of Q^n strings of length n, at most $Q + Q^2 + \cdots + Q^{n-m-1} = (Q^{n-m} - 1)/(Q - 1)$ can be described by programs of length less than n - m. The ratio between $(Q^{n-m} - 1)/(Q - 1)$ and Q^n is less than 10^{-i} as $Q^m \ge 10^i$, irrespective of the value of n. For instance, this happens in case Q = 2, m = 20, i = 6; it says that less than one in a million among the binary strings of any given length is not Chaitin 20-random.

So, in a strictly quantitative sense, almost all strings are Chaitin random.

Problem. Denote by $(c_Q)_{Q\geq 2}$ the sequence of constants appearing in Theorem 3.3. Is this sequence bounded?

The rest of this paper will be devoted to the analysis of the adequacy of Chaitin's definition of randomness.

IV. A STATISTICAL ANALYSIS OF RANDOM STRINGS

In this section we confront Chaitin's definition of randomness with the probability point of view. As we have already said, the present proposal identifies *randomness* with *incompressibility*. In order to justify this option we have to show that the strings that are incompressible justify the various properties of stochasticity identified by the classical Probability Theory. It is not so difficult, although tedious, to check *separately* such a single property. However, we may proceed in a better way, due to the celebrated theory developed by Martin-Löf: We demonstrate that the incompressible strings do possess all conceivable effectively testable properties of stochasticity. Here we include the known properties, but also the possible unknown ones. A general transfer principle will emerge, by virtue of which various results from classical probability theory carry automatically for random strings.

The ideas of Martin-Löf's theory are rooted in the statistical practice. We are given an element x of some sample space (associated to some distribution) and we want to test the hypothesis x is a typical outcome. Being typical means "belonging to every reasonable majority". An element x will be "random" just in case x lies in the intersection of all such majorities.

A level of a statistical test is a set of strings which are found relatively nonrandom (by the test). Each level is a subset of the previous level, containing less and less strings, considered more and more non-random. The number of strings decreases exponentially fast at each level. In the binary case, a test contains at level 0 all possible strings, at level two only at most 1/2 of the strings, at level three only 1/4 of all strings, and so on; accordingly, at level *m* the test contains at most 2^{n-m} strings of length *n*.

We give now the formal definition.

Definition 4.1 An r.e. set $V \subset A^* \times N_+$ is called a Martin-Löftest if the following two properties hold true:

1) $V_{m+1} \subset V_m$, for all $m \ge 1$ ($V_m = \{x \in A^* \mid (x, m) \in V\}$ is the m-section of V), 2) $\#(A^n \cap V_m) < Q^{n-m}/(Q-1)$, for all $n \ge m \ge 1$.

By definition, the empty set is a Martin-Löf test.

The set V_m is called the *critical region at level* $Q^{-m}/(Q-1)$. (Getting an outcome string x in V_m means the rejection of the randomness hypothesis for x.) A string x is declared "random" at level m by V in case $x \notin V_m$ and |x| > m.

The set

$$V = \{(x,m) \in A^* \times \mathbf{N}_+ \mid |\frac{N_i(x)}{|x|} - \frac{1}{Q} \mid > Q^m \frac{1}{\sqrt{|x|}} \},\$$

where $N_i(x)$ is the number of occurrences of the letter a_i in x, is a Martin-Löf test.

Almost all Chaitin random strings pass all conceivable effective tests of stochasticity, i.e. they are declared random by every Martin-Löf test:

Theorem 4.2 Fix $t \in \mathbb{N}$. Almost all strings in RAND^C_t will be declared eventually random by every Martin-Löf test.

V. A COMPUTATIONAL ANALYSIS OF RANDOM STRINGS

We pursue the analysis of the relevance of Chaitin's definition by confronting it with a natural, computational requirement: there should be no algorithmic way to recognize what strings are random.

Recall that a subset $X \subset A^*$ is immune iff it is infinite and has no infinite r.e. subsets.

Corollary 5.1 The set $RAND_t^C$ is immune for every $t \ge 0$.

The above theorem can be expressed as:

 $(\forall B \subset A^*)(B \text{ infinite and r.e. } \Rightarrow B \setminus RAND_t^C \neq \emptyset).$

There are two (classically equivalent) ways to represent the above statement:

- 1. $(\forall x \in A^*)$ $(W_x \text{ infinite } \Rightarrow \exists y \in A^* : y \in W_x \setminus RAND_t^C)$,
- 2. $\forall x \in A^* : (W_x \subset RAND_t^C \Rightarrow (\exists n \in \mathbb{N}) \# (W_x) \leq n).$

Based on theses statements we can formulate two constructive versions of immunity:

The set $R \subset A^*$ is called *constructively immune* (Li [24]) if there exists a p.r. function $\varphi : A^* \xrightarrow{o} A^*$ such that for all $x \in A^*$, if W_x is infinite, then $\varphi(x) \neq \infty$ and $\varphi(x) \in W_x \setminus R$.

106

The set $R \subset A^*$ is called *effectively immune* (Smullyan [30]) if there exists a p.r. function $\sigma: A^* \xrightarrow{o} N$ such that for all $x \in A^*$, if $W_x \subset R$, then $\sigma(x) \neq \infty$ and $\#(W_x) \leq \sigma(x)$.

It is worth noticing that there exist constructively immune sets which are not effectively immune and vice-versa. Moreover, if the complement of an immune set is r.e., then that set is constructively immune. Hence, we get:

Theorem 5.2 For every t > 0, RAND^C₁ is constructively immune.

With a different proof we show:

Theorem 5.3 For all $t \ge 0$, RAND^C_t is effectively immune.

VI. RANDOM STRINGS ARE BOREL NORMAL

Another important restriction pertaining a good definition of randomness concerns the frequency of letters and blocks of letters. In a "true random" string each letter has to appear with approximately the same frequency, namely Q^{-1} . Moreover, the same property should extend to "reasonably long" substrings.

These ideas have been stated by Borel [1, 2] for sequences. In Chaitin [10] one shows that Chaitin Omega Number representing the halting probability of a universal self-delimiting computer is Borel normal.

Motivated by these facts we formalize the Borel normality property for strings. First, let $N_i(x)$ be the number of occurrences of the letter a_i in the string x, $1 \le i \le Q$. Accordingly, the ratio $N_i(x)/|x|$ is the relative frequency of the letter a_i in the string x.

For strings of length $m \ge 1$ we proceed as follows. We consider the alphabet $B = A^m$ and construct the free monoid $B^* = (A^m)^*$. Every $x \in B^*$ belongs to A^* , but the converse is false. For $x \in B^*$ we denote by $|x|_m$ the length of x (according to B) which is exactly $|x|m^{-1}$.

For every $1 \le i \le Q^m$ denote by N_i^m the number of occurrences of y_i in the string $x \in B^*, B = \{y_1, \dots, y_{Q^m}\}$. For example, take $A = \{0, 1\}, m = 2, B = A^2 = \{00, 01, 10, 11\} = \{y_1, y_2, y_3, y_4\}, x = y_1 y_3 y_3 y_4 y_3 \in B^*(x = 0010101110 \in A^*)$. It is easy to see that $|x|_2 = 5, |x| = 10, N_1^2(x) = 1, N_2^2(x) = 0, N_3^2(x) = 3, N_4^2(x) = 1$. Note that the string $y_2 = 01$ appears three times into x, but not on the right positions.

Not every string $x \in A^*$ belongs to B^* . However, there is a possibility "to approximate" such a string by a string in B^* . We proceed as follows. For $x \in A^*$ and $1 \le j \le |x|$ we denote by [x; j] the prefix of x of length |x| - rem(|x|, j) (i.e. [x; j] is the longest prefix of x whose length is divisible by j). Clearly, [x; 1] = x and $[x; j] \in (A^j)^*$. We are now in a position to extend the functions N_i^m from B^* to A^* : put $N_i^m(x) = N_i^m([x;m])$, in case |x| is not divisible by m. Similarly, $|x|_m = |[x;m]|_m$.

Definition 6.1 A non-empty string $x \in A^*$ is called ε -limiting (ε is a fixed positive real) if for all $1 \le i \le Q$, x satisfies the inequality:

$$\left|\frac{N_i(x)}{|x|}-\mathcal{Q}^{-1}\right|\leq \varepsilon.$$

Definition 6.2 A string $x \in A^*$ is called **Borel normal** iff for every natural $m, 1 \le m \le \log_Q \log_Q |x|$,

$$\left|\frac{N_j^m(x)}{|x|_m} - \mathcal{Q}^{-m}\right| \le \sqrt{\frac{\log_{\mathcal{Q}}|x|}{|x|}},$$

for every $1 \leq j \leq Q^m$.

In Calude [5] one proves the following result:

Theorem 6.3 For every natural $t \ge 0$ we can effectively compute a natural number M_t (depending upon t) such that every string of length greater than M_t in RAND^t is Borel normal.

Theorem 6.3 can be used to prove the following result (a weaker version was obtained in Calude, Câmpeanu [6]):

Theorem 6.4 For every natural t and for every string x we can find two strings u, v such that $uxv \in RAND_t^C$.

VII. EXTENSIONS OF RANDOM STRINGS

In this section we deal with the following problem: To what extent is it possible to extend an arbitrary string to a Chaitin random or non-random string ?

Theorem 6.4 says that every string x can be embedded into a Chaitin random string. The next results will put some more light on this phenomenon.

Theorem 7.1 For every natural t and every string $x \in A^*$ there exists a string $u \in A^*$ such that for every string $z \in A^*$, $xuz \notin RAND_L^C$.

Corollary 7.2 For every natural t we can find a string x no extension of which is in $RAND_{L}^{C}$.

The above result shows that in Theorem 6.4 we need both the prefix u and the suffix v, i.e. it is not possible to fix $u = \lambda$ and then find an appropriate w. However, such a possibility is regained—conforming with the probabilistic intuition—as far as we switch from $RAND_t^C$ with a fixed t to $RAND_t^C$ with an appropriate, small t.

Theorem 7.3 For every string x and natural n we can find a string u such that: i) $|xu| \ge n$, ii) for some natural t (which is about $\lfloor \log_Q |xu| \rfloor$), $xu \in RAND_t^C$.

108

VIII. CHAITIN'S MODEL VS KOLMOGOROV'S MODEL

The original definition of random strings (see Kolmogorov [22], Chaitin [9, 10, 15]) is motivated by the fact that

$$\max_{|x|=n} K(x) = |x| + O(1);$$

accordingly, x is called Kolmogorov t-random if $K(x) \ge |x| - t$; RAND^K_t stands for the set of Kolmogorov t-random strings.³

All results cited in this paper concerning the adequacy of Chaitin's definition of random strings actually hold true for Kolmogorov's model of random strings.⁴ To the best of our knowledge there are no "natural" properties associated with randomness valid for one model and not valid for the other one. The underlying complexities H and K are "asymptotical equivalent". Indeed, a crude relation between H and K is the following:

$$H(x) \asymp K(x)$$
.

A more exact relation was obtained by Solovay [28]. Put:

$$K^{1}(x) = K(x), K^{n+1}(x) = K(string(K^{n}(x))),$$

$$H^{1}(x) = H(x), H^{n+1}(x) = H(string(H^{n}(x))).$$

Theorem 8.1 The following relations hold true:

$$H(x) = K(x) + K^{2}(x) + O(K^{3}(x)),$$

$$K(x) = H(x) - H^{2}(x) + O(H^{3}(x)).$$

In view of Theorem 8.1 it might be the case that the set of Kolmogorov random strings actually coincides with the set of Chaitin random strings. This is not the case!

Using the proof of Theorem 3.1 one can show that every Chaitin random string is Kolmogorov random. However, the converse is not true as Solovay [28] has shown. Actually, Solovay [29] conjectures that there exists a constant L such that for all sufficiently large n, there are at least $Q^{n/2}$ strings of length n, s, such that:

$$K(s) \ge |x| - L,$$

$$H(s) \le |s| + H(string(n)) - \frac{1}{2}K^{2}(string(n)).$$

So, many Kolmogorov random strings only "look" random, but in fact, they are not. It is an **open question** to find out "natural" properties related to the informal notion of randomness which hold true for Chaitin random strings, but fail to be true for Kolmogorov random strings. Martin-Löf analysis, developed in Section 4, is not fine enough for this problem.

IX. THE ROLE OF THE UNDERLYING ALPHABET

It seems that there is a wide spread feeling that the binary case encompasses the whole strength and generality of coding phenomena, at least from an algorithmic point of view. The problem is the following: Does there exist a *binary* asymptotical optimal coding of all strings over an alphabet with q > 2 elements? Surprisingly, the answer is *negative*. The answer is negative for both complexities K and H. As our main interest is directed to Chaitin complexity we shall outline the results for this complexity measure.

Let $q > p \ge 2$ be naturals, and fix two alphabets, A, X, having q and p elements, respectively. The lengths of $x \in A^*$ and $y \in X^*$ will be denoted by $|x|_A$ and $|y|_X$, respectively. Fix a universal Chaitin computer $U : A^* \times A^* \xrightarrow{\circ} A^*$ and denote by H its induced complexity.

Does there exist a Chaitin computer $C: X^* \times A^* \xrightarrow{o} A^*$ which is universal for the class of all Chaitin computers acting on A^* ?

The upshot is the following result (see Calude [4], Calude, Jürgensen, and Salomaa [8]):

Theorem 9.1 There is no Chaitin computer $C : X^* \times A^* \xrightarrow{o} A^*$ which is universal for the class of all Chaitin computers acting on A^* .

Let us study Chaitin complexity acting on alphabets of different size. We need some more notation. For every natural $i \ge 2$ put $A_i = \{0, 1, ..., i-1\}$, and let us denote by $string_i(n)$ the *n*th string in A_i^* (according to the quasi-lexicographical order induced by $0 < 1 < \cdots < i-1$); let $H_i : A_i^* \to N$ be Chaitin complexity.

Theorem 9.2 Let $2 \le q < Q$. Then, there exists a constant α (which depends upon q, Q) such that for all $x \in A_a^*$ we have:

$$|H_q(x) - (\log_q Q)H_q(x)| \le \alpha.$$

Theorem 9.3 For every $2 \le q < Q$ and all $x \in A_q^*$,

$$H_Q(x) < |x| + O(1).$$

So, no string $x \in A_q^*$ is random over A_Q^* .⁵ In the binary case we have only two such strings, namely

which are obviously non-random. In the non-binary case we have

$$\sum_{i=2}^{Q-1} i^n \begin{pmatrix} Q\\i \end{pmatrix}$$

strings over the alphabet A_Q which are non-binary because they do not contain all Q letters. For instance, for Q = 3 one has 3×2^n such strings, some of them (in

WHAT IS A RANDOM STRING?

fact, according to Theorem 3.3, more then $3 \times 2^{n-c_2}$, where c_2 is a constant which depends on the size of the alphabet but not on the length *n*) are random as *binary* strings. So, it is shown once again, that randomness is a contextual property.

X. CONCLUSION

In view of the above discussion we conclude that Chaitin's model of random strings satisfy many natural requirements related to randomness, so it can be considered as an **adequate model** for finite random objects. It is a better model than the original (Kolmogorov) proposal. However, one has very little "insight" concerning the significance of the distinction between Chaitin's model and Kolmogorov's model, in the sense that no property—naturally associated with randomness—holding true for Chaitin random strings and failing to be satisfied by Kolmogorov random strings is actually known. All descriptional complexities in the binary and non-binary cases have crucial differences, so it appears that it is only natural to discuss the complexity and randomness of finite objects in a non-necessarily binary framework.

XI. ACKNOWLEDGMENT

I wish to warmly thank Greg Chaitin for many stimulating discussions on random strings (by email, in Auckland, New Zealand and Bar Harbor, Maine, US). I express my gratitude to Helmut Jürgensen, Per Martin-Löf, Charles Rackhoff, Arto Salomaa, and Bob Solovay for their illuminating comments. Finally, my thanks go to the "Circle of Vienna", especially to Werner DePauli-Schimanovich and Karl Svozil, for inviting me to contribute to the *Foundational Debate*.

NOTES

- * This work has been partially supported by Auckland University Research Grant A18/XXXX/62090/F3414022.
- 1. Exact values for all additive constants discussed in this paper have been recently computed by Chaitin [19]—using a Lisp model of computation.
- 2. So, the "context" is determined by the length and the size of the alphabet.
- 3. Martin-Löf [26] used the blank-endmarker complexity of a string relative to its length to measure the degree of randomness of a string "within" the context of all strings having the same length.
- 4. See Chaitin [11, 12, 13, 14, 15], Martin-Löf [26], Solovay [28], Calude [3, 4], Li and Vitányi [23] for a more detailed discussion.
- 5. This result follows also from Theorem 6.3.

REFERENCES

- É. Borel. Les probabilités dénombrables et leurs applications arithmétiques, Rend. Circ. Mat. Palermo 27(1909), 247-271.
- [2] É. Borel. Leçons sur la théorie des fonctions, Gauthier-Villars, Paris, 2nd ed., 1914.
- [3] C. Calude. *Theories of Computational Complexity*, North-Holland, Amsterdam, New York, Oxford, Tokyo, 1988.
- [4] C. Calude. Information and Randomness. An Algorithmic Perspective, Springer-Verlag, Berlin, 1994. (Forewords by G. J. Chaitin and A. Salomaa)
- [5] C. Calude. Borel normality and algorithmic randomness, in G. Rozenberg, A. Salomaa (eds.). Developments in Language Theory, World Scientific, Singapore, 1994, 113-129. (With a note by G. J. Chaitin)
- [6] C. Calude, C. Câmpeanu. Note on the topological structure of random strings, *Theoret. Comput. Sci.* 112(1993), 383-390.
- [7] C. Calude, I. Chitescu. A class of universal P. Martin-Löf tests, EATCS Bull. 25 (1984), 14-19.
- [8] C. Calude, H. Jürgensen, A. Salomaa. Coding without Tears, manuscript, February 1994, 15 pp.
- G. J. Chaitin. On the length of programs for computing finite binary sequences, J. Assoc. Comput. Mach. 13(1966),547-569. (Reprinted in: Chaitin [15], 369-410.)
- [10] G. J. Chaitin. On the length of programs for computing finite binary sequences: statistical considerations, J. Assoc. Comput. Mach. 16(1969), 145-159. (Reprinted in: Chaitin [15], 411-434.)
- G. J. Chaitin. Information-theoretic limitations of formal systems, J.Assoc. Comput. Mach. 21(1974), 403-424. (Reprinted in: Chaitin [15], 291-333.)
- [12] G. J. Chaitin. A theory of program size formally identical to information theory, J. Assoc. Comput. Mach. 22(1975), 329-340. (Reprinted in: Chaitin [15], 197-223.)
- G. J. Chaitin. Algorithmic information theory, *IBM J. Res. Develop.* 21(1977), 350-359,496. (Reprinted in: Chaitin [15], 83-108.)
- [14] G. J. Chaitin. Algorithmic Information Theory, Cambridge University Press, Cambridge, 1987. (third printing 1990)
- [15] G. J. Chaitin. Information, Randomness and Incompleteness, Papers on Algorithmic Information Theory, World Scientific, Singapore, New Jersey, Hong Kong, 1987.(2nd ed., 1990)
- G. J. Chaitin. Randomness in arithmetic, Scientific American 259(1988), 80-85. (Reprinted in: Chaitin [15], 14-19.)
- [17] G. J. Chaitin. Information-Theoretic Incompleteness, World Scientific, Singapore, New Jersey, Hong Kong, 1992.
- [18] G. J. Chaitin. On the number of N-bit strings with maximum complexity, Applied Mathematics and Computation 59(1993), 97-100.
- [19] G. J. Chaitin. *The Limits of Mathematics*, IBM Watson Center, Yorktown Heights, Draft July 23, 1994, 219 pp.
- [20] P. Gács. Lecture Notes on Descriptional Complexity and Randomness, Boston University, 1988, manuscript, 62 pp.
- [21] P. S. Laplace. A Philosophical Essay on Probability Theories, Dover, New York, 1951.
- [22] A. N. Kolmogorov. Three approaches for defining the concept of "information quantity", Problems Inform. Transmission 1(1965), 3-11.

- [23] M. Li, P. M. Vitányi. An Introduction to Kolmogorov Complexity and Its Applications, Springer-Verlag, Berlin, 1993.
- [24] X. Li. Effective immune sets, program index sets and effectively simple sets generalizations and applications of the recursion theorem, in C. -T. Chong, M. J. Wicks (eds.). South-East Asian Conference on Logic, Elsevier, Amsterdam, 1983, 97-106.
- [25] S. Marcus (ed.). Contextual Ambiguities in Natural & Artificial Languages, Vol. 2, Ghent, Belgium, 1983.
- [26] P. Martin-Löf. The definition of random sequences, Inform. and Control 9(1966), 602-619.
- [27] A. Salomaa. Public-Key Cryptography, Springer Verlag, Berlin, 1990.
- [28] R. M. Solovay. Draft of a paper (or series of papers) on Chaitin's work ... done for the most part during the period of Sept. - Dec. 1974, unpublished manuscript, IBM Thomas J. Watson Research Center, Yorktown Heights, New York, May 1975, 215 pp.
- [29] R. M. Solovay. Email to C. Calude, August 13, 1994.
- [30] R. M. Smullyan. Effectively simple sets, Proc. Amer. Math. Soc. 15(1964), 893-895.
- [31] V. A. Uspensky. Kolmogorov and mathematical logic, J. Symbolic Logic 57(1992), 385-412.