

# **Randomness Increases Order in Biological Evolution, But ... what is biological randomness?**

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F. Bailly, G. Longo. **Mathematics and Natural Sciences. The physical singularity of Life.** Imperial College, 2011

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# Randomness

## from Physics to in Biology

# Physical Determination (Classical)

**Laplace's view:**

A) determination *implies* predictability

*and*

B) determination  $\neq$  randomness

*[Laplace, Philosophie des Probabilités, 1786]*

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*[Laplace, Philosophie des Probabilités, 1786]*

Thus, Poincaré *broadened determinism*

by including classical randomness: a fluctuation/perturbation *below measure*, may yield an observable effect, over time:

“et nous avons un phénomène aléatoire”, [**Poincaré, 1902**]

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**Turing, 1950:**

From the LCM to the DSM (*Discrete State Machine*):

my DSM is **laplacian!**

**Turing, 1952:**

Morphogenesis as a “continuous dynamics”, non-linear (“exponential drift”, dynamic unpredictability = classical randomness: **Poincaré**)

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[Laplace, *Philosophie des Probabilités*, 1786]

[**J. Monod**, *Le hasard et la nécessité*, 1970]

Consequences of the **Laplacian view:**

the “DNA is a program” theory, since

any *predictable determination* is **programmable**

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**Schrödinger**, What is life? 1944:

« In calling the structure of the chromosomes a code-script, we mean that the all-penetrating mind, **once conceived by Laplace**... could tell from their structure how the egg would develop... . »

**Schrödinger's right consequences of his principles!**

*Today, the code-script has been fully decoded...*

# More on randomness as deterministic unpredictability

*Classical/Relativistic systems are State Determined Systems:  
randomness is an **epistemic** issue*

*Examples: dies, coin tossing, a double pendulum ...*

the Planetary System (Poincaré, 1890; Laskar, 1992)... **finite** (short and long) **time unpredictability**

*(the dies, a SDS, 'know' where they go: along a geodetics, determined by Hamilton's principle).*

- 

Recall **Laplace**:

- infinitary daimon: **OK** (over space-time *classical* continua);
- determination *implies* predictability (except singularities): **Wrong!**
  - *The role of physical measurement*

# Quantum unpredictability as intrinsic indeterminism

Quantum Mechanics is *not* deterministic:

**intrinsic/objective** role of probabilities in constituting the theory:

- measure of conjugated variables;
- entanglement, no hidden variables.

*Schrödinger's* idea: the **equational determination** of a “*law of probability*” (thus back to the indeterministic nature of QM)

**Quantum Mechanics:** you *can't even think* of an infinitary daimon (key difference: measure of conjugate variables).

*Recent survey/reflections:* [Bailly, Longo, 2011], [Longo, Paul, 2008]

# Physical Randomness and Irreversibility

1 - **Classical randomness = deterministic unpredictability**

2 - **Quantum randomness = intrinsic undetermination (& entanglement)**

**Different** probabilities, **different** theories of randomness ...

*Yet, common points:*

- **Randomness = unpredictability**

- **Randomness** is *correlated to* (co-present with) **irreversibility** of time  
(classically: bifurcations ... ; quantum: measurement)

Cf also **Thermodynamics**: II principle; diffusion as random paths.

# Some more philosophy

**1 - Laplace** (strong, fantastic) program: the (*written*) equational determination allows to deduce/predict completely the properties of the physical World

(Newton: “one has to write and solve equations” ... )

**Poincaré:** *No, it does not work* (1892: deterministic unpredictability)

# Some more philosophy

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**2 - Hilbert** program: the *finite axiomatic writing* of Mathematics allows to formally deduce/predict completely the properties of Mathematics

**Gödel:** *No, it does not work* (1931: undecidability)

Provable correlations between *consequences*, as forms of randomness

# Towards Biology

## 3 - Crick, Monod ....

“the *finite string* of DNA base letters A, C, T, G *completely determine* embryogenesis, ontogenesis ... evolution”

More: « the DNA code ... is the *program* for the *behavioral computer* of this individual » (Mayr 1961)

And the *two ways interactions*

DNA – proteome/cell/organisms/ecosystem ?

**None** (Crick’s central Dogma, 1958), or just « noise », « bad copies »

**Randomness** (= noise) is “laplacian” (extraneous to determination and theory)

# The constitutive role of randomness in Biology

One of the crucial « change of perspective », in Biology:

**Randomness is not noise** and it *implies variability implies diversity*  
An essential component of structural stability

*Compare:* Randomness as intrinsic to Quantum Mechanics  
(change measure and the « structure of determination »)

Kupiec, 1983 ....

Buiatti M., Longo G. *Randomness and Multilevel Interactions in Biology*, Ongoing work.

## Biological relevance of randomness

**Each mitosis** (cell division), a critical phase transition:

Asymmetric partitions of proteomes; differences in DNA copies; changes in membranes ...

*In multicellular organisms*: varying reconstruction of tissues' matrix (collagen structure, cell-to-cell connections)

**Not** « noise », « mistakes » in polymerase as a Turing's program,

but non-specificity and randomness is at the core not only of *variability* and *diversity* (the main biological invariants), but even of **cell differentiation** (in embryogenesis: sensitivity in a critical transition; e.g. variability in Zebrafisch, N. Peyreiras, ongoing).

**Randomness** enhances robustness, by diversity : ecosystem, organism ...

# Which form of randomness ?

# Quantum Randomness in Biology

**Quantum tunneling:** non-zero probability of passing any physical barrier (cell respiration, Gray, 2003; destabilizing tautomeric enol forms – migration of a proton: Perez, 2010)

**Quantum coherence:** electron transport (in many biological processes: Winkler, 2005)

**Proton transfer** (quantum probability): RNA mutations (G-C pairs: Ceron-Carrasco, 2009)

Empowered **metabolic random** activities by (water)

“QED coherence” (Del Giudice, 2005; Plankar, 2011)

**REFERENCES IN:**

Buiatti M., Longo G. *Randomness and Multilevel Interactions in Biology*,

Downloadable

# Classical Randomness in Biology

## Non linear affects (molecular level):

- Molecular **enthalpic oscillations**
- **Turbulence** in the cytoplasm of Eukaryote cells
- ....

(see also J.-J. Kupiec, A. Paldi, T. Heams, B. Laforge ... )

# Classical *and* Quantum Randomness in Biology

Molecular level:

**non linear dynamics** (classical)

*and* **quantum processes** *superpose*

*That is:*

*They* happen *simultaneously* and *interfere* (not analyzed in Physics)

*Morover:*

a quantum effect may be **amplified** by a (classical non-linear) dynamics

# Proper (?) Biological Randomness 1

Randomness *within* other levels of organization *in an organism*:

- [*Besides*: **Molecular activities** (classical+quantum randomness)]
- **Cellular dynamics and interactions in a tissue**
- **Developmental dynamics** (contact inhibition between cells: Soto et al., 1999)
- **Fractal bifurcations** (mammary glands development, *ongoing work*)

# Proper Biological Randomness 2:

*Recall:* since Poincaré, randomness as “planetary **resonance**”

Extended to general non-linear dynamics:

at **one level** of (mathematical) determination

(*far from equilibrium*: Pollicott-Ruelle resonance, dynamical entropy in open systems (Gaspard, 2007))

# Proper Biological Randomness 2:

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(*far from equilibrium*: Pollicott-Ruelle resonance, dynamical entropy in open systems (Gaspard, 2007))

**Bio-resonance** (Buiatti, Longo, 2011):

Randomness *between* different levels of organization *in an organism*:

thus, resonance (as interference) between **different levels** of (mathematical) **determination**

*The mathematical challenge:* the Mathematics (of Physics) does **not** deal with **heterogeneous structures** (of determination)

# Bio-resonance

**Physical resonance** (at equilibrium / far from equilibrium) is related to “destabilization” (growth of entropy or disorder)

**Bio-resonance** includes “integration and regulation”, thus  
it *stabilizes* and *destabilizes*

*Examples:*

- The lungs, the drosophila eyes ...
- In embryogenesis ...
- In “colonies” of *Myxococcus Xanthus*, a prokaryote, and *Dictyostelium discoideum*, an eukaryote (Buiatti, Longo, 2011)

# Randomness in critical transitions

*Life* is (not only) a dynamics, a process, but an  
**extended** (permanent, ongoing ... in time, space ..) **critical transition**  
(Bailly, Longo, Montévil: book and papers)

A critical **interval**, not just a (mathematical) point, as in Physics.

Key understanding: **continual symmetry changes**

In Physics, the *determination* of trajectories is *given by symmetries* (the conservation properties)

An biological (ontophylogenetic) trajectory is a *cascade of symmetry changes*.

# The '**double**' irreversibility of Biological Time

Increasing complexity (Gould) in evolution is the result of a **random asymmetric diffusion**

F. Bailly, G. Longo. *Biological Organization and Anti-Entropy*,  
in **J. of Biological Systems**, Vol. 17, n.1, 2009.

Evolution, morphogenesis and death are strictly irreversible, but their irreversibility is proper, it *adds on top* of the physical irreversibility of time (thermo-dynamical):

e. g., **increasing order induces (also some) disorder.**

**Thesis** (the role of randomness):

a random event is (always) correlated to a **symmetry breaking.**

One more reason for an *intrinsic*, proper Biological Randomness. *END*

## Some references (*more on* <http://www.di.ens.fr/users/longo> )

Buiatti M., Longo G. *Randomness and Multilevel Interactions in Biology, In progress* (downloadable <http://www.di.ens.fr/users/longo>).

Bailly F., Longo G. **Mathematics and the Natural Sciences. The Physical Singularity of Life.** *Imperial College Press, London, 2011.*

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Longo G., Palamidessi C., Paul T.. *Some bridging results and challenges in classical, quantum and computational randomness.* In "**Randomness through Computation**", H. Zenil (ed), World Sci., 2010.

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**But ... what is biological randomness?    √**

## **Randomness Increases Order in Biological Evolution**

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## Evolution and “Complexity”

J.-S. Gould's fight against the *wrong* image (progress? ):



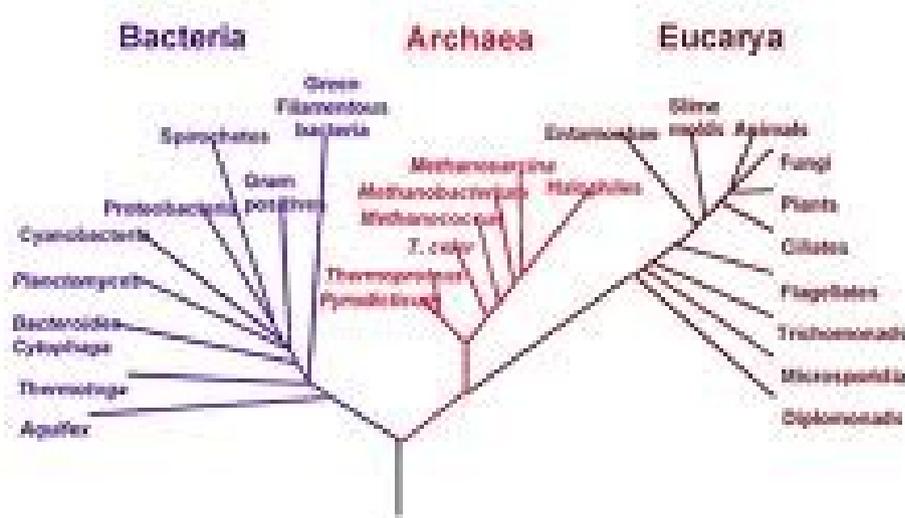
S.J. Gould. **Full house: The spread of excellence from Plato to Darwin** . Three Rivers Pr, 1997.

# Growing complexity in Evolution?

Which “complexity”?

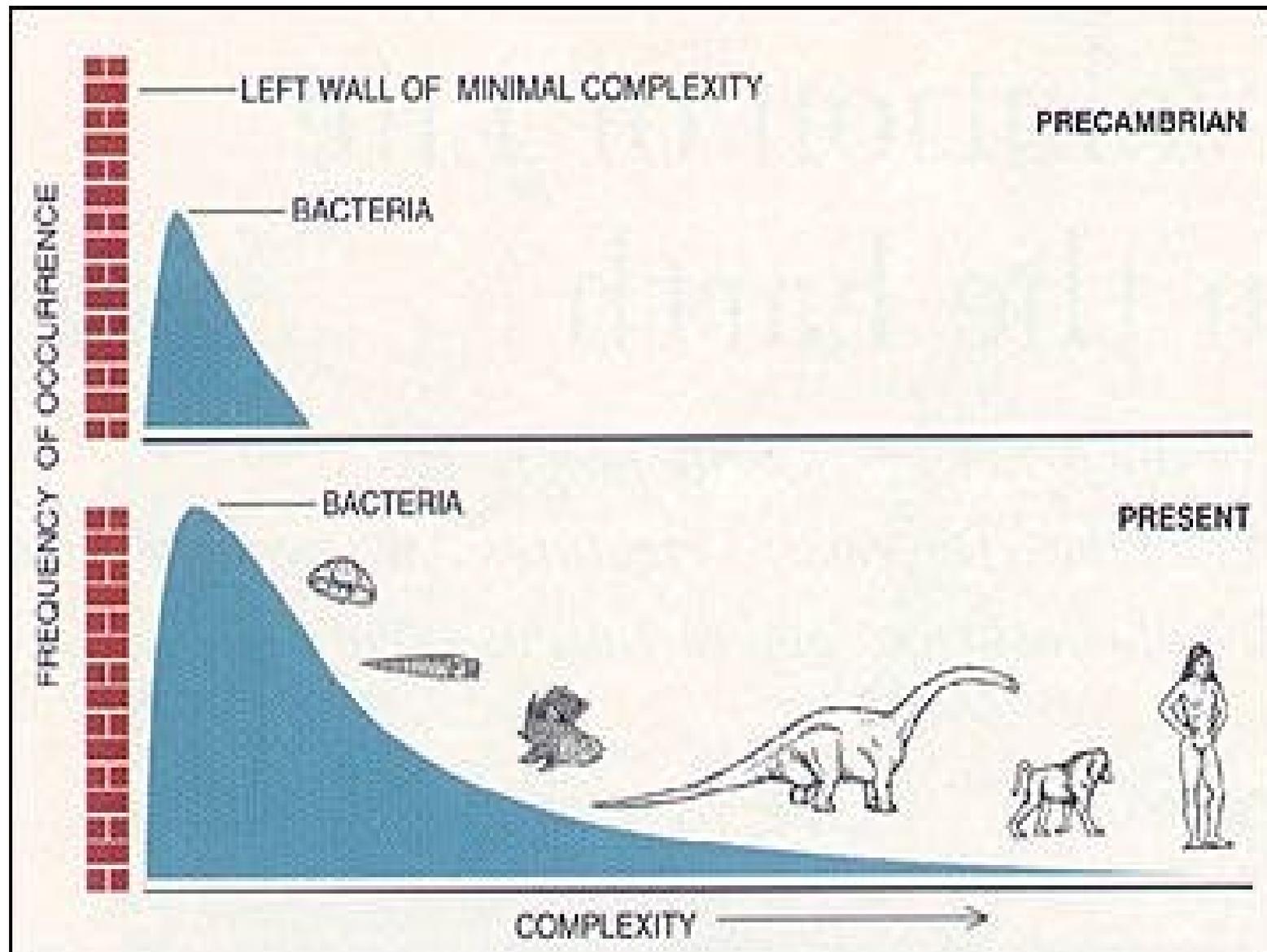
Evolutionary complexity?

## Phylogenetic Tree of Life



**However: Gould's growth of "morphological"  
complexity [Full House, 1989]**

# However: Gould's growth of "morphological" complexity [Full House, 1989]



# Random increase of complexity [Gould, 1989]

*Asymmetric Diffusion*

*Biased Increase*

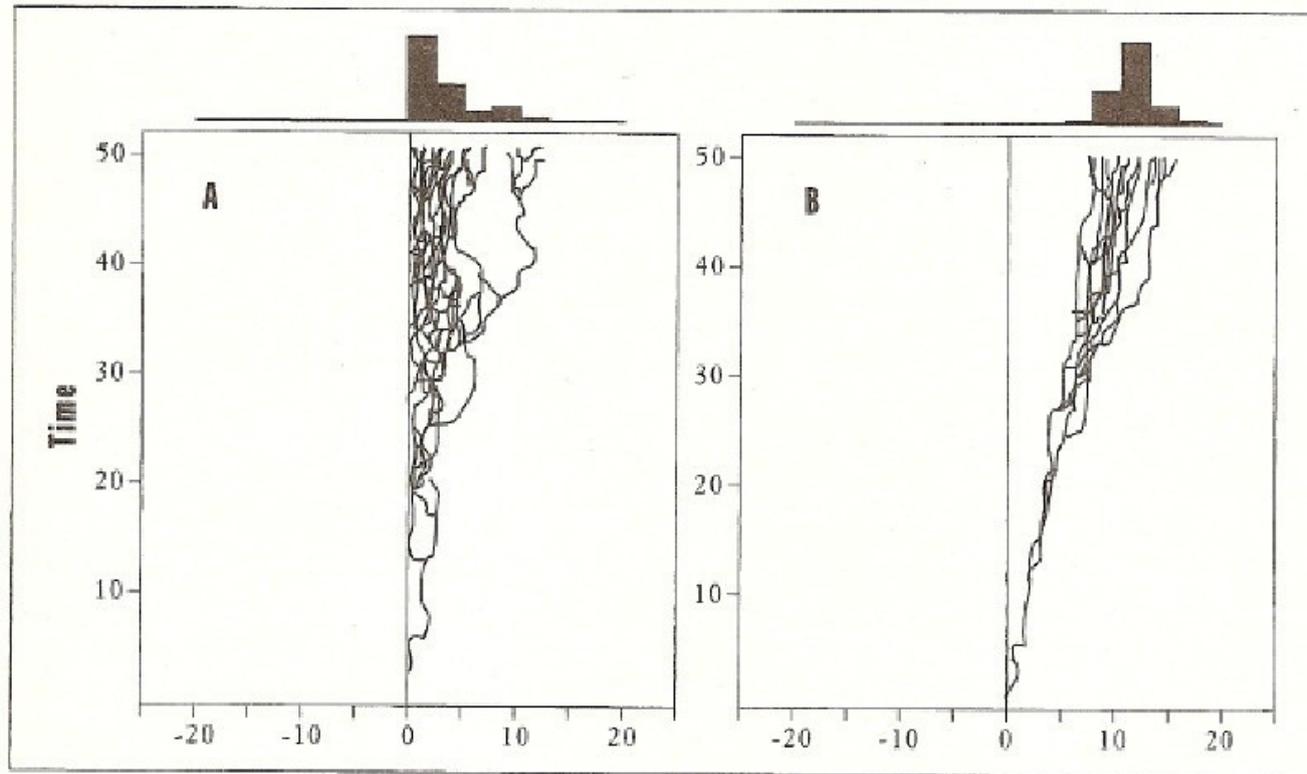


FIGURE 33

Passive and driven trends in McShea's terminology. A passive trend (*A*) begins near a left wall, retains a constant mode at this beginning position, and expands in the only open direction toward the right. In a driven trend (*B*), both minimum and maximum values increase through time.

# How to understand increasing complexity?

No way to explain this in terms of random mutations (only):

1. DNA's (genotype) **random mutations** statistically have probability 0 to cause globally increasing complexity of phenotype (examples: mayfly (ephemeral); equus... [Longo, Tendero, 2007])
1. Darwin's evolution is **selection of the *incompatible*** ("the best" makes no general sense)
1. Greater probabilities of **survival** and reproduction ***do not imply*** greater **complexity** (bacteria, ... lizard...) [Maynard-Smith, 1969]

*Gould's idea: symmetry breaking in a diffusion...*

# Mathematical analysis as a distribution of Biomass (density) over Complexity (bio-organization)

F. Bailly, G. Longo. *Biological organization and anti-entropy*. **J. Bio-systems**, 17-1, 2009.

**Derive** Gould's empirical curb from

- general (mathematical) **principles**,
- specify the phase space
- explicit (and correct) the time dependence

Write a suitable **diffusion equation** inspired by Schrödinger operatorial approach

*Note:* any diffusion is based on **random paths!**

# Morphological Complexity along phylogenesis and embryogenesis

**Specify (quantify)** Gould's informal "complexity" as *static morphological complexity* **K**

$$\mathbf{K} = \alpha\mathbf{K}_c + \beta\mathbf{K}_m + \gamma\mathbf{K}_f \quad (\alpha + \beta + \gamma = 1)$$

- $\mathbf{K}_c$  (combinatorial complexity) = cellular combinatorics as differentiations between cellular lineages (tissues)
- $\mathbf{K}_m$  (phenotypic complexity) = topological forms and structures (e.g., connexity and fractal structures)
- $\mathbf{K}_f$  (relational complexity) = metabolic relations, neuronal and cellular (interaction) networks

Main idea: formalize **K** as **anti-entropy**,  $-S \neq$  negentropy

*(not 0-sum, coding dependent)* .... in balance equations...

# The theoretical frame: analogies

.... by a *conceptual analogy* with **Quantum Physics**:

In *Quantum Physics* (a “wave diffusion” in Hilbert Spaces):

\* The determination is a *dynamics* of a *law of probability*:

(Schrödinger Eq.)  $ih\partial\psi/\partial t = h^2\partial^2\psi/\partial x^2 + v\psi$

• In our approach to *Complexity* in *Biological Evolution*:

\* The determination is a *dynamics* of a *potential of variability*:

(PV)  $\partial f / \partial t = D_b \partial^2 f / \partial K^2 + \alpha_b f$

*What is f ?* (PV) a diffusion equation, in *which spaces*?

Random walks ...

# The theoretical frame: dualities

.... by *conceptual dualities* with **Quantum Physics**:

In *Quantum Physics* (Schrödinger equation):

- **Energy** is an *operator*,  $H(f)$ , the “main” physical observable.
- **Time** is a *parameter*,  $f(\underline{x}, t)$ ,

# The theoretical frame: dualities

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In *Quantum Physics* (Schrödinger equation):

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- **Time** is a *parameter*,  $f(\underline{x}, t)$ ,

In our approach to *Complexity* in *Biological Evolution*:

- **Time** is an *operator*, identified with entropy production  $\sigma$
- **Energy** is a *parameter*,  $f(\underline{x}, e)$  (e.g. energy as bio-mass in scaling-allometric equations:  $Q = kM^{1/n}$ )

Our  $f$  is the density of bio-mass over complexity  $K$  (and time  $t$ ):

$$\mathbf{m}(t, \mathbf{K})$$

A **diffusion** equation:

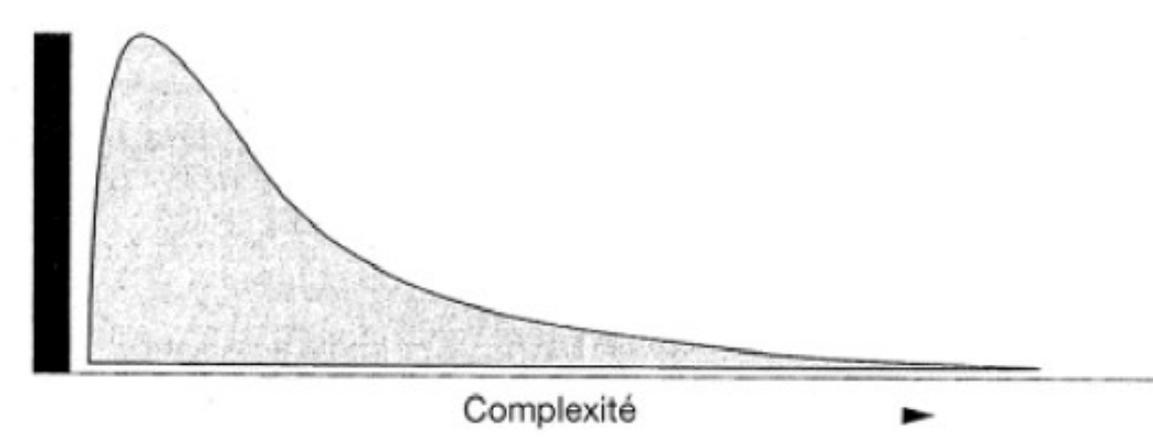
$$\partial m / \partial t = D_b \partial^2 m / \partial K^2 + \alpha_b m(t, K) \quad (3)$$

A **solution**

$$m(t, K) = (A/\sqrt{t}) \exp(at) \exp(-K^2/4Dt)$$

**models** Gould's asymmetric diagram for Complexity in Evolution (a diffusion : *random paths*...), **also along** *t* :  
(biomass and the **left wall** for complexity, archeobacteria original formation)

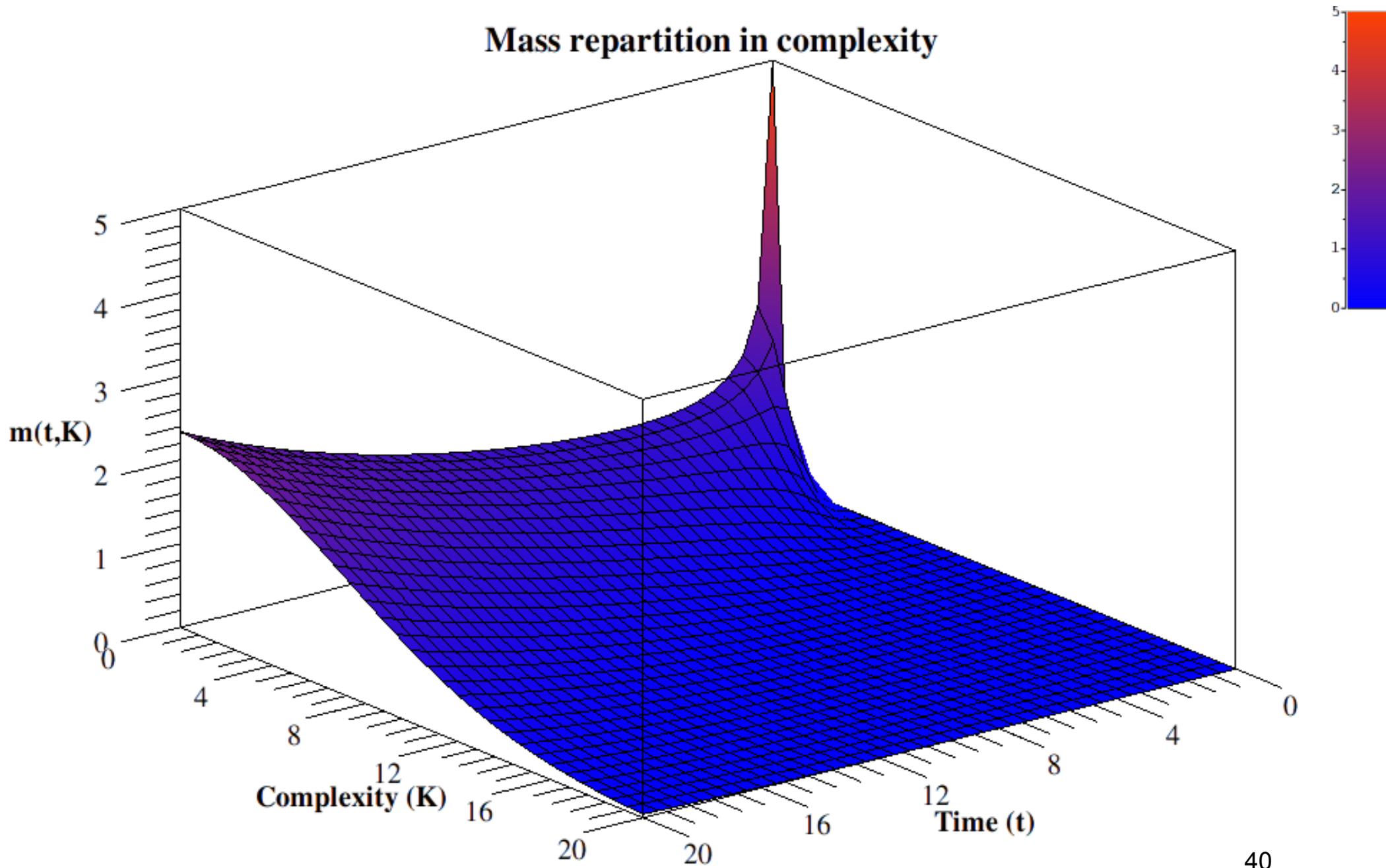
*biomass*



F. Bailly, G. Longo. *Biological Organization and Anti-Entropy...*

(Implementation by **Maël Montevil**; “punctuated equilibria” smoothed out)

### Mass repartition in complexity



## Some references (papers downloadable)

<http://www.di.ens.fr/users/longo> or **Google: Giuseppe Longo**

- Bailly F., Longo G. **Mathematics and Natural Sciences. The physical singularity of Life.** Imperial Coll. Press/World Sci., 2011 (*en français : Hermann, Paris, 2006*).

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