

COMPSCI 105 S1 2017 **Principles of Computer Science**

Algorithm Analysis/Complexity

Agenda & Reading

Agenda:

- Introduction
- Counting Operations
- Big-O Definition
- Properties of Big-O
- Calculating Big-O
- Growth Rate Examples
- Big-O Performance of Python Lists
- Big-O Performance of Python Dictionaries
- Reading:
 - Problem Solving with Algorithms and Data Structures Chapter 2

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Lecture 10-11



🚰 1 Introduction What Is Algorithm Analysis?

- How to **compare** programs with one another?
- When two programs solve the same problem but look different, is one program **better** than the other?
- What criteria are we using to compare them?
 - Readability?
 - Efficient?
- Why do we need algorithm analysis/complexity ?
 - Writing a working program is not good enough
 - The program may be inefficient!
 - If the program is run on a large data set, then the running time becomes an issue



🚰 1 Introduction Data Structures & Algorithm

- Data Structures:
 - A systematic way of **organizing** and **accessing** data.
 - No single data structure works well for ALL purposes.



Algorithm

Algorithm Output Input

- An algorithm is a step-by-step procedure for solving a problem in a finite amount of time.
- Program
 - > is an algorithm that has been encoded into some programming language.
- Program = data structures + algorithms

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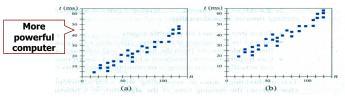
1 Introduction Algorithm Analysis/Complexity

- When we analyze the **performance** of an algorithm, we are interested in how much of a given resource the algorithm uses to solve a problem.
- The most common resources are time (how many steps it takes to solve a problem) and space (how much memory it takes).
- We are going to be mainly interested in how long our programs take to **run**, as time is generally a more precious resource than space.



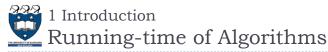
1 Introduction Efficiency of Algorithms

> For example, the following graphs show the execution time, in milliseconds, against sample size, n of a given problem in different computers



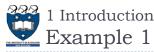
- The actual running time of a program depends not only on the efficiency of the algorithm, but on many other variables:
 - Processor speed & type
- Operating system
- ... etc.

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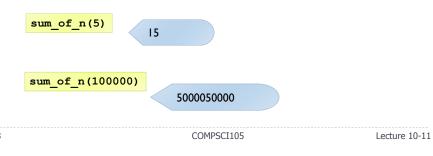
In order to compare algorithm speeds experimentally

- All other variables must be kept constant, i.e.
 - independent of specific implementations,
 - independent of computers used, and,
 - independent of the **data** on which the program runs
- Involved a lot of work (better to have some theoretical means of predicting algorithm speed)

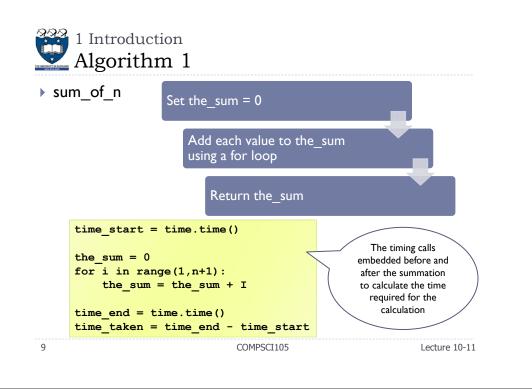


Task:

- > Complete the sum of n() function which calculates the sum of the first n natural numbers.
 - Arguments: an integer
 - Returns: the sum of the first n natural numbers
- Cases:



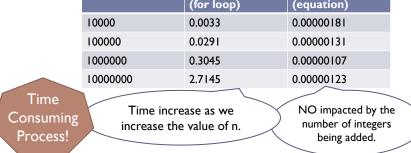
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222 1 Introduction Experimental Result

Using 4 different values for n: [10000, 100000, 1000000, 10000000] sum of n sum of n 2 (for loop) (equation)



• We shall **COUNT** the number of basic operations of an

algorithm, and generalise the count.

	1 Introduct Algorithr	-	
▶ sun	1_of_n_2	Set the_sum = 0	
		Use the equation $(n(n + 1))$ calculate the total)/2, to
		Return the_sum	
1	time_start =	time.clock()	
	the_sum = 0 the_sum = (n	* (n+1)) / 2	
	time_end = t time_taken =	ime.clock() time_end - time_start)	
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- Predict the running-time during the design phase
 - The running time should be **independent** of the type of input
 - The running time should be **independent** of the hardware and software environment
- Save your time and effort

- The algorithm does not need to be **coded** and **debugged**
- Help you to write more efficient code



- We need to estimate the running time as a function of problem size n.
- A primitive Operation takes <u>a unit of time</u>. The actual length of time will depend on external factors such as the hardware and software environment
 - Each of these kinds of operation would take the same amount of time on a given hardware and software environment
 - > Assigning a value to a variable
 - > Calling a method.
 - > Performing an arithmetic operation.
 - Comparing two numbers.
 - Indexing a list element.
 - Returning from a function

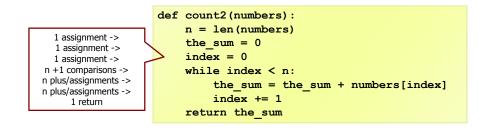
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> Example: Calculating the sum of elements in the list.



- ▶ Total = 3n + 5 operations
- We need to measure an algorithm's time requirement as a function of the problem size, e.g. in the example above the problem size is the number of elements in the list.

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> Example: Calculating a sum of first 10 elements in the list

1 assignment -> 1 assignment -> 11 comparisons -> 10 plus/assignments -> 10 plus/assignments -> 1 return ->	<pre>def count1(numbers): the_sum = 0 index = 0 while index < 10: the_sum = the_sum + numbers[index] index += 1 return the sum</pre>

Total = 34 operations

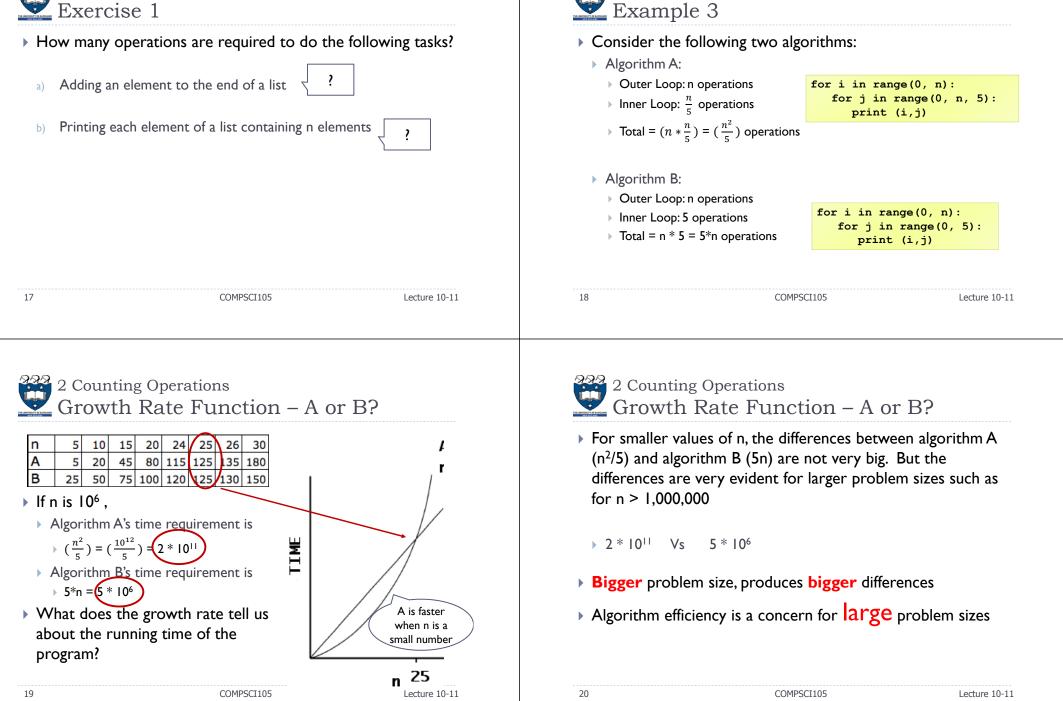
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- Performance is usually measured by the rate at which the running time increases as the problem size gets bigger,
 - ie. we are interested in the relationship between the running time and the problem size.
 - > It is very important that we identify what the problem size is.
 - For example, if we are analyzing an algorithm that processes a list, the problem size is the **size** of the list.
- In many cases, the problem size will be the value of a variable, where the running time of the program depends on how big that value is.

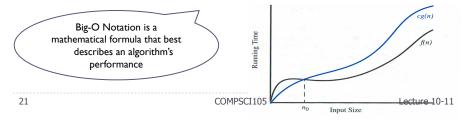




2 Counting Operations

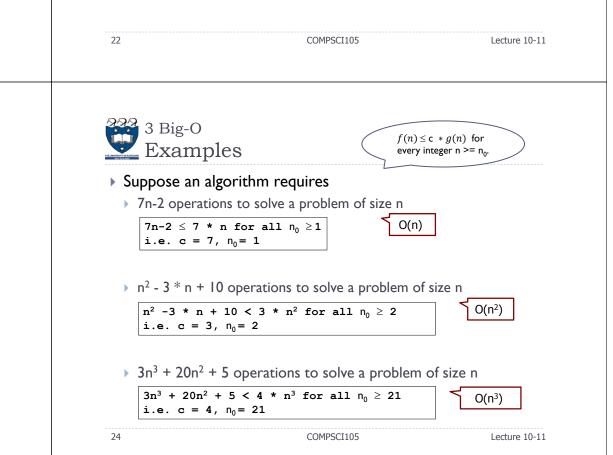


- Let f(n) and g(n) be functions that map nonnegative integers to real numbers. We say that f(n) is O(g(n)) if there is a real constant, c, where c > 0 and an integer constant n_0 , where $n_0 \ge 1$ such that $f(n) \le c * g(n)$ for every integer $n \ge n_0$.
- f(n) describe the actual time of the program
- g(n) is a much simpler function than f(n)
- ▶ With assumptions and approximations, we can use g(n) to describe the complexity i.e. O(g(n))





- We use Big-O notation (capital letter O) to specify the order of complexity of an algorithm
- ▶ e.g., O(n²), O(n³), O(n).
- If a problem of size n requires time that is directly proportional to n, the problem is O(n) – that is, order n.
- If the time requirement is directly proportional to n², the problem is O(n²), etc.



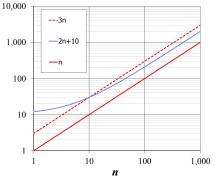
Big-Oh Notation (Formal Definition)
Given functions f(n) and g(n), we say that f(n) is O(g(n)) if there are positive constants, c and n₀, such that

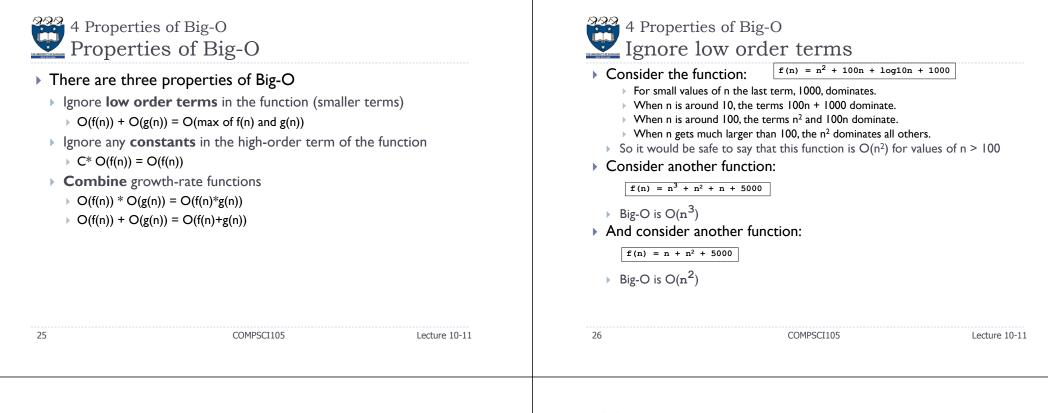
 $f(n) \leq c * g(n)$ for every integer $n \geq n_0$.

- Example: 2n + 10 is O(n)
- ▶ $2n + 10 \le cn$

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- ▶ (c 2) n ≥ 10
- ▶ $n \ge 10/(c-2)$
- Pick c = 3 and $n_0 = 10$







Consider the function:

 $f(n) = 254 * n^2 + n$

- Big-O is $O(n^2)$
- Consider another function:

f(n) = n / 30

- Big-O is O(n)
- And consider another function:

f(n) = 3n + 1000

Big-O is O(n)



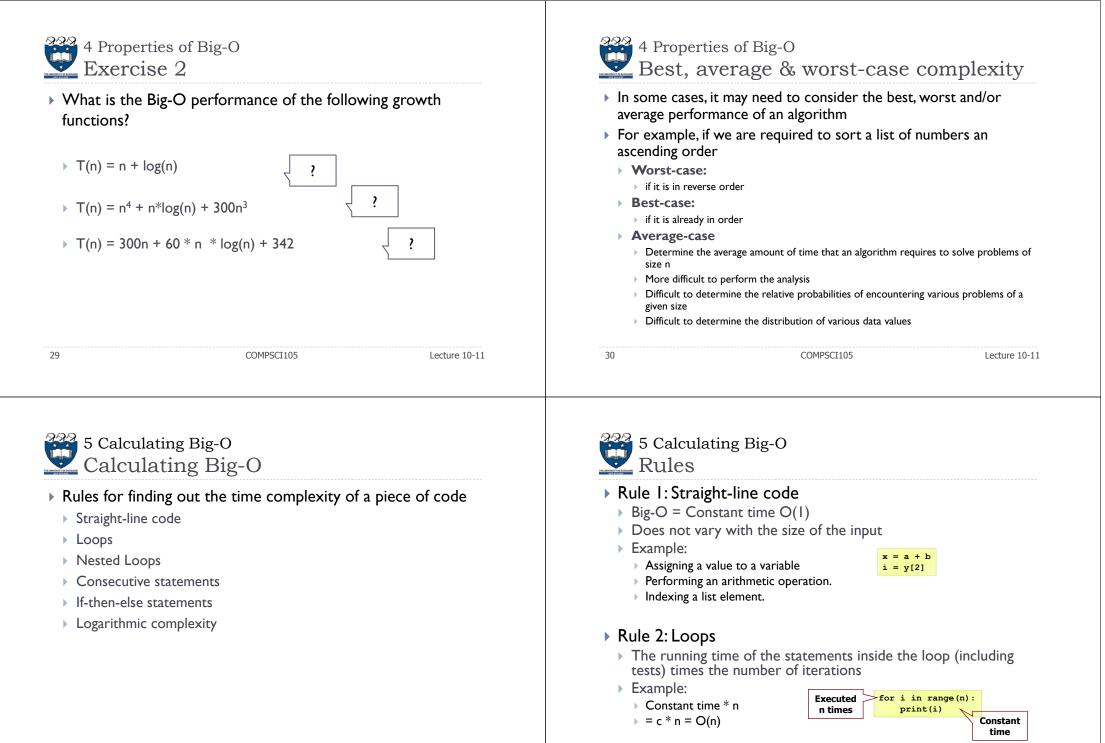
• Consider the function:

 $f(n) = n * \log n$

- Big-O is O(n log n)
- Consider another function:

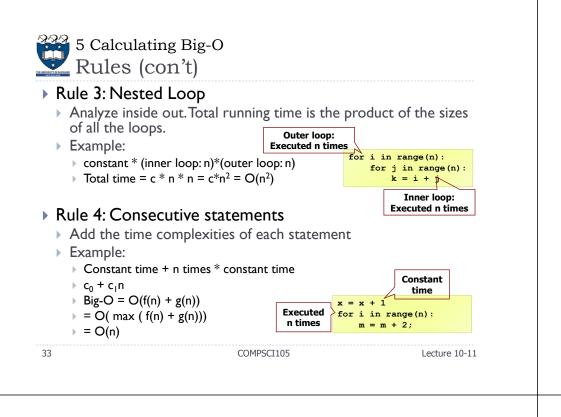
 $f(n) = n^2 * n$

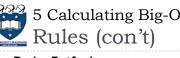
• Big-O is $O(n^3)$



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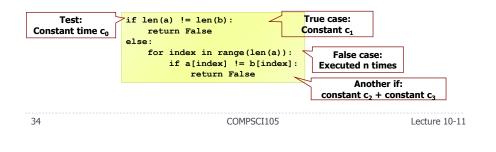
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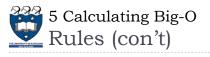




Rule 5: if-else statement

- Worst-case running time: the test, plus either the if part or the else part (whichever is the larger).
- Example:
 - $c_0 + Max(c_1, (n * (c_2 + c_3)))$
 - Total time = $c_0 * n(c_2 + c_3) = O(n)$
- Assumption:
 - > The condition can be evaluated in constant time. If it is not, we need to add the time to evaluate the expression.





Rule 6: Logarithmic

- > An algorithm is O(log n) if it takes a constant time to cut the problem size by a fraction (usually by $\frac{1}{2}$)
- Example:
 - Finding a word in a dictionary of n pages
 - $\hfill\square$ Look at the centre point in the dictionary
 - $\hfill\square$ Is word to left or right of centre?
 - Repeat process with left or right part of dictionary until the word is found
- Example:

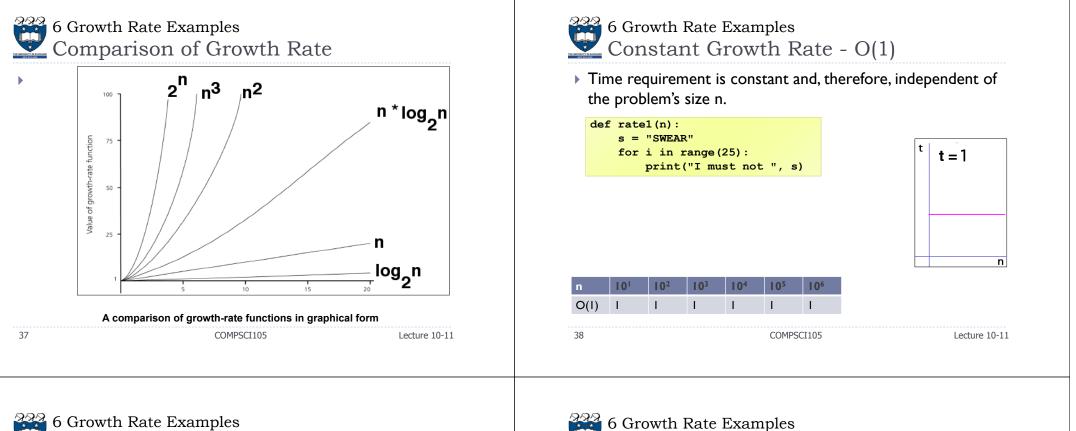
size = n
while size > 1:
 // 0(1) stuff
 size = size / 2

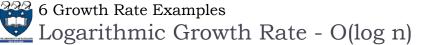
- ▶ Size: n, n/2, n/4, n/8, n/16, ... 2, 1
- If n = 2^K, it would be approximately k steps. The loop will execute log k in the worst case (log₂n = k). Big-O = O(log n)
- Note: we don't need to indicate the base. The logarithms to different bases differ only by a constant factor.



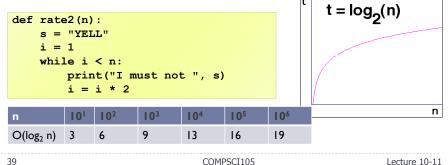
 The running time on a hypothetical computer that computes 10⁶ operations per second for varies problem sizes

Notation		n					
		10	10 ²	10 ³	104	10 ⁵	106
O(1)	Constant	1 µsec	1 µsec	1 µsec	1 µsec	1 µsec	1 µsec
O(log(n))	Logarithmic	3 µsec	7 µsec	10 µsec	13 µsec	17 µsec	20 µsec
O(n)	Linear	10 µsec	100 µsec	1 msec	10 msec	100 msec	1 sec
O(nlog(n))	N log N	33 µsec	664 µsec	10 msec	13.3 msec	1.6 sec	20 sec
O(n ²)	Quadratic	100 µsec	10 msec	1 sec	1.7 min	16.7 min	11.6 days
O(n ³)	Cubic	1 msec	1 sec	16.7 min	11.6 days	31.7 years	31709 years
O(2 ⁿ)	Exponential	10 msec	3e17 years				

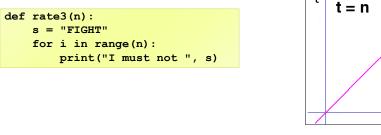




- Increase slowly as the problem size increases
- If you square the problem size, you only double its time requirement
- > The base of the log does not affect a log growth rate, so you can omit it.



- - Linear Growth Rate O(n)
- The time increases directly with the sizes of the problem.
- If you square the problem size, you also square its time requirement



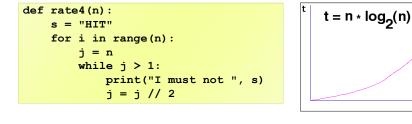
n	101	I 0 ²	I 0 ³	104	105	106
O(n)	10	102	10 ³	104	105	106

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n



- > The time requirement increases more rapidly than a linear algorithm.
- Such algorithms usually divide a problem into smaller problem that are each solved separately.

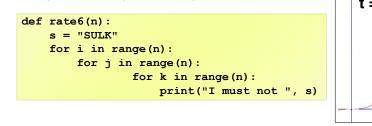


n	101	10 ²	10 ³	I 0 ⁴	1 O ⁵	106	
O(nlog(n))	30	664	9965	105	106	10 ⁷	
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6 Growth Rate Examples Cubic Growth Rate - O(n³)

- The time requirement increases more rapidly with the size of the problem than the time requirement for a quadratic algorithm
- Algorithms that use three nested loops are often guadratic and are practical only for small problems. $t = n^3$

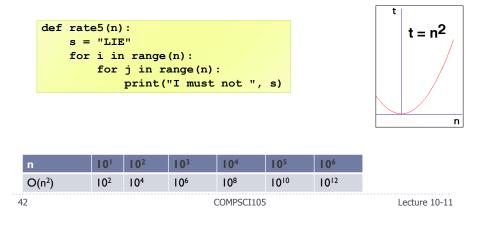


n	10 ¹	10 ²	I 0 ³	I 0 ⁴	105	106	
O(n ³)	10 ³	106	109	1012	1015	1018	
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🚰 6 Growth Rate Examples Quadratic Growth Rate - $O(n^2)$

- > The time requirement increases rapidly with the size of the problem.
- > Algorithms that use two nested loops are often quadratic.



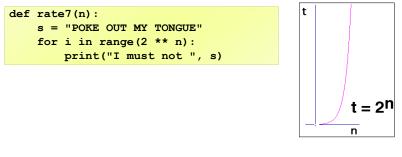


n

n

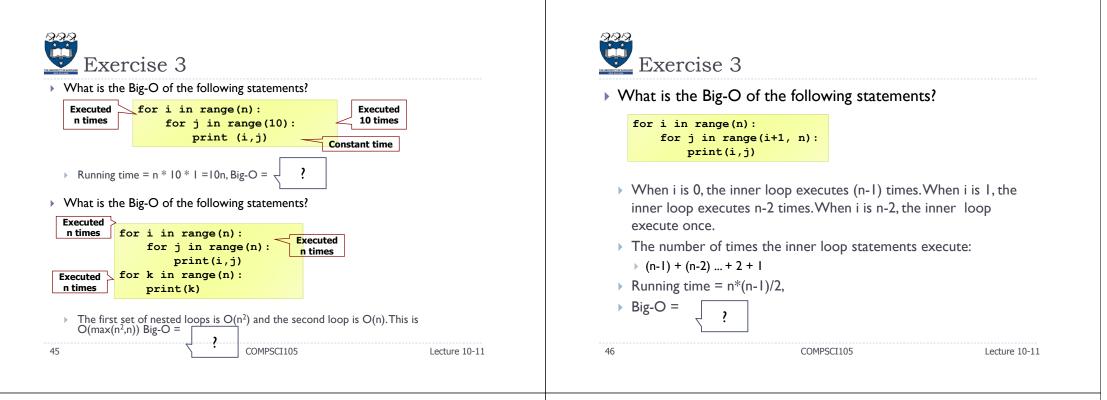
222 6 Growth Rate Examples Exponential Growth Rate - O(2ⁿ)

• As the size of a problem increases, the time requirement usually increases too rapidly to be practical.



	n	101	I 0 ²	1 0 ³	104	1 0 ⁵	1 0 ⁶
	O(2 ⁿ)	10 ³	1030	10 ³⁰¹	103010	1030103	10301030
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We have a general idea of

- Big-O notation and
- the differences between the different functions,
- Now, we will look at the Big-O performance for the operations on Python lists and dictionaries.
- It is important to <u>understand</u> the <u>efficiency</u> of these Python data structures
- In later chapters we will see some possible implementations of both lists and dictionaries and how the performance depends on the implementation.



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7 Performance of Python Lists Review

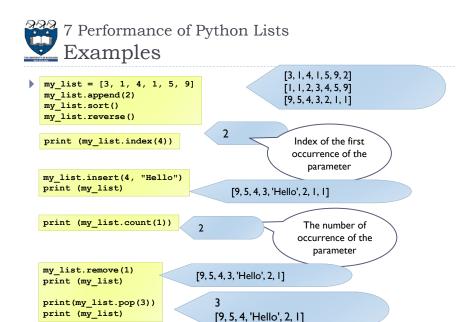
- > Python lists are ordered sequences of items.
- Specific values in the sequence can be referenced using subscripts.
- Python lists are:
 - dynamic. They can grow and shrink on demand.
 - heterogeneous, a single list can hold arbitrary data types.
 - **mutable** sequences of arbitrary objects.

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• Using operators:

Operator	Meaning		
<seq> + <seq></seq></seq>	Concatenation		
<seq> * <int-expr></int-expr></seq>	Repetition		
<seq>[]</seq>	Indexing		
len(<seq>)</seq>	Length		
<seq>[:]</seq>	Slicing		
for <var> in <seq>:</seq></var>	Iteration		
<expr> in <seq></seq></expr>	Membership (Boo	olean)	
_		True [0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0	0, 0,
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Using Methods:

Method	Meaning	Meaning			
<list>.append(x)</list>	Add element x to end of list.				
<list>.sort()</list>	Sort (order) the list. A comparison fun- parameter.	Sort (order) the list. A comparison function may be passed as a parameter.			
<list>.reverse()</list>	Reverse the list.	Reverse the list.			
<list>.index(x)</list>	Returns index of first occurrence of x.	Returns index of first occurrence of x.			
<list>.insert(i, x)</list>	Insert x into list at index i.	Insert x into list at index i.			
<list>.count(x)</list>	Returns the number of occurrences of	Returns the number of occurrences of x in list.			
<list>.remove(x)</list>	Deletes the first occurrence of x in list	Deletes the first occurrence of x in list.			
<list>.pop(i)</list>	Deletes the ith element of the list and	returns its value.			
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• The **del** statement

- > Remove an item from a list given its index instead of its value
- > Used to remove slices from a list or clear the entire list

```
>>> a = [-1, 1, 66.25, 333, 333, 1234.5]
>>> del a[0]
>>> a
[1, 66.25, 333, 333, 1234.5]
>>> del a[2:4]
>>> a
[1, 66.25, 1234.5]
>>> del a[:]
>>> a
[]
```

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Performance of Python Lists	
Big-O Efficiency of List	Operators

index[]	O(1)	
index assignment	O(1)	
append	O(1)	
pop()	O(1)	
pop(i)	O (<i>n</i>)	
insert(i,item)	O (<i>n</i>)	
del operator	O (<i>n</i>)	
iteration	O (<i>n</i>)	
contains (in)	O (<i>n</i>)	
get slice [x:y]	O (<i>k</i>)	
del slice	O (<i>n</i>)	
set slice	O (<i>n</i> + <i>k</i>)	
reverse	O (<i>n</i>)	
concatenate	O (<i>k</i>)	
sort	O(n log n)	
multiply	O (<i>nk</i>)	
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- Operations for indexing and assigning to an index position
 - Big-O = O(1)
- It takes the same amount of time no matter how large the list becomes.
- i.e. independent of the size of the list

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7 Performance of Python Lists Inserting elements to a List

- There are two ways to create a longer list.
 - Use the **append** method or the **concatenation** operator
- Big-O for the append method is <u>O(1)</u>.
- Big-O for the concatenation operator is O(k) where k is the size of the list that is being concatenated.



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4 Experiments

Four different ways to generate a list of n numbers starting with 0.

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• Example I:

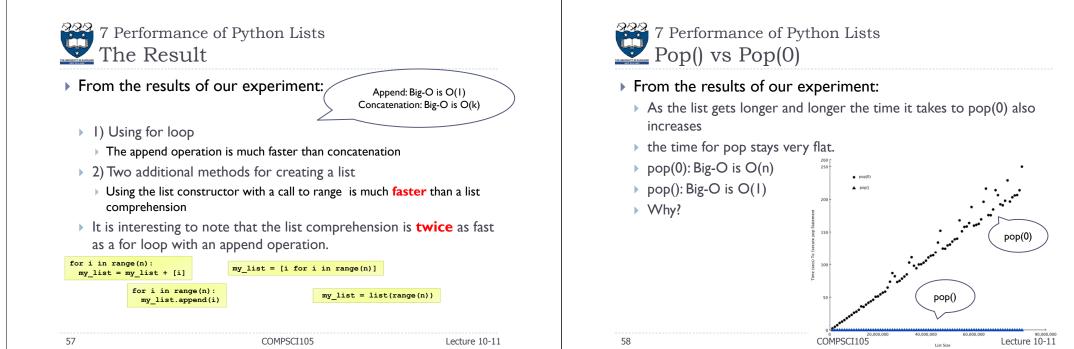
for i in range(n): my list = my list + [i]

> Using a for loop and create the list by concatenation

- Example 2:
 - for i in range(n): my list.append(i) > Using a for loop and the append method
- Example 3:
 - my list = [i for i in range(n)] Using list comprehension
- Example 4:
 - Using the range function wrapped by a call to the list constructor.

my list = list(range(n))

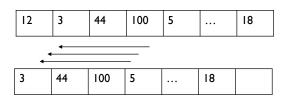
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pop():

- Removes element from the end of the list
- pop(0)
 - Removes from the beginning of the list.
 - Big-O is O(n) as we will need to shift all elements from space to the beginning of the list





- Which of the following list operations is not O(1)?
 - l. list.pop(0)
 - 2. list.pop()
 - 3. list.append()
 - 4. list[10]



8 Performance of Python Dictionaries Introduction

- Dictionaries store a mapping between a set of keys and a set of values
 - Keys can be any immutable type.
 - Values can be any type
 - A single dictionary can store values of different types
- You can define, modify, view, lookup or delete the key-value pairs in the dictionary
- Dictionaries are unordered
- Note:
- Dictionaries differ from lists in that you can access items in a dictionary by a key rather than a position.

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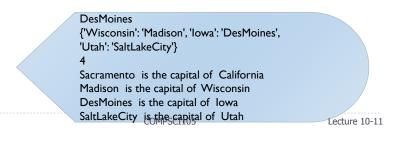
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8 Performance of Python Dictionaries Examples:

capitals = {'Iowa':'DesMoines','Wisconsin':'Madison'}
print(capitals['Iowa'])
capitals['Utah']='SaltLakeCity'
print(capitals)
capitals['California']='Sacramento'
print(len(capitals))
for k in capitals:
 print(capitals[k]," is the capital of ", k)



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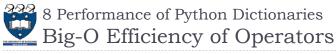


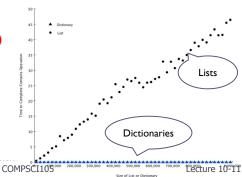
Table 2.3

Operation	Big-O Efficiency		
Сору	0(n)		
get item	<i>O</i> (I)		
set item	0(1)		
delete item	0(1)		
contains (in)	0(1)		
iteration	O(n)		

8 Performance of Python Dictionaries Contains between lists and dictionaries

From the results

- The time it takes for the **contains** operator on the list **grows** linearly with the size of the list.
- The time for the contains operator on a dictionary is constant even as the dictionary size grows
- Lists, big-O is O(n)
- Dictionaries, big-O is O(I)



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 Complete the Big-O performance of the following dictionary operations

					Complexity and
	Ι.	'x' in my_dict			 Worst-case and
	2.	del my_dict[' <i>x</i> ']	2 ?		algorithm will r
	3.	my_dict[' <i>x</i> '] == 10			 Average-case a will require.
	4.	$my_dict['x'] = my_dict['x'] + I$	1		Generally we version
		, ,			It provides the second seco
					We may need
					Normally we
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