

Representation of left-computable  $\varepsilon$ -random reals <sup>☆</sup>Cristian S. Calude <sup>a,\*</sup>, Nicholas J. Hay <sup>a,1</sup>, Frank Stephan <sup>b,2</sup><sup>a</sup> Department of Computer Science, The University of Auckland, Private Bag 92019, Auckland, New Zealand<sup>b</sup> Department of Mathematics and School of Computing, National University of Singapore, Singapore 117543

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## ABSTRACT

In this paper we introduce the notion of  $\varepsilon$ -universal prefix-free Turing machine ( $\varepsilon$  is a computable real in  $(0, 1)$ ) and study its halting probability. The main result is the extension of the representability theorem for left-computable random reals to the case of  $\varepsilon$ -random reals: *a real is left-computable  $\varepsilon$ -random iff it is the halting probability of an  $\varepsilon$ -universal prefix-free Turing machine.* We also show that left-computable  $\varepsilon$ -random reals are provable  $\varepsilon$ -random in the Peano Arithmetic. The theory developed here parallels to a large extent the classical theory, but not completely. For example, random reals are Borel normal (in any base), but for  $\varepsilon \in (0, 1)$ , some  $\varepsilon$ -random reals do not contain even arbitrarily long runs of 0s.

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## 1. Introduction

A real  $\alpha$  is left-computable (or recursively/computably enumerable) if there is a computable increasing sequence of rationals which converges to  $\alpha$ . Left-computable random reals can be characterised using various tools including prefix-complexity, Martin–Löf tests, martingales, Chaitin Omega numbers and universal probability [1,3,5,6,8,11,15].

Some left-computable reals are not random, but “partially random.” For example, inserting a 0 in between adjacent bits of a (left-computable) random sequence produces a non-random sequence, having some weak randomness properties: this sequence is, as intuition suggests, left-computable (because it is left-approximated by approximations of the original sequence in which a 0 was inserted in between each adjacent bits) and  $1/2$ -random.

The papers [4,12,16–19] have studied the degree of randomness of reals (or sequences) by measuring their “degree of compression.” In what follows  $\varepsilon$  is a fixed computable real number with  $0 < \varepsilon \leq 1$ . We study  $\varepsilon$ -randomness of reals, both intrinsically and in relation to the classical notion of randomness (which corresponds to  $\varepsilon = 1$ , here referred to as 1-randomness or simply randomness).

Our main tool is the  $\varepsilon$ -universal prefix-free Turing machine, a machine that can simulate any other prefix-free machine: the length of the simulating program on the  $\varepsilon$ -universal machine is bounded up to a fixed constant by the length of the simulated program divided by  $\varepsilon$ . In case  $\varepsilon = 1$  we get the classical notion of universal machine.

We show that the halting probability of an  $\varepsilon$ -universal prefix-free Turing machine is left-computable and  $\varepsilon$ -random. Generalising the corresponding representability theorem of left-computable random reals [1,3,8,11] we show that the converse is also true: every left-computable  $\varepsilon$ -random real is the halting probability of an  $\varepsilon$ -universal prefix-free Turing machine. A specific  $\varepsilon$ -universal Turing machine  $V_\varepsilon$  is obtained via Eq. (1) below; the main principle is to “dilute” a universal Turing

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\* Corresponding author.

E-mail addresses: cristian@cs.auckland.ac.nz (C.S. Calude), nickjhay@gmail.com (N.J. Hay), fstephan@comp.nus.edu.sg (F. Stephan).

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machine  $V$ . This machine plays an important role as its halting probability is the least with respect to  $H$ -reducibility of all  $\varepsilon$ -random reals.

The theory developed here parallels to a large extent the classical theory, but not completely. The following two results show interesting differences: (a) the prefix-free complexities induced by universal machines differ by at most an additive constant, but the difference between prefix-free complexities induced by  $\varepsilon$ -universal machines may be unbounded, (b) random reals are Borel normal (in any base), but some  $\varepsilon$ -random reals do not contain even arbitrarily long runs of 0s.

The paper is organised as follows. In Section 2 we present the necessary notation and previous results. In Section 3 we introduce and study  $\varepsilon$ -universal machines and their halting probabilities. In Section 4 we study left-computable  $\varepsilon$ -random reals and in Section 5 we present the representability theorem for left-computable  $\varepsilon$ -random reals. In Section 6 we discuss the provability in the Peano Arithmetic of  $\varepsilon$ -randomness for left-computable reals. In Section 7 we disprove Stay's conjecture regarding the 1-randomness (with respect to  $U$ ) of the halting probability of an  $\varepsilon$ -universal machine  $U$ . We end with a few conclusions.

## 2. Notation and background

Let  $\Sigma = \{0, 1\}$  and denote by  $\Sigma^n$  and  $\Sigma^*$  the set of all bit-strings of length  $n$  and the set of all bit-strings, respectively. The length of  $\sigma \in \Sigma^*$  is denoted by  $|\sigma|$ . By  $\log n$  we abbreviate the function  $\lfloor \log_2(n + 1) \rfloor$ . Let  $\mathbb{N} = \{1, 2, \dots\}$  and let  $\text{bin} : \mathbb{N} \rightarrow \Sigma^*$  be the bijection which associates to every  $n \geq 1$  its binary expansion without the leading 1.

To every infinite binary sequence  $\alpha_1\alpha_2 \dots \alpha_n \dots$  we associate the real number  $\alpha = 0.\alpha_1\alpha_2 \dots \alpha_n \dots$  in  $(0, 1]$ . We denote by  $\alpha \upharpoonright n = \alpha_1\alpha_2 \dots \alpha_n$  the prefix of length  $n$  of  $\alpha$ 's expansion. In this way, reals are identified with infinite binary sequences. Similarly, if  $\mathbf{x} = x_1x_2 \dots x_n \dots$  is an infinite sequence,  $\mathbf{x} \upharpoonright n = x_1x_2 \dots x_n$ .

We assume that the reader is familiar with algorithmic information theory, cf. [1,8] and present only a few notions to fix the notation.

If the Turing machine  $T$  is defined on  $\sigma$  we write  $T(\sigma) < \infty$ ; the domain of  $T$  is the set  $\text{dom}(T) = \{\sigma \in \Sigma^* : T(\sigma) < \infty\}$ . A prefix-free (Turing) machine is a Turing machine whose domain is a prefix-free set of strings. The prefix complexity of a string induced by a prefix-free machine  $W$  is  $H_W(\sigma) = \inf\{|p| : W(p) = \sigma\}$ . From now on all Turing machines will be prefix-free and will be referred to as machines.

We use several times the Kraft–Chaitin Theorem: given a computable enumeration of positive integers  $n_i$  such that  $\sum_i 2^{-n_i} \leq 1$ , we can effectively construct a prefix-free set of binary strings  $\{x_i\}$  such that  $|x_i| = n_i$ , for all  $i \geq 1$ .

Throughout the whole paper  $\varepsilon$  is assumed to be a computable real in the interval  $(0, 1]$ . Fix a machine  $W$ . A sequence  $\mathbf{x}$  is Chaitin  $(\varepsilon, W)$ -random if there is a constant  $c > 0$  such that for every  $n \geq 1$ ,  $H_W(\mathbf{x} \upharpoonright n) \geq \varepsilon \cdot n - c$ ;  $\mathbf{x}$  is strictly Chaitin  $(\varepsilon, W)$ -random if  $\mathbf{x}$  is Chaitin  $(\varepsilon, W)$ -random, but not Chaitin  $(\delta, W)$ -random for any  $\delta$  with  $\varepsilon < \delta \leq 1$ .

If  $W$  is universal (from now on called 1-universal), then we get Tadaki's definition of weak Chaitin  $\varepsilon$ -randomness (see [4,18]). If  $W$  is 1-universal and  $\varepsilon = 1$ , then we get Chaitin's classical definition of randomness [5,6]. A real is Chaitin  $(\varepsilon, W)$ -random (shortly,  $(\varepsilon, W)$ -random) if its binary expansion is Chaitin  $(\varepsilon, W)$ -random.

For any prefix-free set  $A \subset \Sigma^*$  we define  $\Omega_A = \sum_{x \in A} 2^{-|x|}$ . The halting probability of a machine  $W$  is  $\Omega_W = \sum_{x \in \text{dom}(W)} 2^{-|x|}$ .

Following Tadaki [18], for any (not necessarily prefix-free) set  $W \subseteq \Sigma^*$  and computable  $\delta > 0$  we write  $\mu^\delta(W) = \sum_{w \in W} 2^{-\delta \cdot |w|}$ . If  $\delta > 1$  and  $W$  is prefix-free, then  $\mu^\delta(W) \leq \Omega_W \leq 1$ . However, if  $0 < \delta < 1$  then we can have  $\mu^\delta(W) = \infty$  even for prefix-free  $W$  (for example, for  $W = \{1^{\log n} 0 \text{bin}(n) : n > 0\}$  and  $0 < \delta < 1/2$ ).

## 3. $\varepsilon$ -universal machines

In this section we introduce and study the notion of  $\varepsilon$ -universal machine.

In analogy with the classical case we say, following Stay [14], that a machine  $U$  is  $\varepsilon$ -universal if for every machine  $T$  there exists a constant  $c_{U,T}$  such that for each program  $\sigma \in \text{dom}(T)$  there exists a program  $p \in \text{dom}(U)$  such that

$$U(p) = T(\sigma) \text{ and } \varepsilon \cdot |p| \leq |\sigma| + c_{U,T}.$$

If  $\varepsilon = 1$  we get the classical notion of universal machine. Every universal machine is  $\varepsilon$ -universal, but the converse is not true (see Theorem 2).

A machine  $U$  is strictly  $\varepsilon$ -universal if  $U$  is  $\varepsilon$ -universal but not  $\delta$ -universal for any  $\delta$  with  $\varepsilon < \delta \leq 1$ .

**Lemma 1.** *The machine  $U$  is  $\varepsilon$ -universal iff there exists a 1-universal machine  $V$  and a constant  $c_{U,V}$  such that for all  $\sigma \in \Sigma^*$  we have  $\varepsilon \cdot H_U(\sigma) \leq H_V(\sigma) + c_{U,V}$ .*

**Theorem 2.** *Let  $V$  be a 1-universal machine and define*

$$V_\varepsilon(p0^{\lfloor (1/\varepsilon - 1)|p| \rfloor}) = V(p). \tag{1}$$

Then:

- (a)  $V_\varepsilon$  is a machine and for all  $\sigma \in \Sigma^*$  we have  $H_{V_\varepsilon}(\sigma) = \lfloor H_V(\sigma)/\varepsilon \rfloor$ ,  
 (b)  $V_\varepsilon$  is strictly  $\varepsilon$ -universal.

**Proof.** Clearly  $V_\varepsilon$  is a machine and the equality in (a) can be directly checked. From (a) and Lemma 1 we deduce the  $\varepsilon$ -universality of  $V_\varepsilon$ . If there were a constant  $c$  such that for all  $\sigma \in \Sigma^*$ ,  $\delta \cdot H_{V_\varepsilon}(\sigma) \leq H_V(\sigma) + c$ , for some  $\varepsilon < \delta \leq 1$ , then in view of (a) we would have  $(\delta/\varepsilon - 1) \cdot H_V(\sigma) \leq c + \delta$ , for all  $\sigma \in \Sigma^*$ , a contradiction ( $H_V$  is unbounded). So,  $V_\varepsilon$  is strictly  $\varepsilon$ -universal.  $\square$

**Theorem 3.** Let  $V$  be a 1-universal machine. Then for every  $\varepsilon$ -universal machine  $U$ ,  $\Omega_U$  is  $(\varepsilon, V)$ -random.

**Proof.** Let  $f$  be a computable one-to-one function which enumerates  $\text{dom}(U)$ . Let  $\omega_k = \sum_{j=1}^k 2^{-|f(j)|}$ . Clearly,  $(\omega_k)$  is a computable, increasing sequence of rationals converging to  $\Omega_U$ . Consider the binary expansion of  $\Omega_U = 0.\Omega_1\Omega_2\cdots$ .

We define a machine  $T$  as follows: on input  $\sigma \in \Sigma^*$ ,  $T$  first “tries to compute” the smallest number  $t$  with  $\omega_t \geq 0.\sigma$ . If successful,  $T(\sigma)$  is the first (in quasi-lexicographical order) string not belonging to the set  $\{U(f(1)), U(f(2)), \dots, U(f(t))\}$ ; if no such  $t$  exists then  $T(\sigma) = \infty$ .

Fix a number  $m \geq 1$  and note that  $T$  is defined on  $\Omega_U \upharpoonright m$ . Let  $t$  be the smallest number (computed in the first step of the computation of  $T$ ) with  $\omega_t \geq 0.\Omega_U \upharpoonright m$ . We have

$$0.\Omega_U \upharpoonright m \leq \omega_t < \omega_t + \sum_{s=t+1}^{\infty} 2^{-|f(s)|} = \Omega_U \leq 0.\Omega_U \upharpoonright m + 2^{-m}.$$

Hence,  $\sum_{s=t+1}^{\infty} 2^{-|f(s)|} \leq 2^{-m}$ , which implies  $|f(s)| \geq m$ , for every  $s \geq t+1$ . From the construction of  $T$  we conclude that

$$H_U(T(\Omega_U \upharpoonright m)) \geq m. \quad (2)$$

Since  $T$  is a partially computable function, we get a constant  $c'$  such that for all  $\sigma \in \Sigma^*$  for which  $T(\sigma) < \infty$  we have:

$$H_V(T(\sigma)) \leq H_V(\sigma) + c'. \quad (3)$$

Using (2), the  $\varepsilon$ -universality of  $U$ , and (3) we obtain

$$\begin{aligned} \varepsilon \cdot m &\leq \varepsilon \cdot H_U(T(\Omega_U \upharpoonright m)) \\ &\leq H_V(T(\Omega_U \upharpoonright m)) + c \\ &\leq H_V(\Omega_U \upharpoonright m) + c + c', \end{aligned}$$

which proves that  $\Omega_U$  is  $(\varepsilon, V)$ -random.  $\square$

**Corollary 4.** If  $V$  be a 1-universal machine, then  $\Omega_{V_\varepsilon}$  is  $(\varepsilon, V)$ -random and  $(1, V_\varepsilon)$ -random.

**Proof.** The halting probability  $\Omega_{V_\varepsilon}$  is  $(\varepsilon, V)$ -random because of Theorem 2(b) and Theorem 3. Using this fact and Theorem 2(a) we deduce that  $\Omega_{V_\varepsilon}$  is  $(1, V_\varepsilon)$ -random.  $\square$

Next we present a mechanism for producing examples of  $\varepsilon$ -universal machines.

Let  $A, B$  be infinite, prefix-free (recursively/computably) enumerable sets. Generalising the strong simulation in [3], we say that the set  $A$   $\varepsilon$ -strongly simulates the set  $B$  (write  $B \leq_\varepsilon A$ ) if there is a constant  $c > 0$  and a partial computable function  $f: \Sigma^* \xrightarrow{0} \Sigma^*$  satisfying the following three conditions:

- (a)  $A = \text{dom}(f)$ ,  
 (b)  $B = f(A)$  and  
 (c)  $\varepsilon \cdot |\sigma| \leq |f(\sigma)| + c$ , for all  $\sigma \in A$ .

The function  $f$  is called an  $\varepsilon$ -strong simulation of  $A$  onto  $B$ .

**Proposition 5.** If  $V$  is a 1-universal machine and  $f$  is an  $\varepsilon$ -strong simulation of  $\text{dom}(V)$  onto a prefix-free computably enumerable set  $A$ , then  $V \circ f$  is an  $\varepsilon$ -universal machine with domain  $A$ .

**Proof.** Recall that  $(V \circ f)(p) = V(f(p))$  for all  $p \in \Sigma^*$ . Fix a machine  $T$ . Since  $V$  is 1-universal there exists a constant  $c_T$  such that for each  $p \in \text{dom}(T)$  there exists a  $\sigma \in \text{dom}(V)$  satisfying  $|\sigma| \leq |p| + c_T$  and  $V(\sigma) = T(p)$ . Since  $f$  is onto there exists  $\tau \in A$  such that  $f(\tau) = \sigma$ . Since  $f$  is an  $\varepsilon$ -strong simulation we have  $\varepsilon \cdot |\tau| \leq |f(\tau)| + c = |\sigma| + c$ . Combining

the previous two equations we deduce that for every  $p \in \text{dom}(T)$  there exists a  $\tau \in A$  such that  $\varepsilon \cdot |\tau| \leq |p| + c_T + c$  and  $V(f(\tau)) = T(p)$ , so  $V \circ f$  is  $\varepsilon$ -universal.  $\square$

It may seem that the difference between the cases  $\varepsilon = 1$  and  $0 < \varepsilon < 1$  is just technical. Here is a deeper difference. If  $V$  and  $V'$  are 1-universal machines, then their complexities  $H_V$  and  $H_{V'}$  differ by at most an additive constant [1]. *This result is not true for  $\varepsilon$ -universal machines.* To prove the claim we construct the following sequence of machines  $V_{\varepsilon,k}$  by means of a fixed 1-universal machine  $V$ . We let

$$f_{\varepsilon,k}(p) = \begin{cases} p0^{\lfloor (1/\varepsilon-1)|p|-k \cdot \log(|p|) \rfloor}, & \text{if } (1/\varepsilon - 1)|p| - k \cdot \log |p| \geq 1, \\ p1, & \text{otherwise,} \end{cases} \tag{4}$$

$$V_{\varepsilon,k} \circ f_{\varepsilon,k} = V. \tag{5}$$

Note that only for finitely many strings  $p$  the value  $f_{\varepsilon,k}(p)$  is defined by the otherwise-case. Furthermore, Eq. (5) means that  $V_{\varepsilon,k}(f_{\varepsilon,k}(p)) = V(p)$  for all  $p \in \text{dom}(V)$  and  $V_{\varepsilon,k}(q)$  is undefined for all  $q \notin \{f_{\varepsilon,k}(p) : p \in \text{dom}(V)\}$ .

**Theorem 6.** *The following properties are true:*

- (a)  $V_{\varepsilon,k}$  is a machine and  $H_{V_{\varepsilon,k}}(\sigma) = \lfloor H_V(\sigma)/\varepsilon - k \cdot \log H_V(\sigma) \rfloor$ , for almost all strings  $\sigma$ ,
- (b)  $V_{\varepsilon,k}$  is strictly  $\varepsilon$ -universal,
- (c) we have  $H_{V_{\varepsilon,k}}(\sigma) - H_{V_{\varepsilon,k+1}}(\sigma) \geq \log H_V(\sigma) - 1 \rightarrow \infty$  whenever  $|\sigma| \rightarrow \infty$ ,
- (d)  $\Omega_{V_{\varepsilon,k}}$  is  $(\varepsilon, V)$ -random.

**Proof.** Properties (a)–(c) follow from (4) and (5) using the technique presented in the proof of Theorem 2. In detail, the equality in (a) can be directly checked;  $\varepsilon$ -universality follows from (a) and Lemma 1. To show that  $V_{\varepsilon,k}$  is strictly  $\varepsilon$ -universal we suppose, by absurdity, that there exist two constants  $c, \delta$  such that  $c > 0, 1 > \delta > \varepsilon$  and  $\delta \cdot H_{V_{\varepsilon,k}}(\sigma) \leq H_V(\sigma) + c$  for all  $\sigma \in \Sigma^*$ . Then given the equality (a) we would have  $(\delta/\varepsilon - 1) \leq H_V(\sigma) \leq \delta \cdot k \cdot \log H_V(\sigma) + c + \delta$ , for almost all strings  $\sigma$ , a contradiction since  $H_V$  is unbounded. Property (c) follows from (a) and property (d) follows from (b) and Theorem 3.  $\square$

**4. Left-computable  $(\varepsilon, V)$ -random reals**

We now study  $(\varepsilon, V)$ -random reals with the following reducibility relation: a real  $\alpha$  is  $H$ -reducible to a real  $\beta$ , written  $\alpha \leq_H \beta$ , if there exists a 1-universal machine  $V$  and a constant  $c > 0$  such that for all  $n \geq 1$ , we have  $H_V(\alpha \upharpoonright n) \leq H_V(\beta \upharpoonright n) + c$ . Of course, the choice of the 1-universal machine  $V$  is irrelevant. Two reals  $\alpha, \beta$  are  $H$ -equivalent if  $\alpha \leq_H \beta$  and  $\beta \leq_H \alpha$ .

Recall that a real  $\gamma$  is  $\varepsilon$ -convergent [18] if there exists an increasing computable sequence of rationals  $\{a_n\}$  converging to  $\gamma$  such that  $\sum_{n=1}^{\infty} (a_{n+1} - a_n)^\varepsilon < \infty$ .

**Theorem 7.** *Let  $V$  be a 1-universal machine. For every left-computable  $(\varepsilon, V)$ -random real  $\alpha$ ,  $\Omega_{V_\varepsilon} \leq_H \alpha$ .*

**Proof.** Tadaki [19, Theorem 4.6(i) and (iv)] shows the following equivalence: a left-computable real  $\alpha$  is  $(\varepsilon, V)$ -random iff for every left-computable  $\varepsilon$ -convergent real  $\beta$  there exists a constant  $c$  such that for all  $n$ ,  $H_V(\beta \upharpoonright n) \leq H_V(\alpha \upharpoonright n) + c$ .

Now start with left-computable  $(\varepsilon, V)$ -random real  $\alpha$ . Because  $\Omega_{V_\varepsilon}$  is left-computable and  $\varepsilon$ -convergent we can apply the above mentioned equivalence to deduce the existence of a constant  $c$  such that  $H_V(\Omega_{V_\varepsilon} \upharpoonright n) \leq H_V(\alpha \upharpoonright n) + c$ , i.e.  $\Omega_{V_\varepsilon} \leq_H \alpha$ .  $\square$

**Comment 8.** Theorem 7 shows that  $\Omega_{V_\varepsilon}$  is up to  $H$ -equivalence the least of all  $(\varepsilon, V)$ -random reals. In fact, there is one left-computable real below all other left-computable  $(\varepsilon, V)$ -random reals.

**Proposition 9.** *Let  $V$  be a 1-universal machine. Assume that  $\varepsilon \in (0, 1)$  is computable. Then, for almost all constants  $c$ , and for every string  $x$  there exist two strings  $y, z$  of length  $c$  such that*

1.  $H_V(xy) \geq H_V(x) + \varepsilon \cdot c + 1$ ,
2.  $H_V(x) - \varepsilon \cdot c + 1 \leq H_V(xz) \leq H_V(x) + \varepsilon \cdot c - 1$ .

Furthermore,  $z$  can be chosen as  $0^c$ .

**Proof.** The proof follows mainly along the lines of Lemma 1 in [12] (with  $\rho(x) = 2^{-\varepsilon|x|}$ ).

For item 1, given  $x$  and  $c$  we find  $y$  of length  $c$  such that  $H_V(y|(x, H_V(x))) \geq c$ ; such an  $y$  exists by the pigeon hole principle. Then  $H_V((x, y)) \geq H_V(x) + c - d$  and  $H_V(xy) \geq H_V(x) + c - H_V(c) - d$ , for some constant  $d$  independent of  $c$ . The first inequality follows from Theorem 2.3.6 in [8] and the second inequality follows from the first one by noting that  $(x, y)$

can be computed from  $xy$  and  $c$ ; the constant  $d$  is taken such that it satisfies both inequalities. Now all sufficiently large  $c$  satisfy  $c - H_V(c) - d \geq \varepsilon \cdot c + 1$ .

For item 2, note that  $H_V(x)$  and  $H_V(x0^c)$  differ at most by  $H_V(c) + d'$  from each other, where  $d'$  is again a constant independent of  $c$ . The function  $c \mapsto H_V(c) + d'$  is dominated by the function  $c \mapsto \varepsilon \cdot c - 1$ , hence the given inequalities hold.  $\square$

**Theorem 10.** *Let  $V$  be a 1-universal machine. Assume that  $\varepsilon$  is a computable real in  $(0, 1)$ . There exists a left-computable  $\alpha$  and a constant  $C$  such that for all  $n \geq 1$ ,  $|H_V(\alpha \upharpoonright n) - n \cdot \varepsilon| \leq C$ .*

**Proof.** In view of Proposition 9 there is a constant  $c$  such that for all  $\sigma \in \Sigma^*$ :

1.  $\sigma$  has an extension  $\tau$  of length  $|\sigma| + c$  such that  $H_V(\tau) > H_V(\sigma) + \varepsilon \cdot c + 1$ ,
2.  $H_V(\sigma) - c < H_V(\sigma 0^c) < H_V(\sigma) + \varepsilon \cdot c - 1$ .

Let  $T$  be the tree of all strings  $\sigma \in \Sigma^*$  whose prefixes  $\eta$  with  $|\eta|$  are a multiple of  $c$  have the property  $H_V(\eta) \geq \varepsilon \cdot |\eta|$ . Note that whenever  $\sigma$  is a node of length  $n \cdot c$ , by the first condition, there is an extension of  $\sigma$  in  $T$  of length  $n \cdot c + c$ .

Let  $\alpha$  be the left-most infinite branch of  $T$ , hence left-computable. If  $H_V(\alpha \upharpoonright (n \cdot c)) > n \cdot c \cdot \varepsilon + 2c + 1$ , then  $\alpha \upharpoonright (n \cdot c)0^c$  is in  $T$  as

$$H_V(\alpha \upharpoonright (n \cdot c)0^c) > n \cdot c \cdot \varepsilon + c + 1 > (n \cdot c + c) \cdot \varepsilon.$$

As  $\alpha$  is the leftmost infinite branch,  $\alpha \upharpoonright (n \cdot c + c) = \alpha \upharpoonright (n \cdot c)0^c$ . Consequently, by the second condition,

$$H_V(\alpha \upharpoonright (n \cdot c + c)) < H_V(\alpha \upharpoonright (n \cdot c)) + \varepsilon \cdot c - 1,$$

hence  $H_V(\alpha \upharpoonright (n \cdot c + c))$  is at least by 1 less than the target  $H_V(\alpha \upharpoonright (n \cdot c))$ . From this it follows that  $|H_V(\alpha \upharpoonright (n \cdot c)) - n \cdot c \cdot \varepsilon|$  is bounded by a constant.

The tree  $T$  is the intersection of trees  $T_0, T_1, T_2, \dots$  where each  $T_s$  contains an infinite branch  $\beta$  iff the initial segments  $\sigma$  of  $\beta$  of length  $0 \cdot c, 1 \cdot c, \dots, s \cdot c$  satisfy the inequality  $H_{V,s}(\sigma) \geq \varepsilon \cdot |\sigma|$ . The left-most branches  $\alpha_s$  of  $T_s$  are uniformly computable and approximate  $\alpha$  from the left, hence  $\alpha$  is left-computable.  $\square$

**Comment 11.** Note that in [12] an essentially similar construction for the real  $\alpha$  in Theorem 10 was given; the new fact in Theorem 10 is the property of  $\alpha$  to be left-computable. If one does not need the left-computable part of the result, one can construct  $\alpha$  by a simple induction: append the corresponding strings previously obtained and keep the complexity of  $\alpha(0)\alpha(1)\dots\alpha(n)$  to be  $\varepsilon \cdot n$  up to an additive constant. This method does not work with  $\varepsilon = 1$  as it is known that whenever  $H_V(\alpha(0)\alpha(1)\dots\alpha(n)) \geq n - c$  for all  $n$  then

$$\forall d \forall^\infty n [H_V(\alpha(0)\alpha(1)\dots\alpha(n)) \geq n + d].$$

Hence the existence of  $\alpha$  in Theorem 10 holds only  $0 < \varepsilon < 1$ , another difference between 1-randomness and  $\varepsilon$ -randomness.

**Corollary 12.** *Assume that  $\varepsilon$  is computable in  $(0, 1)$  and  $V$  is a 1-universal machine.*

- a) *There is a constant  $C$  such that for all  $n$ ,  $|H_V(\Omega_{V_\varepsilon} \upharpoonright n) - \varepsilon \cdot n| \leq C$ .*
- b) *The real  $\Omega_{V_\varepsilon}$  is strictly  $(\varepsilon, V)$ -random.*

**Proof.** From Corollary 4,  $\Omega_{V_\varepsilon}$  is  $(\varepsilon, V)$ -random. In view of Theorem 10 there exists a left-computable real  $\alpha$  and a constant  $C$  such that for all  $n$ ,  $|H_V(\alpha \upharpoonright n) - \varepsilon \cdot n| \leq C$ . In particular,  $\alpha$  is left-computable and  $(\varepsilon, V)$ -random, so by Theorem 7 there exists a constant  $c$  such that for all  $n$ :

$$H_V(\Omega_{V_\varepsilon} \upharpoonright n) \leq H_V(\alpha \upharpoonright n) + c \leq \varepsilon \cdot n + c + C. \quad (6)$$

The converse inequality comes from Corollary 4.

Finally, b) is a consequence of (6).  $\square$

It is well known that  $\Omega_V$  is Borel absolutely normal<sup>3</sup> [1]. If  $\alpha = 0.\alpha_1\alpha_2\dots$  is  $(1, V)$ -random then the real  $\beta = 0.\alpha_10\alpha_20\dots$  is  $(1/2, V)$ -random and not Borel normal (because in its binary expansion, in the limit, the frequency of 0s is three times larger than the frequency of 1s).

We show now that  $\Omega_{V_\varepsilon}$  is more than not Borel normal:

<sup>3</sup> A real is absolutely Borel normal if its digits, in every base, follow the uniform distribution: all digits are equally likely, all pairs of digits are equally likely, all triplets of digits are equally likely, etc.

**Proposition 13.** Let  $V$  be a 1-universal machine. Assume that  $\varepsilon$  is computable in  $(0, 1)$  and  $\alpha$  is a left-computable real such that there is a constant  $C$  such that for all  $n$ ,  $|H_V(\alpha \upharpoonright n) - \varepsilon \cdot n| \leq C$ . Then, for every binary string  $\tau$  there is a constant  $c$  such that  $\tau^c$  is not an infix of  $\alpha$ .

**Proof.** The proof follows along the lines of the proof of Proposition 9. To see this, note that for every strings  $\sigma, \tau$  and positive integer  $c$  we have

$$H_V(\sigma \tau^c) \leq H_V(\sigma) + H_V(\tau) + H_V(c) + d,$$

for some constant  $d$  independent of  $\sigma, \tau, c$ . Hence, if all prefixes  $\sigma$  of  $\alpha$  satisfy the inequality

$$|\sigma| \cdot \varepsilon - c' \leq H_V(\sigma) \leq |\sigma| \cdot \varepsilon + c',$$

then for every  $\tau$  there is a value for  $c$  such that

$$H_V(\tau) + H_V(c) + d < \varepsilon \cdot c - 2c',$$

and thus whenever  $H_V(\sigma) \leq |\sigma| \cdot \varepsilon + c'$  we have  $H_V(\sigma \tau^c) < |\sigma \tau^c| \cdot \varepsilon - c'$  and  $\sigma \tau^c$  is not a prefix of  $\alpha$ .  $\square$

**Corollary 14.** For every binary string  $\tau$  there is a constant  $c$  such that  $\tau^c$  does not occur in  $\Omega_{V_\varepsilon}$  as a substring.

**Proof.** Use Corollary 12(a) and Proposition 13.  $\square$

**Comment 15.** If  $\alpha = 0.\alpha_1\alpha_2\dots$  is  $(1, V)$ -random then the real  $\beta = 0.\alpha_1\alpha_1\alpha_2\alpha_2\dots$  is  $(1/2, V)$ -random but does not satisfy the hypothesis of Proposition 13.

### 5. Representability of left-computable $(\varepsilon, V)$ -random reals

In this section we generalise the representability of left-computable random reals [3,11] for the case of left-computable  $(\varepsilon, V)$ -random reals.

**Theorem 16.** Let  $V$  be a 1-universal machine. Every left-computable  $(\varepsilon, V)$ -random number in  $(0, 1]$  is the halting probability of an  $\varepsilon$ -universal machine.

**Proof.** Given  $V$  and  $\varepsilon$  we consider the machine  $V_\varepsilon$  defined by (1). Recall that  $\text{dom}(V_\varepsilon)$  is the set of all strings  $p0^{(1/\varepsilon-1)|p|}$  with  $p \in \text{dom}(V)$ . Now  $\Omega_{V_\varepsilon}$  can be represented by the sum  $\sum_{q \in \text{dom}(V_\varepsilon)} 2^{-|q|}$ . This sum is  $\varepsilon$ -convergent, as

$$\sum_{q \in \text{dom}(V_\varepsilon)} (2^{-|q|})^\varepsilon \leq \sum_{p \in \text{dom}(V)} (2^{1-|p|/\varepsilon})^\varepsilon \leq \sum_{p \in \text{dom}(V)} 2^{\varepsilon-|p|} \leq 2^\varepsilon \cdot \Omega_V < \infty.$$

Hence  $\Omega_{V_\varepsilon}$  is  $\varepsilon$ -convergent.

By Theorem 4.6 (i,v) in [19], given a left-computable and  $(\varepsilon, V)$ -random real  $\alpha$  we can construct a left-computable real  $\beta \geq 0$  and a rational  $q > 0$  (in fact, we can take  $q$  to be  $2^{-m}$ , for some  $m > 0$ ) such that  $\alpha = \beta + 2^{-m} \cdot \Omega_{V_\varepsilon}$ , hence

$$\begin{aligned} \alpha &= \beta + 2^{-m} \cdot \sum_{p \in \text{dom}(V_\varepsilon)} 2^{-|p|} \\ &= 2 \cdot \sum_{r \in \text{dom}(T)} 2^{-|r|-1} + \sum_{p \in \text{dom}(V_\varepsilon)} 2^{-|p|-m} \end{aligned}$$

where the machine  $T$  is constructed from the left-computable real  $\beta$  using the Kraft–Chaitin Theorem.

Define now the  $\varepsilon$ -universal machine  $W$  by the formula:

$$W(s) = \begin{cases} 0, & \text{if } s = 1r \text{ and } r \in \text{dom}(T), \\ V_\varepsilon(s), & \text{if } s = 0^m p \text{ and } p \in \text{dom}(V_\varepsilon), \\ \infty, & \text{otherwise,} \end{cases}$$

and notice that its domain is the disjoint union of the sets  $\{1r : r \in \text{dom } T\} \cup \{0^m p : p \in \text{dom}(V_\varepsilon)\}$ , hence

$$\alpha = \sum_{s \in \text{dom}(W)} 2^{-|s|} = \Omega_W. \quad \square$$

## 6. Provability of left-computable $(\varepsilon, V)$ -random reals

Peano Arithmetic (see [10], shortly, PA) is the first-order theory given by a set of 15 axioms defining discretely ordered rings, together with induction axioms for each formula  $\varphi(x, y_1, \dots, y_n): \forall \bar{y}(\varphi(0, \bar{y}) \wedge \forall x(\varphi(x, \bar{y}) \rightarrow \varphi(x+1, \bar{y})) \rightarrow \forall x(\varphi(x, \bar{y}))$ .

The proof in [2] can be adapted to show that every left-computable  $(\varepsilon, V)$ -random real is provable  $(\varepsilon, V)$ -random in PA. This means the following: if PA is given an algorithm for computing the computable real  $\varepsilon$ , an algorithm for a machine  $U$ , a proof that  $U$  is prefix-free and  $\varepsilon$ -universal, then it can prove that  $\Omega_U$  is left-computable and  $(\varepsilon, V)$ -random. This proof requires  $\varepsilon$  to be defined in terms of primitive recursive functions, which is always possible by a result in [13].<sup>4</sup>

Another representation which can be used to prove  $(\varepsilon, V)$ -randomness is the following: if PA is given an algorithm for computing the computable real  $\varepsilon$ , an algorithm for a machine  $V$ , a proof that  $V$  is prefix-free and  $\varepsilon$ -universal, a positive integer  $c$ , and a computable increasing sequence of rationals converging to a real  $\gamma > 0$ , then PA can prove that  $\alpha = 2^{-c} \cdot \Omega_V + \gamma$  is  $(\varepsilon, V)$ -random.

Is any “representation” of an  $(\varepsilon, V)$ -random real enough to guarantee PA provability of  $(\varepsilon, V)$ -randomness? To answer this question we fix an effective enumeration of all left-computable reals in  $(0, 1)$ ,  $\{\gamma_i\}$ . Such an enumeration can be based on an enumeration of all increasing primitive recursive sequences of rationals in  $(0, 1)$ . Our question becomes: based solely on the index  $i$  can we always prove in PA that “ $\gamma_i$  is  $(\varepsilon, V)$ -random real” in case  $\gamma_i$  is  $(\varepsilon, V)$ -random real? We answer this question in the negative. To this aim we define the following sets:

$$\begin{aligned} \mathfrak{R}_{lc} &= \{\gamma \in (0, 1): \gamma \text{ is left-computable}\} = \{\gamma_i\}, \\ \mathfrak{R}_{lc}(\varepsilon, V) &= \{\gamma \in \mathfrak{R}_{lc}: \gamma \text{ is } (\varepsilon, V)\text{-random}\}, \\ \mathfrak{R}_{lc}^{\text{PA}}(\varepsilon, V) &= \{\gamma \in \mathfrak{R}_{lc}: \gamma \text{ is provable } (\varepsilon, V)\text{-random in PA}\}. \end{aligned}$$

By enumerating proofs in PA we deduce that the set  $\mathfrak{R}_{lc}^{\text{PA}}(\varepsilon, V)$  is computably enumerable.<sup>5</sup> Is  $\mathfrak{R}_{lc}(\varepsilon, V)$  computably enumerable?

We use Lemma 26 from [2]:

**Lemma 17.** *If  $A \subseteq \mathfrak{R}_{lc}$  is computably enumerable, then for every left-computable reals  $\alpha, \beta \in A$  such that  $\beta > \alpha$ , we have  $\beta \in A$ .*

**Theorem 18.** *The set  $\mathfrak{R}_{lc}(\varepsilon, V)$  is not computably enumerable, so there exists  $\alpha \in \mathfrak{R}_{lc}(\varepsilon, V) \setminus \mathfrak{R}_{lc}^{\text{PA}}(\varepsilon, V)$ .*

**Proof.** Consider  $\alpha \in \mathfrak{R}_{lc}(\varepsilon, V)$  and define the left-computable real  $\beta$  in the following way. If  $\alpha \geq 1/2$ , then the real  $\beta = (\alpha \upharpoonright n)11 \dots 1 \dots$  (where  $\alpha \upharpoonright (n+1) = 1^n 0$ ); if  $\alpha < 1/2$  consider the left-computable real  $\beta = (\alpha \upharpoonright n)11 \dots 1 \dots$  (where  $\alpha \upharpoonright (n+1) = 0^m 1^{n-m} 0$ ). In both cases  $\beta > \alpha$  and  $\beta \notin \mathfrak{R}_{lc}(\varepsilon, V)$ , which shows, by Lemma 17, that  $\mathfrak{R}_{lc}(\varepsilon, V)$  is not computably enumerable, thus concluding the proof.  $\square$

In fact, a more precise result is true:

**Theorem 19.** *For every  $\alpha \in \mathfrak{R}_{lc}(\varepsilon, V)$  there exists an index  $i$  such that  $\alpha = \gamma_i$  and PA cannot prove the statement “ $\gamma_i$  is  $(\varepsilon, V)$ -random.”*

**Proof.** The set  $A_\alpha = \{\gamma_i: \alpha = \gamma_i\} \subset \mathfrak{R}_{lc}(\varepsilon, V) \subset \mathfrak{R}_{lc}$  is not computably enumerable.  $\square$

## 7. Stay’s conjecture

Stay [14] studied generalisations of the statement that  $\Omega_U$  is random for every 1-universal machine  $U$ . In particular he conjectured that  $\Omega_U$  is  $(1, U)$ -random for every  $\varepsilon$ -universal machine  $U$ . Although our results show that  $\Omega_U$  is  $(\varepsilon, V)$ -random (for a 1-universal machine  $V$ ; Theorem 3) and the conjecture is true for  $V_\varepsilon$  (Corollary 4), it turns out that the conjecture itself is too general and does not hold. We provide now a strong counterexample.

**Theorem 20.** *There exists a  $\frac{1}{16}$ -universal machine  $U$  such that  $\Omega_U$  is not  $(\frac{1}{2}, U)$ -random, hence not  $(1, U)$ -random.*

**Proof.** Let  $V$  be a 1-universal machine. From  $V$  and input  $\sigma$  we define  $U(\sigma)$  using a parameter  $\tau$  which satisfies the right-hand side conditions in the following definition:

<sup>4</sup> The proof in [2] has been precisely formalised and mechanically proved in the interactive theorem prover Isabelle [7]. It should be straight forward to adapt this proof to the more general  $\varepsilon$ -random case.

<sup>5</sup> Recall that a set  $A \subseteq \mathfrak{R}_{lc}$  is computably enumerable if the set  $\{i \in \mathbb{N}: \gamma_i \in A\}$  is computable enumerable (as a set of non-negative integers). In such a set we enumerate all indices for all elements in  $A$  [9].

$$U(\sigma) = \begin{cases} \tau 0^{16n}, & \text{if } \exists n > 0 [\sigma = 1^n 0 \tau \text{ and } |\tau| = 8n], \\ V(\tau), & \text{if } \exists n, m > 0, \tau \in \text{dom}(V) \\ & [\sigma = 0 \tau 0^{n-1}, |\sigma| = 4^{m+1} \text{ and } |\tau| \leq 4^m], \\ \infty, & \text{otherwise.} \end{cases}$$

Clearly,  $U$  is a machine. Given  $\tau \in \text{dom}(V)$ , let  $m_\tau = \min\{k > 0 : |\tau| \leq 4^k\}$  and  $n_\tau = 4^{m_\tau+1} - |\tau| - 2$ . Then  $U(0\tau 0^{n_\tau} 1) = V(\tau)$  and  $|0\tau 0^{n_\tau} 1| \leq 16 \cdot |\tau|$ , hence  $U$  is  $\frac{1}{16}$ -universal.

Now consider the binary expansion of the halting probability  $\Omega_U$ . The first bit after the dot is 1 as the strings starting with 1 contribute  $\frac{1}{2}$  to the halting probability of  $U$ . Furthermore, the strings of length  $4^{m+1}$  starting with a 0 in the domain of  $U$  contribute  $4^{-m-1} \cdot a_m$  to the halting probability of  $U$ ; here  $a_m$  is the number of strings up to the length  $4^m$  in the domain of  $V$ . Because  $a_m < 2^{4^m}$  it follows that  $a_m$  can be written with  $4^m$  bits. So, in the binary expansion of  $\Omega_U$ , the bits from the positions  $4^m + 1$  until  $3 \cdot 4^m$  are all 0; the bits from the positions  $3 \cdot 4^{m+1} + 1$  to  $4^{m+1}$  describe the binary value of  $a_m$ .

Let  $m \geq 4$ ,  $8n = 4^m$  and let  $\tau$  be the string of the first  $8n$  bits of  $\Omega_U$  after the dot. Then  $U(1^n 0 \tau) = \tau 0^{16n}$  is a prefix of  $\Omega_U$  of length  $24n$  which is generated by the program  $1^n 0 \tau$  of length  $9n + 1$  as  $\Omega_U$  has 0s on the positions  $4^m + 1, \dots, 3 \cdot 4^m$  and  $24n \leq 3 \cdot 4^m$ . Consequently,  $\Omega_U$  is not  $(\frac{1}{2}, U)$ -random.  $\square$

## 8. Conclusion

In this paper we have introduced the notion of  $\varepsilon$ -universal machine and studied its halting probability. An  $\varepsilon$ -universal machine is capable of simulating every other machine, but less efficiently than a universal machine  $V$ . More precisely, the length of the simulating program on the universal machine is bounded up to a fixed constant by the length of the simulated program divided by  $\varepsilon$ . The halting probability of an  $\varepsilon$ -universal machine is left-computable and  $(\varepsilon, V)$ -random. The main result of this paper is the extension of the representability theorem for left-computable random reals to the case of  $\varepsilon$ -random reals: *a real is left-computable and  $(\varepsilon, V)$ -random iff it is the halting probability of an  $\varepsilon$ -universal machine*. Furthermore, we showed that left-computable  $\varepsilon$ -random reals are provable  $(\varepsilon, V)$ -random in Peano Arithmetic, for some, but not all of their representations. Finally we refuted Stay's conjecture stating that  $\Omega_U$  is  $(1, U)$ -random provided  $U$  is  $\varepsilon$ -universal.

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