## Part 2 - Lecture 4

## Today's Outline

- Illumination and Shading
- The Phong Illumination Model
$\square$ Ambient Reflection
$\square$ Diffuse Reflection
$\square$ Specular Reflection


ILLUMINATION AND SHADING

## Illumination vs. Shading

## Illumination Model

- What color is the surface? $\rightarrow$ surface reflection model
- Use equations from physics (realistic but time consuming)
- Or use good approximations (much faster to compute!)


NB: Hill doesn't make this distinction

## Shading Model

- How do we calculate the color at each pixel? $\rightarrow$ pixel shading algorithm
- Using exact illumination model for every pixel usually too slow (and often unnecessary)
- Apply the illumination model only sometimes and interpolate

CG is about a trade-off between visual realism vs. computing time

## Introduction to Illumination Models

- Where does the light come from? $\rightarrow$ Light sources
$\square$ Point sources
e.g. lamp, headlight, spotlight

$\square$ Directional sources
(like a far away point source, rays are parallel) e.g. sun
- What happens to the light?
$\square$ Reflection: ray bounces off a surface (most important for CG)
$\square$ Absorbtion: ray energy taken up by an object, e.g. as heat (not important for CG)
e.g. water, glass (often not considered in CG)

$\longrightarrow$



## Types of Light Reflection

- In the real world:
$\square$ Light reflected unlimited number of times
$\square$ Reflections change the appearance of the light
- In CG we need to keep computation time short:

$\square$ Can often calculate only one reflection per vertex
$\square$ Consider different light appearances as different types of reflection
- Ambient reflection: light reflected so many times, it is everywhere (like uniform background illumination)

- Diffuse reflection: light scattered from one point equally (more or less) into all directions
- Specular reflection: light rays bounce off in pretty much only one direction (like from a mirror)
- Type of reflection can depend on light source characteristics and the material of the object



PHONG ILLUMINATION MODEL

## Phong Illumination Model

- Invented by Bui Tuong-Phong, PhD at Univ. of Utah 1973
- Idea: calculate intensity I (and color) of visible light at a point as the sum of ambient, diffuse and specular reflection
- Variables taken into account:
$\square$ Intensities (and colors) for incident light:
$\mathbf{I}_{\mathbf{a}}, \mathbf{I}_{\mathrm{d}}, \mathbf{I}_{\mathbf{s}}$ for ambient, diffuse and specular intensity
$\square$ Surface normal vector $\mathbf{m}$

$\square$ Vector $\mathbf{s}$ describing the direction to the light source
$\square$ Distance d to light source
$\square$ Vector $\mathbf{v}$ describing the direction to the viewer
$\square$ Reflection coefficients of the surface material $\boldsymbol{\rho}_{\mathrm{a}}, \boldsymbol{\rho}_{\mathrm{d}}, \boldsymbol{\rho}_{\mathrm{s}}$ for ambient, diffuse and specular reflection (actually separate coefficients for RGB colors)


## Ambient Reflection

- Source: no single point or directional source All the scattered "background" light, e.g. sunlight, lamps, moonlight, star light, ..
- Direction of reflection: all directions (it is scattered everywhere)
- Experiment: turn out room lights
$\square$ No direct light sources
$\square$ Just some indirect light, e.g. from gap under a door
$\square$ Keeping all other variables constant, we change intensity, view direction, material, etc. and see what happens to the reflected ambient light $\mathbf{R}_{\mathrm{a}}$

$\mathbf{R}_{\mathbf{a} \uparrow} \xrightarrow[\begin{array}{l}\text { Angle between } \\ \mathbf{v} \text { and } \mathbf{m} \boldsymbol{r}\end{array}]{\mathbf{R}_{\mathbf{a}} \uparrow}$


## Ambient Reflection

We construct an equation for $\mathbf{R}_{\mathbf{a}}$ :

$$
\mathbf{R}_{\mathrm{a}}=\mathbf{I}_{\mathrm{a}} \rho_{a}
$$

How to deal with colors (RGB)?

- Instead of just $\mathbf{I}_{a}$, use $\mathbf{I}_{a r}, \mathbf{I}_{a g}, \mathbf{I}_{a b}$ $\rightarrow$ colored light

Diffuse Reflection

- Instead of just $\boldsymbol{\rho}_{\mathrm{a}}$, use $\boldsymbol{\rho}_{\mathrm{ar}}, \boldsymbol{\rho}_{\mathrm{ag}}, \boldsymbol{\rho}_{\mathrm{ab}}$ $\rightarrow$ colored materials
- Compute reflected light for each color:

$$
\begin{aligned}
& \mathbf{R}_{\mathrm{ar}}=\mathbf{I}_{\mathrm{ar}} \quad \rho_{a r} \\
& \mathbf{R}_{\mathrm{ag}}=\mathbf{I}_{\mathrm{ag}} \\
& \mathbf{R}_{a b}=\mathbf{I}_{\mathrm{ab}} \\
& \rho_{a b}
\end{aligned}
$$

## Proportional

 Proportional No influence No influence

No ambient light A lot of ambient light

## Diffuse Reflection

- Source: one or more point or directional sources
- Direction of reflection: all directions (it is scattered everywhere)
- Experiment: turn out room lights
$\square$ Use only "soft" light sources where light is already scattered a little (but not everywhere), e.g. light bulb
$\square$ Shine on rough surface, e.g. rough wood, stone or cloth



## Lambert's Law

Why does $\mathbf{R}_{\mathbf{d}}$ depend on the angle between $\mathbf{s}$ and $\mathbf{m}$ ?

- $\mathbf{R}_{\mathbf{d}}$ proportional to incoming $\mathbf{I}_{\mathbf{d}}$ per unit area

- Rays spread over larger area means less reflection per unit area


At angle 0 between $\mathbf{s}$ and $\mathbf{m}$, rays hit area of the same size, i.e. $\mathrm{a}=1$ and $\mathbf{R}_{\mathrm{d}} \sim \mathbf{I}_{\mathrm{d}}$

At angle $\theta$ between $\mathbf{s}$ and $\mathbf{m}$, rays hit area a of the size $1 / \cos (\theta)$

$$
R_{d} \sim \frac{I_{d}}{1 / \cos (\theta)}=I_{d} \cos (\theta)=I_{d} \frac{s \cdot m}{|s||m|}
$$

## Distance from Light Source

In the real world:

- Intensity of light from a point source decreases quadratically with d , i.e. divide intensity by $\mathbf{d}^{2}$

- Area through which the rays pass grows quadratically with $\mathbf{d}$

In CG:


- Dividing intensity by $\mathrm{d}^{2}$ would make intensities too small
- CG "hack" is to divide by $\left(k_{c}+k_{1} d+k_{q} d^{2}\right)$
- $\mathrm{k}_{\mathrm{c}}, \mathrm{k}_{\mathrm{l}}, \mathrm{k}_{\mathrm{q}}$ are programmer-chosen constants (no real world meaning)
- Typically, $\mathrm{k}_{\mathrm{c}}=1.0,0<\mathrm{k}_{1}<1$ and $\mathrm{k}_{\mathrm{q}}=0$, but usually they have to be tuned so that it looks good


## Diffuse Reflection

We construct an equation for $\mathbf{R}_{\mathrm{d}}$ :
$\mathbf{R}_{\mathbf{d}}=\mathbf{I}_{\mathbf{d}} \rho_{d} \frac{s \cdot m}{|s||m|} /\left(k_{c}+k_{l} d+k_{q} d^{2}\right)$

| Variable | Influence on $\mathrm{R}_{\mathrm{d}}$ |
| :---: | :---: |
| $\mathrm{I}_{\mathrm{a}}$ | Proportional |
| $\mathrm{P}_{\text {d }}$ | Proportional |
| s | Lambert's law |
| d | Divide by $\left(k_{c}+k_{l} d+k_{q} d^{2}\right)$ |
|  | No influence |

- Add color by calculating $\mathbf{R}_{\mathrm{dr}}, \mathbf{R}_{\mathrm{d}}, \mathbf{R}_{\mathrm{db}}$ using $\mathbf{I}_{\mathrm{dr}}, \mathbf{I}_{\mathrm{dg}}, \mathbf{I}_{\mathrm{db}}$ and $\boldsymbol{\rho}_{\mathrm{dr}}, \boldsymbol{\rho}_{\mathrm{dg}}, \boldsymbol{\rho}_{\mathrm{db}}$ instead of just $\mathbf{R}_{d}, \mathbf{I}_{\mathrm{d}}$ and $\boldsymbol{\rho}_{\mathrm{d}}$


Lambertian spheres (diffuse reflectors)

## Specular Reflection

- Source: one or more point or directional sources
- Direction of reflection r :
mostly only one (very little scattering)
$\rightarrow \mathbf{r}$ is calculated from $\mathbf{s}$ and $\mathbf{m}$
- Experiment: turn out room lights
$\square$ Use only hard light sources where light is not scattered, e.g. a spotlight
$\square$ Shine on glossy surface, e.g. polished metal
$\square$ Keeping all other variables constant, we change intensity, view direction, material, etc. and see what happens to the reflected ambient light $\mathbf{R}_{\mathrm{a}}$



## Specular Highlight

Angle $\boldsymbol{\varphi}$ between $\mathbf{v}$ and $\mathbf{r}$ :

- Looking directly into the reflected ray $\left(0^{\circ}\right)=$ very bright
- The farther the reflected ray away from the eye, the darker
- Result: a bright sport where the light is reflected directly into the eye ( $\rightarrow$ highlight)

- Model as cosine function: $\mathbf{R}_{\mathbf{d}}$ grows with $\cos (\varphi)=\frac{v \cdot r}{|v \| r|}$
- But $\mathbf{R}_{\mathrm{d}}$ is always positive, so if $\cos (\boldsymbol{\varphi})$ negative set $\mathbf{R}_{\mathbf{d}}$ to 0



## Shininess $\alpha$

Different behaviors of specular surfaces:

- Some glossy materials reflect perfectly (e.g. a mirror),
 i.e. one ray is pretty much reflected as
$\rightarrow$ small highlight (bigger shininess $\alpha$ )
- Other materials scatter incoming rays a little bit, i.e. several outgoing rays close together


## Specular Reflection

We construct an equation for $\mathbf{R}_{\mathrm{d}}$ :
(assuming we have calculated $\mathbf{r}$ from $\mathbf{s}$ and $\mathbf{m}$ )
$\mathbf{R}_{\mathrm{s}}=\mathbf{I}_{\mathrm{s}} \rho_{s}\left(\frac{v \cdot r}{|v||r|}\right)^{\alpha} /\left(k_{c}+k_{l} d+k_{q} d^{2}\right)$

- Add color by calculating $\mathbf{R}_{\text {sr }}, \mathbf{R}_{\mathrm{sg}}, \mathbf{R}_{\mathrm{sb}}$ using $\mathbf{I}_{\mathrm{sr}}, \mathbf{I}_{\mathrm{sg}}, \mathbf{I}_{\mathrm{sb}}$ and $\mathbf{\rho}_{\mathrm{sr}}, \mathbf{\rho}_{\mathrm{sg}}, \boldsymbol{\rho}_{\mathrm{sb}}$ instead of just $\mathbf{R}_{s}, \mathbf{I}_{\mathrm{s}}$ and $\boldsymbol{\rho}_{\mathrm{s}}$


Shininess $\boldsymbol{\alpha}$ of object surface:

- "Focus" of specular reflection
- Use as exponent of our cosine specular reflection formula:

$$
\cos (\varphi)^{\alpha}=\left(\frac{v \cdot r}{|v \| r|}\right)^{\alpha}
$$



## Specular Reflection Optimized

Instead of calculating $\mathbf{r}$, use simpler halfway-vector $\mathbf{h}$ for highlight:

$$
\left(\frac{h \cdot m}{|h \| m|}\right)^{\alpha} \quad \begin{aligned}
& \text { with } \mathbf{h}=\text { normalized }(\mathbf{s}+\mathbf{v}) \\
& (\rightarrow \mathbf{h} \text { is half way between } \mathbf{s} \text { and } \mathbf{v})
\end{aligned}
$$

- Consider angle between $\mathbf{h}$ and $\mathbf{m}$ instead of angle between $\mathbf{r}$ and $\mathbf{v}$ $\square$ If $\mathbf{h}$ is exactly on $\mathbf{m}\left(0^{\circ}\right)$ then reflection directly into the eye ( $\mathbf{r}$ on $\mathbf{v}$ ) $\square$ Greater angle between $\mathbf{h}$ and $\mathbf{m} \rightarrow$ greater angle between $\mathbf{r}$ and $\mathbf{v}$
- Not mathematically identical, but same general properties
- Larger highlight for any given $\alpha$ because angle grows slower
- Used by OpenGL



## Final Phong Equation

- Achromatic version: $\mathbf{R}=\mathbf{I}_{\mathbf{a}} \rho_{a}+\left(\mathbf{I}_{\mathbf{d}} \rho_{d} \frac{s \cdot m}{|s||m|}+\mathbf{I}_{\mathrm{s}} \rho_{s}\left(\frac{h \cdot m}{|h||m|}\right)^{\alpha}\right) /\left(k_{c}+k_{l} d+k_{q} d^{2}\right)$
- Chromatic version (RGB):

Chromatic version (RGB):
$\mathbf{R}_{\mathbf{r}}=\mathbf{I}_{\mathrm{ar}} \rho_{a r}+\left(\mathbf{I}_{\mathrm{dr}} \rho_{d r} \frac{s \cdot m}{|s| m \mid}+\mathbf{I}_{\mathrm{sr}} \rho_{s r}\left(\frac{h \cdot m}{|h||m|}\right)^{\alpha}\right) /\left(k_{c}+k_{l} d+k_{q} d^{2}\right)$
$\mathbf{R}_{\mathrm{g}}=\mathbf{I}_{\mathrm{ag}} \rho_{a g}+\left(\mathbf{I}_{\mathrm{dg}} \rho_{d g} \frac{s \cdot m}{|s||m|}+\mathbf{I}_{\mathrm{sg}} \rho_{s g}\left(\frac{h \cdot m}{|h| m \mid}\right)^{\alpha}\right) /\left(k_{c}+k_{l} d+k_{q} d^{2}\right)$
$\mathbf{R}_{\mathrm{b}}=\mathbf{I}_{\mathrm{ab}} \rho_{a b}+\left(\mathbf{I}_{\mathrm{db}} \rho_{d b} \frac{s \cdot m}{|s| m \mid}+\mathbf{I}_{\mathrm{sb}} \rho_{s b}\left(\frac{h \cdot m}{|h| m \mid}\right)^{\alpha}\right) /\left(k_{c}+k_{l} d+k_{q} d^{2}\right)$

- For multiple light sources: add up the reflected light


## Phong Shading Examples

Black Plastic


Chrome

Brass


Pewter

Bronze


Gold

Hill, Fig. 8.17

## SUMMARY

## Summary

■ Illumination models: what color does a surface have?

- Shading models: how to calculate the color of each pixel?
- Phong illumination model:
calculate intensity I (and color) of visible light at a point as the sum of ambient, diffuse and specular reflection
$\square$ Ambient reflection: light scattered everywhere (background illumination)
$\square$ Diffuse reflection: light reflected into all directions on rough surface
$\square$ Specular reflection: light reflected directly into the eye


## References:

$\square$ Phong Illumination Model: Hill, Chapter 8.2, pp. 381-391

