#### Distance rationalizability of voting rules

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# Basic setup

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- ► Each voter submits a strict preference order over the candidates. The set of possible preference orders is the set L(C) of permutations of C.
- ► A profile is a map from V to L(C), stating each voter's preference order. There are (m!)<sup>n</sup> possible profiles, and the set of them we denote P.

## Symmetry assumptions

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Most voting rules are both anonymous and neutral.

#### Compressing the data

By anonymity, the set of profiles can be reduced to the set of equivalence classes, which are multisets of size m! and weight n. If we order the candidates, then such a multiset is represented by a point of N<sup>m!</sup>. There are <sup>(n+m!-1)</sup>/<sub>n</sub> of them of weight n.

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- By neutrality, it can be further compressed, by considering only roots, equivalence classes under candidate permutation. This divides by another factor of m!.

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Some of these are less controversial than others. I find Condorcet's principle far from compelling.

#### Distance

A distance on a set X is a map d : X × X → ℝ<sub>+</sub> ∪ {+∞} that satisfies

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  - triangle inequality:  $d(x,z) \le d(x,y) + d(y,z)$ .

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Given a distance on L(C), we can extend to a ℓ<sup>1</sup>-votewise distance on P by defining d(π, σ) = ∑<sup>n</sup><sub>i=1</sub> d(π<sub>i</sub>, σ<sub>i</sub>) when |π| = |σ|, and +∞ otherwise.

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- If we start with the discrete metric, we get the Hamming metric: how many changes of voters are needed. If we start with the swap metric we get the Kemeny metric.
- Tournament distances: for example the l<sup>1</sup>-norm of the reduced weighted adjacency matrix of the majority tournament.

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•  $\ell^1$ -tournament, Condorcet set yields Copeland's rule

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There must be many more that are worth studying.

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- Monotonicity is also tricky, but some positive results exist.
- Complexity: Note that the Kemeny rule has an NP-hard winner determination problem, but scoring rules can be dealt with in polynomial time.

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- Under this model, the probability of observing  $\pi'$  given that  $\pi$  is the true answer is proportional to  $q^{d(\sigma,\sigma')}$  where q = (1-p)/p and d is the Kemeny distance.
- If we use an arbitrary distance d and the same construction, this is a generalized Mallows model.

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- For example, the Kemeny rule gives the MLE under the Condorcet-Mallows model.
- Scoring rules occur in the same way when we try to compute the correct winner.
- MLE is a stringent condition. What about other statistical estimators?

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- How does this relate to the DR framework?
- What about estimators based on methods other than maximum likelihood?

### Next week

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- What are the geometric consequences?
- The answers are interesting!