

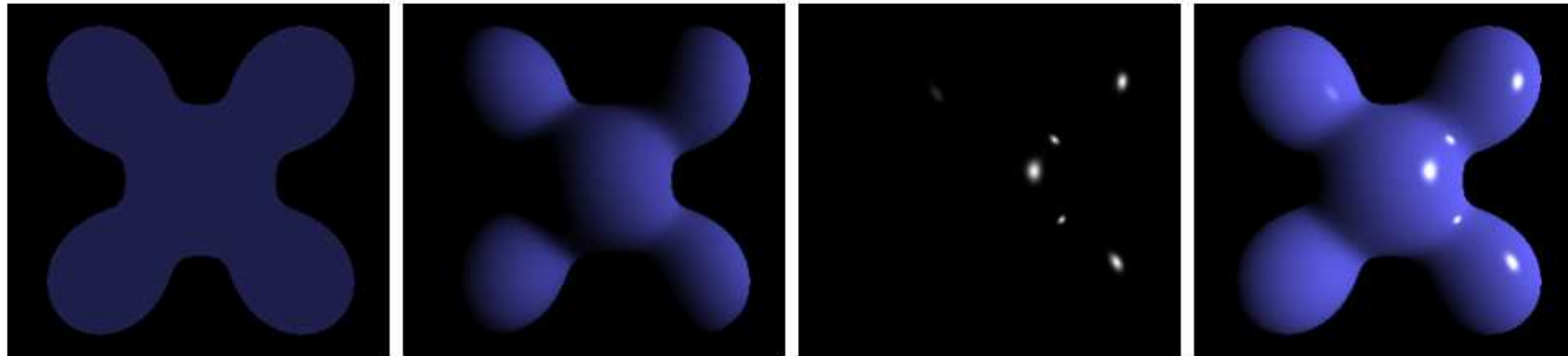
# Computer Graphics and Image Processing Illumination II

Part 1 – Lecture 8



# Today's Outline

- Recap: Phong Illumination Model
- Shading Algorithms
  - Flat Shading
  - Gouraud Shading
  - Phong Shading
- Shadows
  - Ground-Plane Projection
  - Shadow Buffer

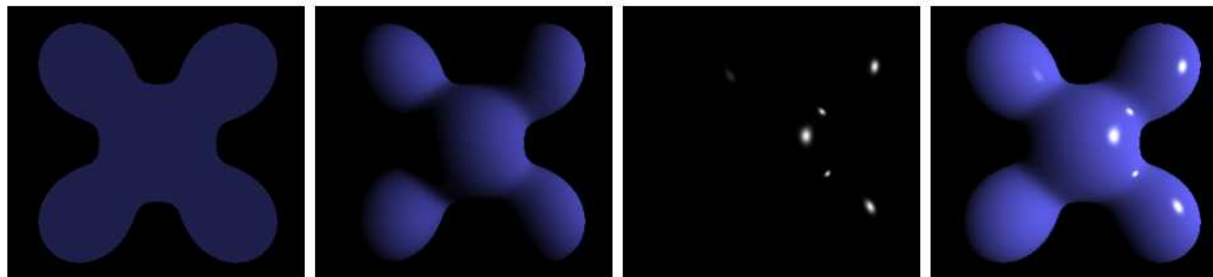
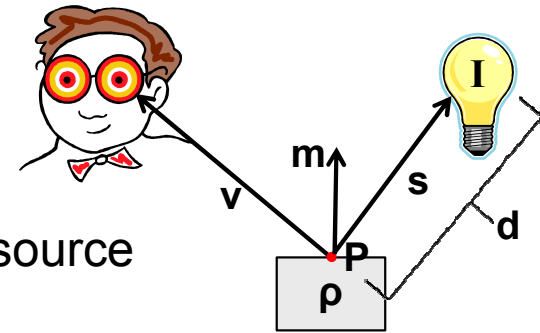


Ambient + Diffuse + Specular = Phong Reflection

# RECAP: PHONG ILLUMINATION MODEL

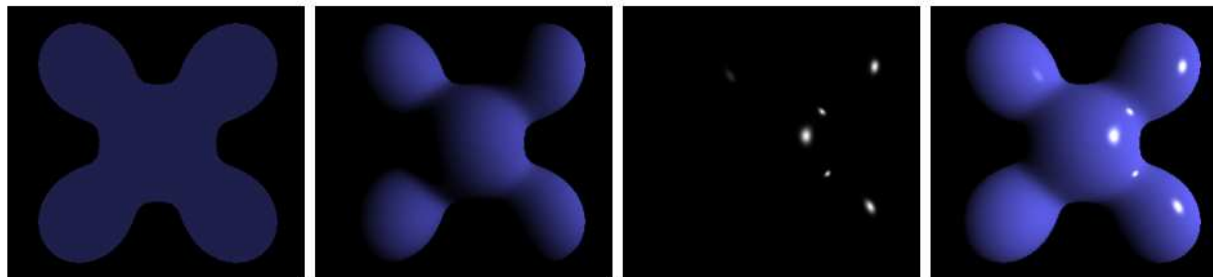
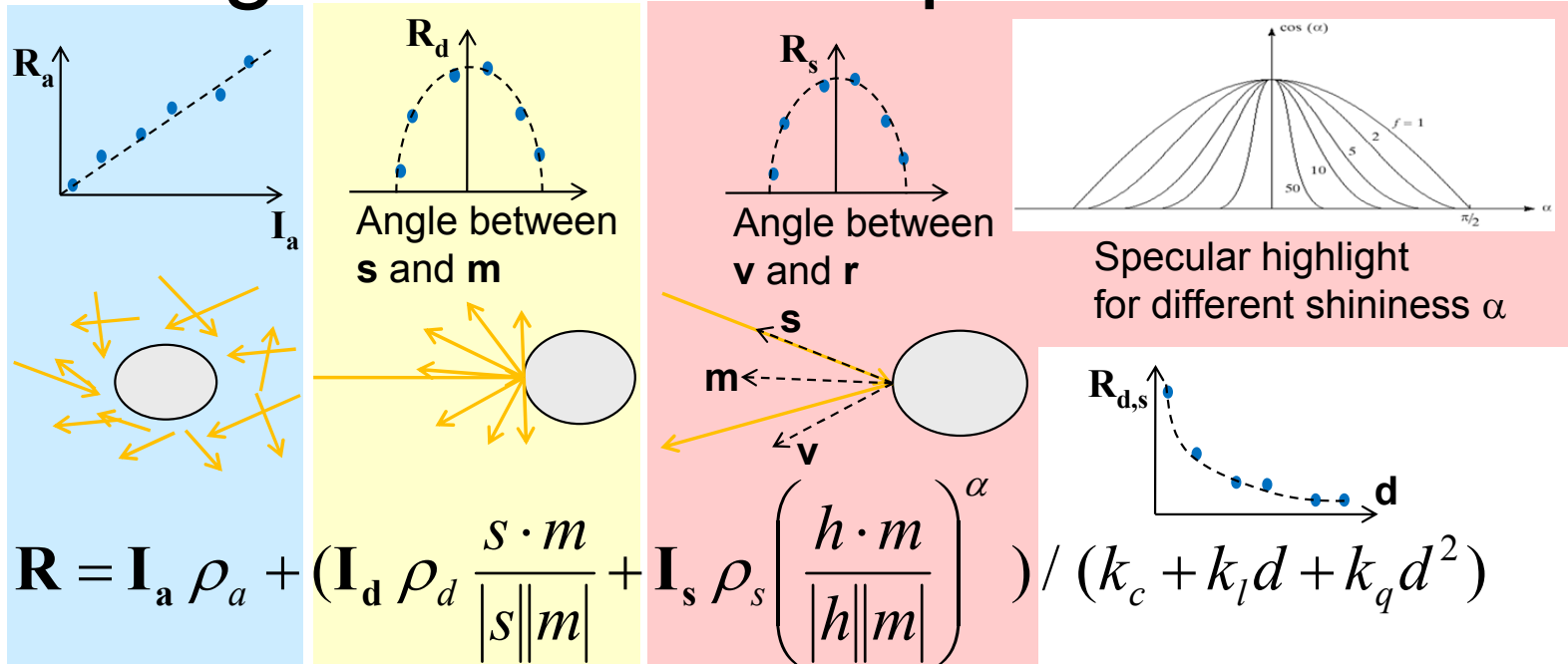
# Phong Illumination Model

- **Idea:** calculate intensity  $\mathbf{R}$  (and color) of visible light at a point as the sum of ambient, diffuse and specular reflection
- Variables taken into account:
  - Intensities  $I_a$ ,  $I_d$ ,  $I_s$  for incident light
  - Surface normal vector  $\mathbf{m}$
  - Vector  $\mathbf{s}$  describing the direction to the light source
  - Distance  $d$  to light source
  - Vector  $\mathbf{v}$  describing the direction to the viewer
  - Reflection coefficients of the surface material  $\rho_a$ ,  $\rho_d$ ,  $\rho_s$

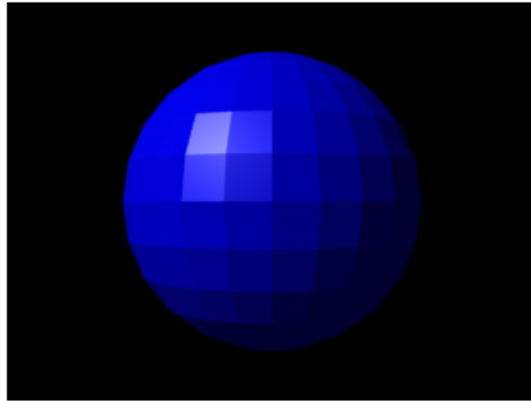


Ambient + Diffuse + Specular = Phong Reflection

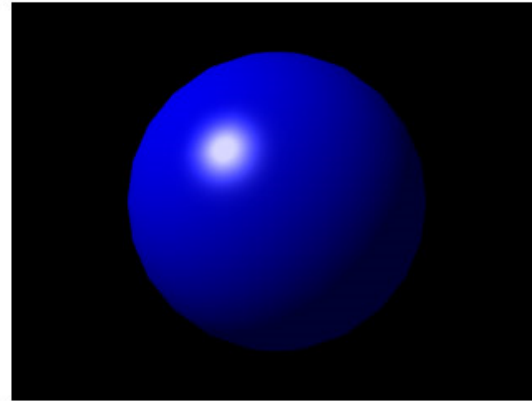
# Phong Illumination Equation



Ambient + Diffuse + Specular = Phong Reflection



FLAT SHADING



PHONG SHADING

# SHADING ALGORITHMS

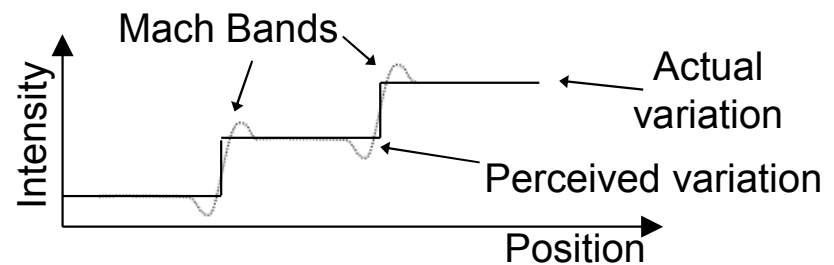
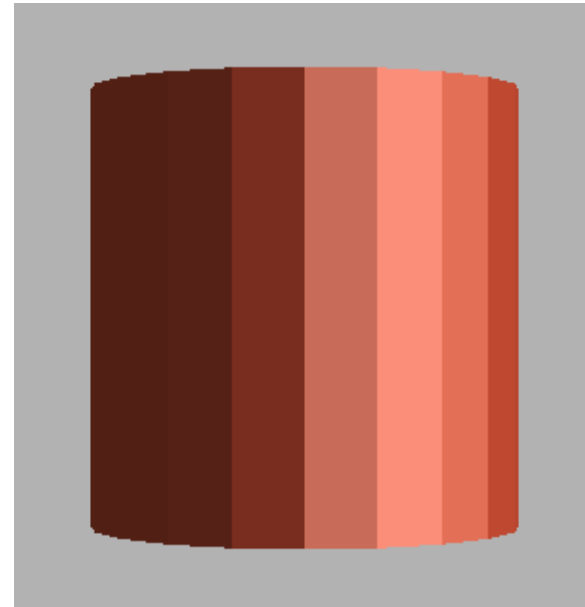


# Shading Algorithms

- Phong illumination equation:  
how to calculate color on every point of surface  
(given lights, materials, etc.)
- **Problem:** calculating Phong equations at every single point  
(pixel) would be slow!
- **Solution:** use a shading algorithm
  - Uses Phong equation only at some points (usually vertices)
  - Then uses interpolation to get colors for in-between points (in-between pixels)
- Three popular shading algorithms:
  - Flat shading (fastest but worst quality)
  - Gouraud shading (balance of speed and quality)
  - Phong shading (slowest but best quality)

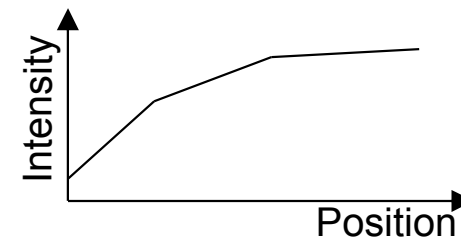
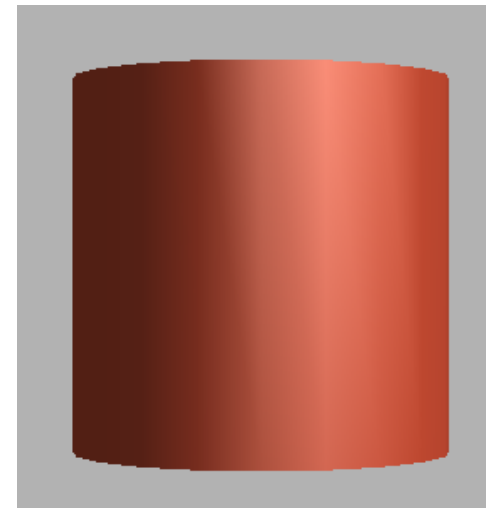
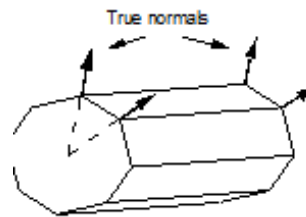
# Flat Shading (Constant Shading)

- Apply Phong equation once per face (using face normal)
- Shade whole face that color
- **Advantage:** simple and fast
- **Disadvantage:** very poor display of polygon-mesh approximations to curved surfaces
  - Human eye very sensitive to discontinuities
  - Exaggerates them into *Mach Bands*



# Gouraud Shading

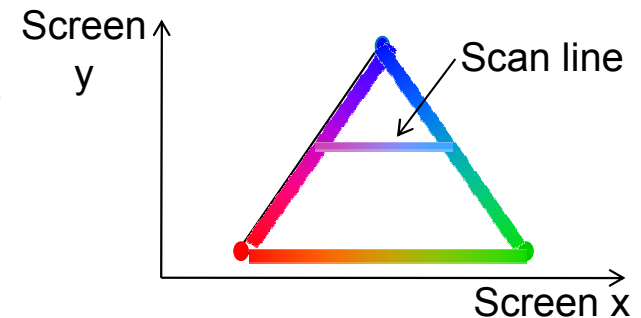
- Apply Phong equation at each vertex (using “true” surface normal)
- Linearly interpolate colors between vertices
- **Advantages:**
  - Still fast
  - Avoids 0th-order color discontinuities over polygon mesh (color continuous between faces)
- **Disadvantages:**
  - Still 1st order color discontinuity (→ slight Mach bands)
  - Invariance problem with quadrilaterals
  - Problems with highlights



# Gouraud Shading Contd.

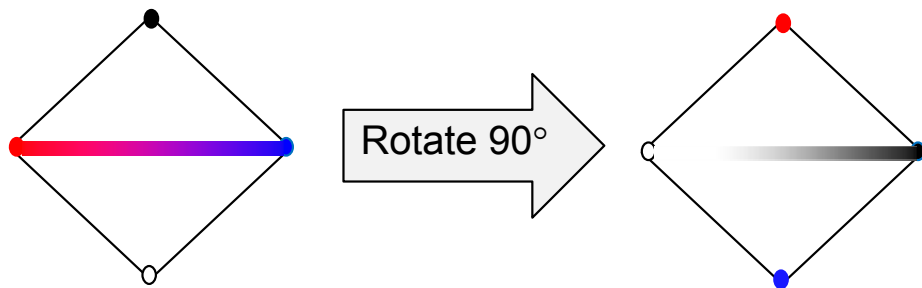
## Triangles

1. Get color for each vertex (Phong equation)
2. Interpolate pixel colors between vertices
3. Interpolate pixel colors along all horizontal scan lines



## Quadrilaterals

Problem: not rotationally invariant



When rotating the quad, the color of the middle pixel changes (first purple, then gray)

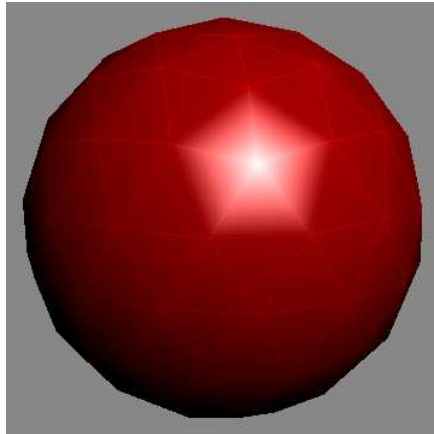
Solution: cut each quadrilateral into two triangles

# Gouraud Shading: Highlights

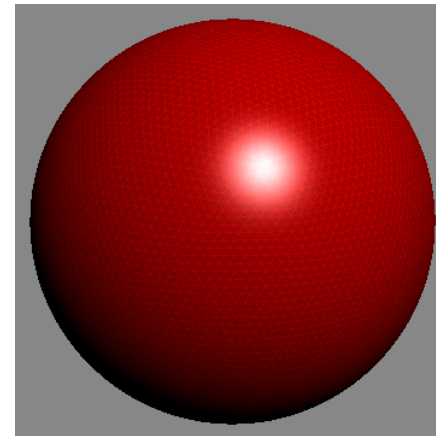
**Problem:** highlights can only be rendered on a vertex

- Highlight may not be sharp, i.e. gets smeared over adjacent faces
- Highlight may not be visible if not near a vertex

**Solution:** use more vertices in your mesh



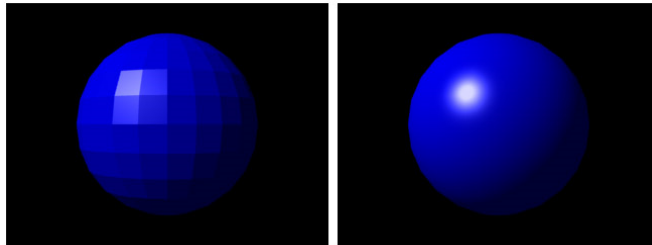
Low number of vertices



High number of vertices

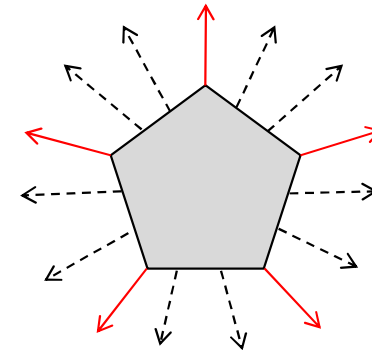
# Phong Shading

- To get crisp specular highlights with Gouraud shading, we need many vertices
- Bui Tuong-Phong suggested Phong shading to solve this
  1. Linearly interpolate the **normal** over the polygon (instead of **color** as in Gouraud shading)
  2. Then evaluate Phong equation at each pixel



FLAT SHADING

PHONG SHADING



- **Advantage:** crisp highlights with few vertices
- **Disadvantage:** slower because Phong calculation for every Pixel

# Cost of Shading

## ■ Flat shading:

- Pixel colors constant for entire triangle
- 1 normal calculation per triangle
- 1 color calculation per triangle (Phong equation)

## ■ Gouraud shading:

- 1 normal calculation per vertex
- 1 color calculation per vertex (Phong equation)
- 1 color interpolation calculation per pixel

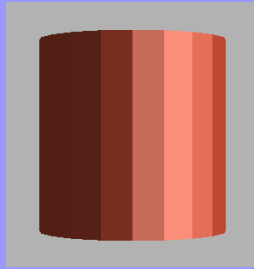
## ■ Phong shading:

- 1 normal calculation per vertex
- 1 normal interpolation between vertex normals per pixel
- 1 color calculation per pixel (Phong equation)



# Shading Algorithms

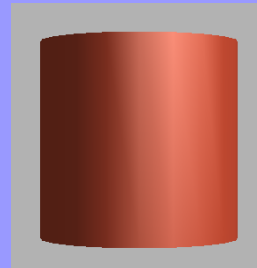
## Flat Shading



**Simple and fast**  
Phong equation only once per face

*Mach Bands*

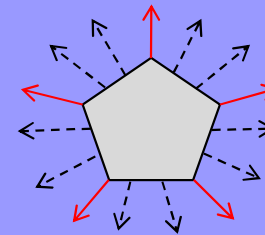
## Gouraud Shading



**Still fast**  
Phong equation at each vertex  
**No 0th-order color discontinuities**

**Slight mach bands,**  
**Color invariance with quadrilaterals,**  
**Problems with highlights**

## Phong Shading



**Crisp highlights with few vertices**

**Slow**  
Phong calculation for every Pixel



# SHADOWS

# How to Render Shadows?

- **Where?**

Points that can be seen but are not illuminated by a particular light source

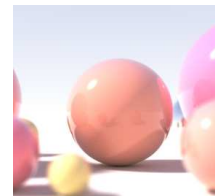
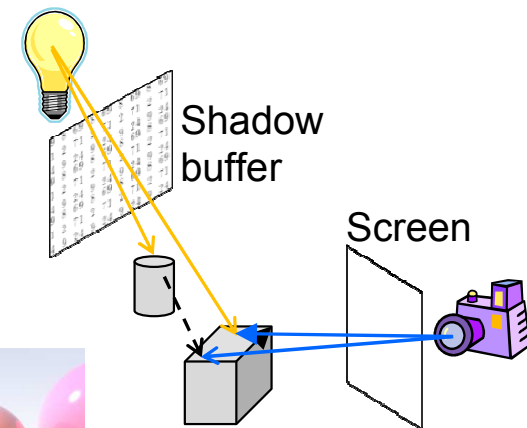
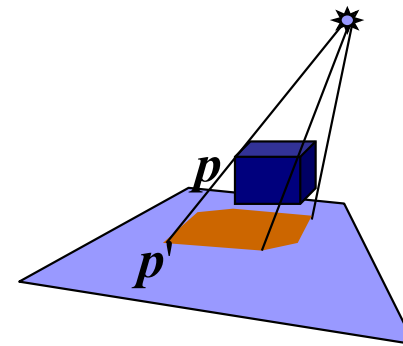
- **How?** Several possibilities...

1. **Ground-plane projection:**

Draw shadows of objects as separate (flat and dark) objects onto a plane (fast but limited possibilities)

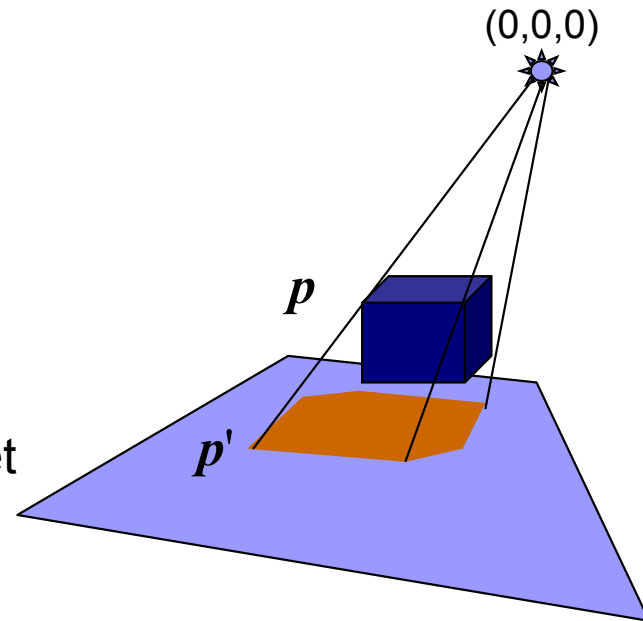
2. **Shadow buffer:** Efficient way to determine if a visible point is illuminated by a particular light source

3. **Ray tracing:** trace the path of light rays (slow but high quality)



# Plane Projection Transformation

1. Assume light source is at origin
2. Line from light source through  $\mathbf{p}$  is
$$\mathbf{q}(t) = t \mathbf{p}$$
3. Let plane be  $ax+by+cz+d = 0$
4. Then at  $\mathbf{p}'$ ,  $\mathbf{q}(t)$  intersect the plane:
$$a t p_x + b t p_y + c t p_z + d = 0$$
5. Solve for  $t$ , calculate  $\mathbf{q}(t)=\mathbf{p}'$  and hence get
$$\mathbf{p}' = -d (p_x, p_y, p_z) / (a p_x + b p_y + c p_z)$$
$$= -d \mathbf{p} / (a p_x + b p_y + c p_z)$$

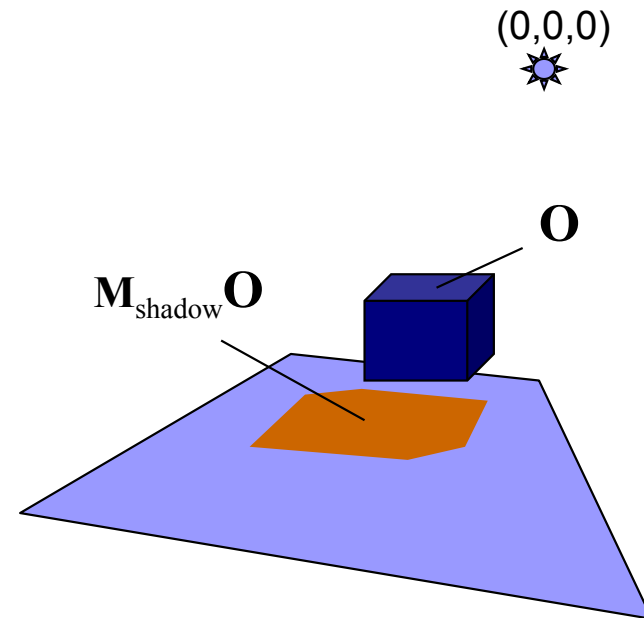


# Plane Projection Transformation Contd.

$$\mathbf{p}' = -d \mathbf{p} / (a p_x + b p_y + c p_z)$$

can be written as:

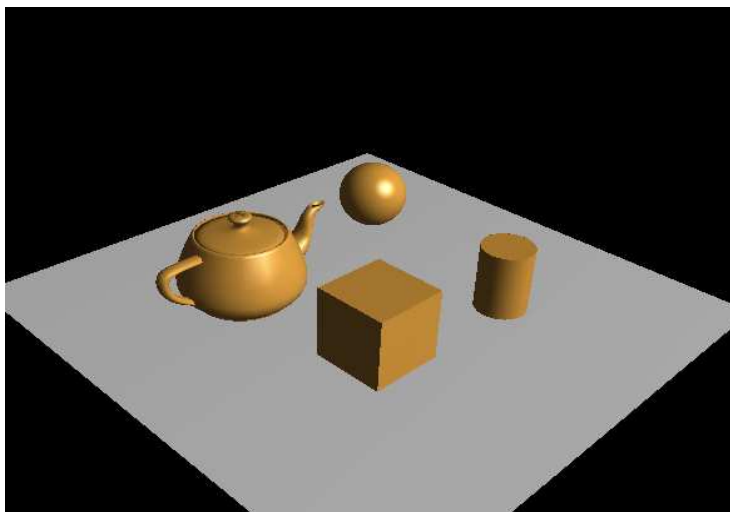
$$\mathbf{p}' = \begin{pmatrix} -d & 0 & 0 & 0 \\ 0 & -d & 0 & 0 \\ 0 & 0 & -d & 0 \\ a & b & c & 0 \end{pmatrix} \begin{pmatrix} p_x \\ p_y \\ p_z \\ 1 \end{pmatrix} = \begin{pmatrix} -d p_x \\ -d p_y \\ -d p_z \\ a p_x + b p_y + c p_z \end{pmatrix} \\ = \mathbf{M}_{\text{shadow}} \mathbf{p}$$



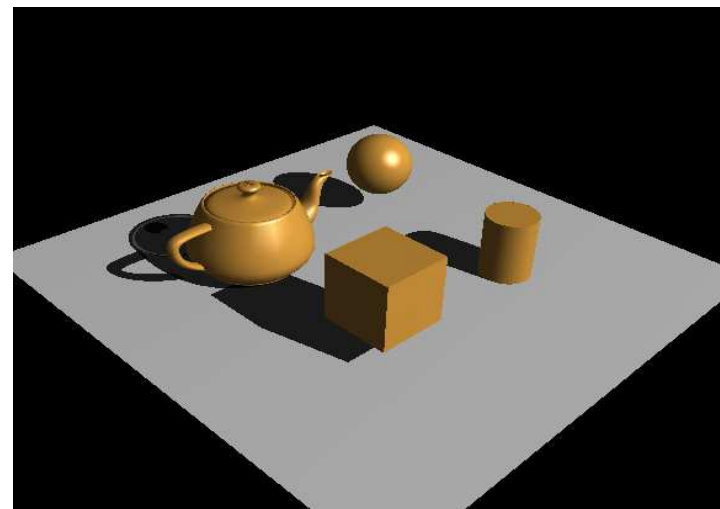
- Do division by converting to ordinary coordinates.
- Applying  $\mathbf{M}_{\text{shadow}}$  to an object yields its planar projection onto the given plane (with center of projection at origin)

# Ground-Plane Projection Example

Demo program, [LightAndShadows](http://www.cs.auckland.ac.nz/compsci372s2c/christofLectures/LightAndShadowsNET.zip), available in 372 Lecture Notes web page,  
<http://www.cs.auckland.ac.nz/compsci372s2c/christofLectures/LightAndShadowsNET.zip>



No shadows  
(can't see "floating" objects)

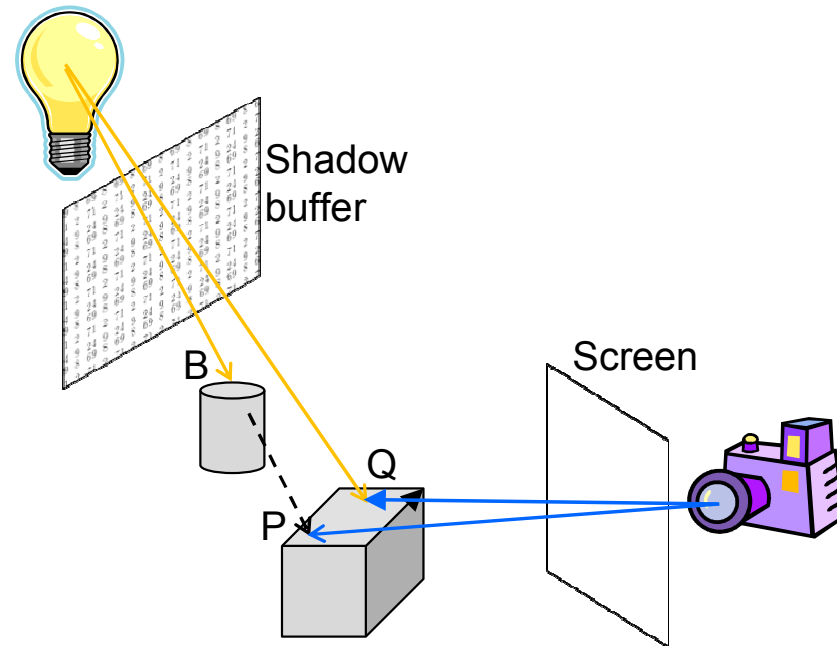


Shadows

# Shadow Buffer

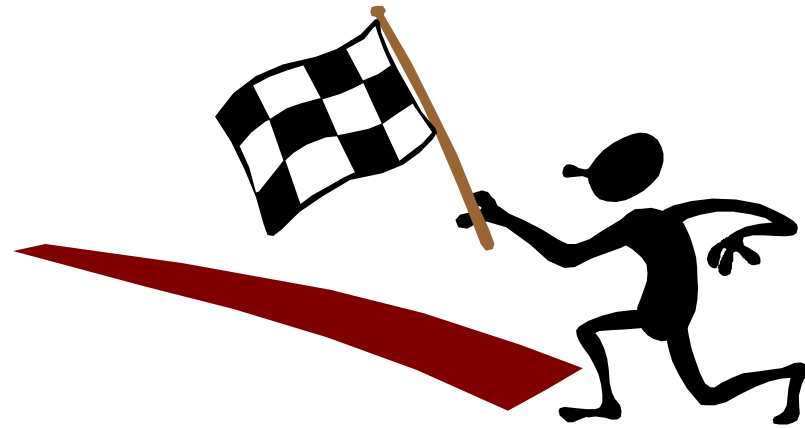
**Idea:** points that are hidden from the light source are in shadow

- Calculate depth buffer from light source position (shadow buffer), i.e. values for distance between light and closest object
- For each screen pixel pointing to a point P:
  1. Get depth  $d_p$  from light source to P
  2. Find element  $d[i,j]$  in shadow buffer that points towards P
  3. If  $d[i,j] < d_p$  then draw only ambient light (shadow), otherwise full illumination



## Advantage:

shadows can be cast from all objects onto all other objects



# SUMMARY



# Summary

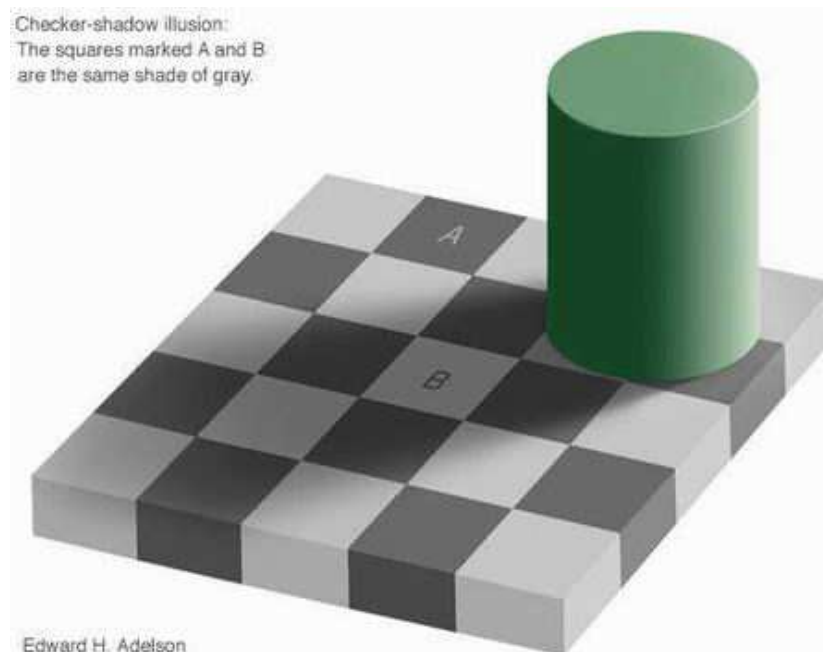
- **Flat shading:** one color calculation per face
- **Gouraud shading:**  
one color calculation per vertex, interpolate over faces
- **Phong shading:**  
interpolate vertex normals and calculate color for every pixel
- Project objects from light sources onto planes to get simple shadow effect
- Use **shadow buffer** to detect covered points for better shadows

## References:

- Shading Algorithms: Hill, Chapter 8.3
- Shadows: Hill, Chapter 8.6

# Quiz

1. Describe one disadvantage of Flat shading.
2. Why can Gouraud shading render a highlight only on a vertex?
3. What is a shadow buffer?
4. How can we use a shadow buffer to render shadows?



The squares marked A and B are the same shade of gray.