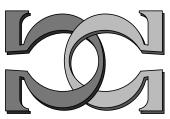


# CDMTCS Research Report Series

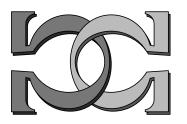


## On Complexity of Computable $\aleph_1$ -Categorical Models<sup>a</sup>



#### Sergey S. Goncharov Institute of Mathematics

Novosibirsk, Russia

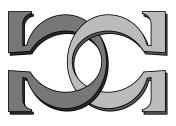


#### Bakhadyr Khoussainov

Department of Computer Science University of Auckland



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### On Complexity of Computable $\aleph_1$ -Categorical Models\*

Sergey S. Goncharov, Institute of Mathematics, Novosibirsk, Russia

Bakhadyr Khoussainov The University of Auckland, New Zealand

#### 1 Introduction

One of the themes of computable model theory is concerned with finding computable models for first order theories. It is well known that if a consistent theory T is decidable then T has a decidable model, that is one for which the satisfaction predicate is decidable. On the other hand, if a theory T has a computable model then T is computable in  $\mathbf{0}^{\omega}$ . For example, the theory of arithmetic  $(\omega, S, +\times, \leq, 0)$  is Turing equivalent to  $\mathbf{0}^{\omega}$ . In this paper, for any natural number  $n \geq 1$ , we present examples of  $\aleph_1$ -categorical computable models whose theories are equivalent to  $0^n$ . The following are related results. In [1] Baldwin and Lachlan showed that all models of any  $\aleph_1$ -categorical theory T can be listed into the chain  $A_0 \leq A_1 \leq A_2 \leq \dots A_{\omega}$  of elementary embeddings, where  $A_0$  is the prime model,  $A_{\omega}$  is the saturated model, and each  $A_{i+1}$  is a minimal proper elementary extension of  $A_i$ . Let SCM(T)be the spectrum of computable models of T, that is  $SCM(T) = \{i \mid A_i\}$ has a computable presentation  $\}$ . If T is  $\aleph_1$ -categorical and decidable then, as proved by Harrington and Khisamiev in [4] [5], all countable models of T have decidable presentations, that is  $SCM(T) = \omega \cup \{\omega\}$ . In [3] Goncharov showed that there exists an  $\aleph_1$ -categorical theory T computable in

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 $\mathbf{0}'$  for which  $SCM(T) = \{0\}$ . Kudeiberganov extended this result by showing that for every  $n \geq 0$  there exists an  $\aleph_1$ -categorical T computable in  $\mathbf{0}'$  such that  $SCM(T) = \{0, 1, \ldots, n\}$  [7]. In [6] it is shown that there exist  $\aleph_1$ -categorical theories  $T_1$  and  $T_2$  computable in  $\mathbf{0}''$  such that  $SCM(T_1) = \omega$  and  $SCM(T_2) = \omega \cup \{\omega\} \setminus \{0\}$ . Thus, all the known  $\aleph_1$ -categorical theories that have computable models are computable in  $\mathbf{0}''$ . Our examples show that for each  $n \geq 1$  there is an  $\aleph_1$ -categorical computable model whose theory is Turing equivalent to  $\mathbf{0}^n$ .

We now give basic definitions. We fix a computable language L. A structure  $\mathcal{A}$  of this language is **computable** if the domain, functions, and predicates of the structure are uniformly computable. This is equivalent to saying that the atomic diagram of  $\mathcal{A}$  is computable. A structure  $\mathcal{B}$  is **computably presentable** if it is isomorphic to a computable structure. In this case any isomorphism from  $\mathcal{B}$  into  $\mathcal{A}$  is called a **computable presentation** of  $\mathcal{B}$ . A complete theory T is  $\aleph_1$ -categorical if all models of T of power  $\aleph_1$  are isomorphic. A model  $\mathcal{M}$  is  $\aleph_1$ -categorical if the theory  $Th(\mathcal{M})$  of the model is  $\aleph_1$ -categorical. Typical examples of  $\aleph_1$ -categorical theories are the theory of algebraically closed fields of fixed characteristic, the theory of vector spaces over a fixed countable field, the theory of the successor structure  $(\omega, S)$ . A theory T is **almost strongly minimal** if in every model of T every element is in the algebraic closure of a strongly minimal set.

Now we briefly outline the paper. In the next section, Section 2, we provide a model—theoretic construction of a model whose theory is an  $\aleph_1$ —categorical theory. The construction of the model follows the ideas of Marker's construction from [8] but is carried out with an eye towards reducing the computability-theoretic complexity of presentations of the model. In Section 3 we prove a representation lemma about  $\Sigma_2^0$ —subsets of natural numbers. Finally, in the last section we prove the following theorem:

**Theorem** For any natural number  $n \ge 1$  there exists an  $\aleph_1$ -categorical theory T with a computable model so that T is equivalent to  $\mathbf{0}^n$ . Moreover, all (countable) models of T have computable presentations and T is almost strongly minimal.

We assume that the reader is familiar with basics of model theory and computability theory. We use some standard notions and notations, such as  $\langle \cdot, \cdot \rangle$ , l, r Cantor's pairing functions, the concept of X-computable

sets (e.g. sets computable with an oracle for X), the jump operation X' for subsets  $X \subset \omega$ . Standard references are [2] [9].

#### 2 Construction

In [8] Marker provided an example of non  $\Sigma_n$  axiomatizable almost strongly minimal theory for  $n \in \omega$ . We adapt that construction for our case. The construction uses induction. We first provide the base case and then explain the inductive step.

#### 2.1 Basic 0-Structure

We construct theory  $T_0$ . The basic 0-structure will be the model of  $T_0$  denoted by  $\mathcal{M}_0$ . The language of the structure  $\mathcal{M}_0$  is  $L_0 = \langle X, Y, C_0, D_0, P_0, R_0 \rangle$ , where  $X, Y, C_0, D_0$  are all unary predicate symbols,  $P_0$  is a binary predicate, and  $R_0$  is a predicate of arity 4. Now we list axioms of the theory  $T_0$ .

- 1. Any model of  $T_0$  is a disjoint union of  $X, Y, C_0$ , and  $D_0$ .
- 2. If  $P_0(x, y)$  holds then  $x \in X$  and  $y \in Y$ . Thus  $P_0$  defines a bipartite graph between X and Y.
- 3. Every element of X is connected either to every element of Y or all but one element of Y, and there are infinitely many elements of each type.
- 4. Every element of Y is connected to all but one element of X.

Thus,  $\neg P_0$  determines a one to one function from Y into x, that is  $y \in Y$  is mapped into  $x \in X$  if  $\neg P_0(x, y)$ . Based on  $P_0$  we now define the following two predicates. We say  $x \in X$  is **good** if it is connected to every element in Y, and we call x **bad** otherwise. Thus, we have the unary predicates G and B:

$$G(x) = \forall y(X(x) \& P_0(x, y))$$
 and  $B(x) = \exists y(X(x) \& Y(y) \& \neg P_0(x, y)).$ 

What we have defined is not an  $\aleph_1$ -categorical theory because B and G can realize different cardinalities. Therefore  $R_0$  will be used to remedy this. Using  $R_0$  we define a permutation  $\mu: X \to X$  so that  $\mu(B) = G$ ,  $\mu(G) = G$  and  $\mu(\mu(x)) = x$  for all  $x \in X$ . Axioms for  $R_0$  are as follows:

- 5. If  $R_0(x, y, c, d)$  then  $x \in X$ ,  $y \in X$ ,  $c \in C_0$ , and  $d \in D_0$ .
- 6.  $R_0(x, y, c, d)$  if and only if  $R_0(y, x, c, d)$ .

Define the predicate  $R^*(x, y, c)$ :  $R^*(x, y, c) = \forall dR_0(x, y, c, d)$ . The predicate  $R^*$  will define a bipartite graph between the set  $X^{(2)}$  of all unordered pairs of X and the set C. Here are the axioms for  $R^*$ :

- 7. For every unordered pair  $\{x,y\}$  in X there is at most one  $c \in C_0$  such that  $R^*(x,y,c)$ .
- 8. For every element  $c \in C_0$  there is a unique pair  $\{x,y\}$  such that  $R^*(x,y,c)$ .
- 9. If  $R^*(x, y, c)$  then one element of the pair  $\{x, y\}$  is good and the other is bad.
- 10. For every  $x \in X$  there is unique  $y \in X$  so that  $R^*(x, y, c)$  for some  $c \in C_0$ .

Thus,  $R^*$  determines a one to one function from  $C_0$  into  $X^{(2)}$ . We now define a function  $\mu: X \to X$  as follows:  $\mu(x) = y$  if and only if  $\exists c R^*(x, y, c)$ . Clearly,  $\mu$  is a definable permutation such that  $\mu(B) = G$ ,  $\mu(G) = G$  and  $\mu(\mu(x)) = x$  for all  $x \in X$ .

- 11. For all  $x \in X$ ,  $y \in Y$ ,  $c \in C_0$  either  $\forall d \in D_0R_0(x, y, c, d)$  or there is a unique  $d_0$  such that  $\neg R_0(x, y, c, d_0)$ . Moreover, there are infinitely many elements of each type.
- 12. For each  $d_0 \in D_0$  there are unique pair  $\{x,y\}$  and  $c_0 \in C$  so that  $\neg R_0(x,y,c_0,d_0)$ .

Thus,  $\neg R_0$  establishes a one to one mapping from  $D_0$  into  $X^{(2)} \times C_0$ . This completes the description of  $T_0$ .

Here are some properties of the model  $\mathcal{M}_0$ .

Claim 1 Every element of  $\mathcal{M}$  is in definable closure of B.

**Proof.** We denote the closure of B by cl(B). Take any  $a \in M$ . If  $a \in G$  then  $\mu(x) = a$  for some  $x \in B$ . Hence  $a \in cl(B)$ . If  $a \in Y$  then  $\neg P_0(x, a)$  for some  $x \in B$ , and from the axioms for  $P_0$  we see that  $a \in cl(B)$ . Assume that  $a \in C_0$ . Then there is a pair  $\{x,y\}$  in X such that  $R^*(x,y,c)$ . From the axioms for  $R^*$  we derive that  $a \in cl(B)$ . Similarly, if  $a \in D_0$  then from the fact that  $R_0$  is a one-to-one mapping from  $D_0$  into  $X^{(2)} \times C_0$ , we obtain that  $a \in cl(B)$ . The claim is proved.

#### Claim 2 The set B is strongly minimal.

**Proof.** Let  $a_1, \ldots, a_n$  be elements of  $\mathcal{M}$ . We need to prove that there is no infinite and coinfinite subset of B definable with parameters  $a_1, \ldots, a_n$ . We may assume, by the previous claim, that the parameters  $a_1, \ldots, a_n$  are in B. Let  $b_i$  and  $c_i$  be all elements such that  $\mu(b_i) = a_i$  and  $R^*(a_i, b_i, c_i)$  for  $i = 1, \ldots, n$ . It is not hard to see that for all  $x, y \in B$  if  $x, y \notin \{a_1, \ldots, a_n\}$  then there is an automorphism  $\alpha$  of  $\mathcal{M}_0$  for which  $\alpha(x) = y$  and  $\alpha(a_i) = a_i$ ,  $\alpha(b_i) = b_i$  and  $\alpha(c_i) = c_i$  for all  $i = 1, \ldots, n$ . This proves the claim.

#### 2.2 *n*-Structure

Suppose that we have constructed the theory  $T_{n-1}$  for  $n \geq 1$ . The language of the theory  $T_n$  is  $\langle X, Y, Z_1, Z_2, \ldots, Z_{2n}, C_0, D_0, \ldots, C_{2n}, D_{2n}, P_n, R_n \rangle$ , where  $X, Y, Z_1, Z_2, \ldots, Z_{2n}, C_0, D_0, \ldots, C_{2n}$ , and  $D_{2n}$  are all unary predicate symbols,  $P_n$  is a (2n + 2)-ary predicate symbol, and  $R_n$  is (2n + 4)-ary predicate symbol. The model of  $T_n$  will be denoted by  $\mathcal{M}_n$ . The idea is that we want the previous structure  $\mathcal{M}_{n-1}$  to be definable in  $\mathcal{M}_n$ . The axioms of  $T_n$  are the following:

1. Any model of  $T_n$  is a disjoint union of the unary predicates  $X, Y, Z_1, Z_2, \ldots, Z_{2n}, C_0, D_0, \ldots, C_{2n}$ , and  $D_{2n}$ .

We now describe the predicate  $P_n$ .

2. If  $P_n(x, y, z_1, ..., z_{2n})$  holds then  $x \in X$ ,  $y \in Y$ ,  $z_1 \in Z_1$ , ...,  $z_{2n} \in Z_{2n}$ . We define the following predicate  $P^{\star\star}(x, y, z_1, ..., z_{2(n-1)})$ :

$$P^{\star\star}(x, y, z_1, \dots, z_{2(n-1)}) = \exists z_{2n-1} \forall z_{2n} P_n(x, y, z_1, \dots, z_{2n}).$$

3. We postulate that the predicate  $P^{\star\star}$  satisfies all the axioms of the predicate  $P_{n-1}$ .

Now consider the following predicate  $P^*(x, y, z_1, \dots, z_{2n-1})$ :

$$P^{\star}(x, y, z_1, \dots, z_{2n-1}) = \forall z_{2n} P_n(x, y, z_1, \dots, z_{2n-1}, z_{2n}).$$

Here are the axioms for  $P^*$ :

- 4. For all  $x \in X$ ,  $y \in Y$ ,  $z_1 \in Z_1$ , ...,  $z_{2(n-1)} \in Z_{2(n-1)}$  there is at most one  $z_{2n-1} \in Z_{2n-1}$  such that  $P^*(x, y, z_1, \dots, z_{2n-1})$ .
- 5. For each  $z_{2n-1} \in Z_{2n-1}$  there exists a unique tuple  $(x, y, z_1, \ldots, z_{2(n-1)})$  such that  $P^*(x, y, z_1, \ldots, z_{2(n-1)}, z_{2n-1})$ .

Thus, the predicate  $P^*$  determines a one-to-one function from  $Z_{2n-1}$  into  $X \times Y \times Z_1 \times \ldots \times Z_{2(n-1)}$ . The next two axioms finish the description of  $P_n$ . These axioms basically tell us that  $\neg P_n$  establishes a one-to-one mapping from  $Z_{2n}$  into  $X \times Y \times Z_1 \times \ldots \times Z_{2n-1}$ .

- 6. For all  $x \in X$ ,  $y \in Y$ ,  $z_1 \in Z_1$ , ...,  $z_{2n-1} \in Z_{2n-1}$  either there is a unique  $z_{2n} \in Z_{2n}$  for which  $\neg P_n(x, y, z_1, \dots, z_{2n-1}, z_{2n})$  is true or  $P_n(x, y, z_1, \dots, z_{2n-1}, z_{2n})$  is true for all  $z_{2n} \in Z_{2n}$ .
- 7. For each  $z_{2n} \in Z_{2n}$  there is a unique tuple  $(x, y, z_1, \ldots, z_{2n-1})$  such that  $\neg P_n(x, y, z_1, \ldots, z_{2n-1}, z_{2n})$ .

Now we describe the predicate  $R_n$ . Here are the lists of axioms for  $R_n$ .

8. If  $R_n(x, y, c_0, d_0, \ldots, c_n, d_n)$  then  $x \in X$ ,  $y \in X$ ,  $c_i \in C_i$ , and  $d_i \in D_i$  for all  $i \leq n$ . Moreover,  $R_n(x, y, c_0, d_0, \ldots, c_n, d_n)$  if and only if  $R_n(y, x, c_0, d_0, \ldots, c_n, d_n)$ .

Define the predicate  $R^{\star\star}(x, y, c_0, d_0 \dots, c_{n-1}, d_{n-1})$  as follows:

$$R^{\star\star}(x, y, \dots, c_{n-1}, d_{n-1}) = \exists c_n \forall d_n R_n(x, y, \dots, c_{n-1}, d_{n-1}, c_n, d_n).$$

Define the predicate  $R^*(x, y, c_0, d_0, \dots, c_{n-1}, d_{n-1}, c_n)$  as follows:

$$R^{\star}(x, y, \dots, c_{n-1}, d_{n-1}, c_n) = \forall d_n R_n(x, y, \dots, c_{n-1}, d_{n-1}, c_n, d_n).$$

Here are the axioms for  $R^{\star\star}$  and  $R^{\star}$ :

- 9. We postulate that the predicate  $R^{\star\star}$  satisfies all the axioms of the predicate  $R_{n-1}$ . For all  $\{x,y\} \in X^{(2)}, c_0 \in C_0, \ldots, d_{n-1} \in D_{n-1}$  there is at most one  $c_n \in C_n$  such that  $R^{\star}(x,y,c_0,d_0\ldots,c_{n-1},d_{n-1},c_n)$ .
- 10. For each  $c_n \in C_n$  there exist a unique tuple  $(c_0, \ldots, c_{n-1}, d_{n-1})$  and a pair  $\{x, y\}$  such that  $R^*(x, y, c_0, d_0, \ldots, c_{n-1}, d_{n-1}, c_n)$ .
- 11. For all  $\{x,y\} \in X^{(2)}$ ,  $c_0 \in C_0$ ,  $d_0 \in D_0$ , ...,  $d_{n-1} \in D_{n-1}$ ,  $c_n \in C_n$  either  $R_n(x,y,c_0,d_0\ldots,c_{n-1},d_{n-1},c_n,d_n)$  for all  $d_n \in D_n$  or there is a unique  $d \in D_n$  for which  $\neg R_n(x,y,c_0,d_0\ldots,c_{n-1},d_{n-1},c_n,d)$ .
- 12. For each  $d_n \in D_n$  there is a unique tuple  $(c_0, d_0, \ldots, c_{n-1}, d_{n-1}, c_n)$  and a pair  $\{x, y\}$  such that  $\neg R_n(x, y, c_0, d_0, \ldots, c_{n-1}, d_{n-1}, c_n, d_n)$ .

Now by induction on n one can prove the following lemma.

#### **Lemma 1** For the theory $T_n$ the following are true:

- 1. The unary predicate B(x) is definable by a  $\Sigma_n$  formula in the language of  $T_n$ .
- 2. The theory  $T_n$  is  $\aleph_1$ -categorical.
- 3. The predicate B(x) is strongly minimal.
- 4. The theory  $T_n$  is almost strongly minimal.  $\square$

#### 3 On Presentations of $\Sigma_2^0$ -Sets

In this section we prove a computability-theoretic lemma needed for the main result of this paper. For the lemma we define the following notion.

**Definition 1** A  $\Sigma_2^0$ -set A is **one-to-one representable** if for some computable predicate  $Q \subset \omega^3$  each of the following properties is true:

- 1. For each  $n \in \omega$ ,  $\exists a \forall b Q(n, a, b)$  if and only if  $n \in A$ .
- 2. For each  $n \in \omega$ ,  $\exists a \forall b Q(n, a, b)$  if and only if  $\exists = 1 a \forall b Q(n, a, b)^{1}$ .

 $<sup>{}^{1}\</sup>exists^{=1}xP(x)$  means that there is a unique x satisfying P

- 3. For every b there is a unique pair < n, a > such that  $\neg Q(n, a, b)$ .
- 4. For every pair < n, a > either  $\exists b \neg Q(n, a, b)$  or  $\forall b Q(n, a, b)$ .
- 5. For every a there exists a unique n such that  $\forall bQ(n, a, b)$ .

It is not hard to see that every infinite and coinfinite computable set A has a one-to-one representation.

For a  $\Sigma_2^0$ -set A there is a computable H such that  $n \in A \leftrightarrow \exists a \forall b H(n, a, b)$ . In fact, there is a computable Q for which  $\exists a \forall b H(n, a, b) \leftrightarrow \exists^{-1} a \forall b Q(n, a, b)$ . To show this we describe the procedure which builds a predicate  $P_n$ ,  $n \in \omega$ . To build  $P_n$  initially we set the values  $a_0 = 0$ ,  $r_0 = 0$ ,  $h_0 = 0$ . At stage t the predicate  $P_n$  will be defined on all pairs (i,j) so that  $j \leq t$ ,  $i \leq r_t$ . The intention for  $a_t$  is that  $a_t$  will be the unique witness for n to belong to A, that is  $n \in A$  if and only if  $\forall b P_n(a_t, b)$ . The intention for  $h_t$  is that if  $n \in A$  then  $h_t$  is the minimal  $h \leq t$  for which  $(\forall b \leq t) H(n, h, b)$ .

Stage t+1. Compute H(n,i,j) for all  $i,j \leq t+1$ . If  $(\forall i \leq t+1)(\exists j \leq t+1) \neg H(n,i,j)$  then set  $r_{t+1} = r_t+1$ ,  $h_{t+1}$  and  $a_{t+1}$  be undefined, and make  $P_n(i,j)$  false on all (i,j), with  $i \leq r_{t+1}, j \leq t+1$ , at which  $P_n$  has not been defined. If  $h_t$  is undefined and  $\forall j \leq t+1 H(n,t+1,j)$  is true then set  $h_{t+1} = t+1$ ,  $r_{t+1} = r_t+1$ , and  $a_{t+1} = r_{t+1}$ . Make  $P_n(a_{t+1},j)$  to be true for all  $j \leq t+1$ , and make  $P_n(i,j)$  false on all (i,j), with  $i \leq r_{t+1}, j \leq t+1$ , at which  $P_n$  has not been defined. If  $h_t$  is defined and  $\forall j \leq t+1 H(n,h_t,j)$  is true then set  $h_{t+1} = h_t$ ,  $a_{t+1} = a_t$ , and  $r_{t+1} = r_t+1$ , and make  $P_n(a_{t+1},j)$  to be true for all  $j \leq t+1$ , and make  $P_n(i,j)$  false on all (i,j), with  $i \leq r_{t+1}, j \leq t+1$ , at which  $P_n$  has not been defined.

Now define the predicate Q as follows:  $(n, a, b) \in Q$  if and only if  $P_n(i, j)$ . The construction above guarantees that the predicate Q is desired.

Now we prove the following lemma which gives a sufficient condition for  $\Sigma_2^0$ -sets to have one to one representations.

**Lemma 2** Let A be a coinfinite  $\Sigma_2^0$ -set that possesses an infinite computable subset S such that  $A \setminus S$  is infinite. Then A has a one-to-one representation.

**Proof.** As noted above there is computable set H such that  $n \in A$  iff  $\exists^{=1}a \forall b H(n,a,b)$ . Define the predicate  $H_1$ :  $H_1(n,a,b)$  if and only if

 $a = \langle n, x \rangle \& H(n, x, b)$ . It is easy to check that the formulas  $\exists a \forall b H(n, a, b)$  and  $\exists a \forall b H_1(n, a, b)$  are equivalent. Moreover, for every a there exists at most one n such that  $\forall b H_1(n, a, b)$ . Let  $H_2$  be defined as follows:  $\neg H_2(n, a, b)$  if and only if  $b = \langle n, a, x \rangle \& \neg H_1(n, a, x) \& (\forall z < x) H_1(n, a, z)$ . It is not hard to see that the predicate  $H_2$  satisfies the following properties:

- 1. The formulas  $\exists a \forall b H_1(n, a, b)$  and  $\exists a \forall b H_2(n, a, b)$  are equivalent.
- 2. The formulas  $\forall bH_1(n,a,b)$  and  $\forall bH_2(n,a,b)$  are equivalent.
- 3. For every pair n, a there exists at most one b such that  $\neg H_2(n, a, b)$ .
- 4. For every a there exists at most one n such that  $\forall b H_2(n, a, b)$ .
- 5. For every b there exists at most one pair (n, a) such that  $\neg H_2(n, a, b)$ .

Thus, we may assume that H satisfies the properties 3) - 5) above. Now, using the predicate H, we build the desired predicate Q.

At stage t the predicate  $Q_t$  will be defined on  $[0, t] \times [0, r_2(t)] \times [0, r_3(t)]$ , where the functions  $r_2(t)$ ,  $r_3(t)$  are given effectively at stage t. The predicate  $Q_t$  will satisfy the following properties denoted by P:

- $P_1$ : For all  $n \le t$ ,  $a \le r_2(t)$  either  $Q_t(n, a, b)$  holds true for all  $b \le r_3(t)$  or  $\exists^{-1}b \le r_3(t) \neg Q_t(n, a, b)$ .
- $P_2$ : If  $a \leq r_2(t)$  is a (Q, t)-witness for  $n \leq t$ , that is  $\forall b \leq r_2(t)Q_t(n, a, b)$  then it is a unique (Q, t)-witness for n.
- $P_3$ : No two (Q, t)-witnesses (which may be for distinct  $n_1$  and  $n_2$ ) coincide.
- $P_4$ : For each  $b \leq r_3(t)$  there is a unique pair (n,a) such that  $\neg Q_t(n,a,b)$ .

Let  $H_0 \subset H_1 \subset ...$  be an approximation of H so that  $H = \bigcup_t H_t$ , where  $H_t = H \cap [0, t] \times [0, t] \times [0, b_t]$  and  $b_t$  is the minimal  $b \geq t$  such that each of the following is true:

- 1. If  $a \le t$  is a (H, t)-witness for  $n \le t$ , that is  $\forall b \le tH(n, a, b)$  then it is a unique (H, t)-witness for n.
- 2. No two (H, t)-witnesses (which may be for distinct  $n_1$  and  $n_2$ ) coincide.

3. For all  $n, a \leq t$  either  $(\forall b \leq t) H(n, a, b)$  or  $(\exists^{=1} j \leq b) \neg H(n, a, b)$ .

Note that  $b_t$  is correctly defined. If for an  $n \leq t$  there is an (H, t)-witness for n then we denote the witness by h(n, t).

Without loss of generality, we assume that H(0,0,0) is true. In the construction, at Stage t, we use functions  $r_2(t)$ ,  $r_3(t)$ , h(n,t) and a(n,t). The function  $r_2(t)$  and  $r_3(t)$  tell us that the second and the third coordinates of  $Q_t$  do not exceed  $r_2(t)$  and  $r_3(t)$ , respectively; h(n,t) is the (H,t)-witness for n, and a(n,t) is a (Q,t) witness for n if they exist. The construction guarantees that h(n,t) exists if and only if a(n,t) exists. Initially, we set r(0) = 0, h(0,0) = 0, and a(0,0) = 0. Some of the numbers  $a \le r_2(t)$  will be marked by  $\square_s$ , where  $s \in S$ . This will mean that the construction guarantees that a is a Q-witness for s, that is  $\forall bQ(s,a,b)$ .

We now describe stage t of the construction. We assume that  $Q_{t-1}$  has been constructed so that all properties  $P_1$  through  $P_4$  hold. In addition, we assume that each  $n \leq r_2(t-1)$  either is a (Q, t-1)-witness of the form a(n, t-1) (for some  $n \leq t$ ) or has been marked by a  $\square_s$  for some  $s \in S$ .

Stage t. If  $t \in S$  and some  $a \leq r_2(t-1)$  is marked with  $\Box_t$  then make a a (Q,t)-witness for s, set  $r_2(t) = r_2(t-1)$ ,  $r_3(t) = r_3(t-1) + t$ , extend  $Q_{t-1}$  to  $Q_t$  in the  $[0,t] \times [0,r_2(t)] \times [0,r_3(t)]$  keeping all the (Q,t-1)-witnesses as (Q,t)-witnesses so that  $Q_t$  satisfies all properties  $P_1$  through  $P_4$ . Otherwise, proceed as follows.

Compute  $H_t$ . Let  $i_1, \ldots, i_k \leq t$  be in increasing order such that  $h(i_j, t)$  is defined and  $h(i_j, t) \neq h(i_j, t-1)$ ,  $j=1,\ldots,k$ . Note that  $h(i_j, t-1)$  could be undefined. Also note that  $k \leq 2$ . Take the least unused numbers  $s_1$  and  $s_2 \in S$ , mark each  $a(i_j, t-1)$  with  $\square_{s_j}$ , make sure that  $a(i_j, t-1)$  is a (Q, t')-witness for  $s_j$  at all stages  $t' \geq s_j$ ,  $j=1,\ldots,k$ . Further, take numbers  $n_1 = r_2(t-1) + 1, \ldots, n_k = r_2(t-1) + k$ , set  $a(i_j, t) = n_j$  for  $j=1,\ldots,k$ ,  $r_2(t)=n_k$ ,  $r_3(t)=r_3(t-1)+(k+1)t$ , and extend  $Q_{t-1}$  to  $Q_t$  in the  $[0,t] \times [0,r_2(t)] \times [0,r_3(t)]$  making each  $a(i_j,t)$  a (Q,t)-witness for  $i_j$ , keeping all the other (Q,t-1)-witnesses as (Q,t)-witnesses so that  $Q_t$  satisfies all properties  $P_1$  through  $P_4$ . Note that  $P_4$  can be satisfied as seen from the definition of  $r_3(t)$ .

Suppose that the sequence  $i_1, \ldots, i_k \leq t$  stipulated above does not exist. Take the first unused  $s \in S$  and mark t with  $\square_s$ . Make sure that t is a

<sup>&</sup>lt;sup>2</sup>Note that property  $P_4$  can be satisfied which is seen from the definition of  $r_3(t)$ .

(Q,t')-witness for s at all stages  $t' \geq s$ . Set  $r_2(t) = r_2(t-1) + 1$ , and  $r_3(t) = r_3(t-1) + 2t + 1$ , and extend  $Q_{t-1}$  to  $Q_t$  in the  $[0,t] \times [0,r_2(t)] \times [0,r_3(t)]$  keeping all the (Q,t-1)-witnesses as (Q,t)-witnesses so that  $Q_t$  satisfies all properties  $P_1$  through  $P_4$ . This ends Stage t.

Set  $Q = \bigcup_t Q_t$ . Now it is not hard to see that Q is a one to one representation of A. Indeed, note that at every stage t, each  $a \leq r_2(t)$  is either marked by  $\square_s$  or of the form a(n,t). If a is marked with  $\square_s$  then  $\forall bQ(s,a,b)$  because a is a (Q,t')-witness for s at each stage  $t' \geq s$ . Assume that a is not marked with  $\square_s$ ,  $s \in S$ . Consider stage a. There is an n such that a = a(n,a). Then for all  $t \geq a$  we have a(n,t) = a(n,a). Therefore  $\forall bQ(n,a,b)$ . Thus, each  $a \in \omega$  is a Q-witness for some  $n \in A$ . All the other desired properties of Q follow from the fact that  $Q_t$  satisfies properties  $P_1$  through  $P_4$  at each stage t. The lemma is proved.

Clearly the definition of one to one presentations of  $\Sigma_2^0$ -sets can be relativised with respect to any oracle X. The relativised version of the lemma above is the following corollary which will be used in the next section.

Corollary 1 Let A be a coinfinite  $\Sigma_2^{0,X}$ -set that possesses an infinite X-computable subset S such that  $A \setminus S$  is infinite. Then there exists an X-computable set  $Q \subset \omega^3$  such that Q is a one-to-one representation of  $A.\square$ 

#### 4 The Main Result

Consider the basic 0-structure  $\mathcal{M}_0$  of the theory  $T_0$ . The following lemma shows that  $\mathcal{M}_0$  can have presentations of arbitrarily high complexity.

**Lemma 3** For any set  $X \subset \omega$  there exists an X-computable presentation of  $\mathcal{M}_0$  such that the following properties hold:

- 1. The predicates  $X, Y, C_0, D_0$  are computable.
- 2. The predicate B(x) is T-equivalent to X'.

**Proof.** We prove the lemma for the case when  $X = \emptyset$ . The case when  $X \neq \emptyset$  can essentially be repeated. Thus, we need to prove that there exists a computable presentation of  $\mathcal{M}_0$  such that the set B(x) is Turing equivalent

to the halting set K. We build the model  $\mathcal{M}_0$  by stages. At stage t we will have a finite model  $\mathcal{M}_0^t$  with finite predicates  $X^t, Y^t, C_0^t, D_0^t, P_0^t, R_0^t$ .

We may assume that  $\omega$  is the disjoint union of infinite computable sets  $X, Y, C_0, D_0$ , and that  $K \subset X$ . At stage t the sequence of unordered pairs  $\{a_0, b_0\}, \ldots, \{a_t, b_t\}$  is called **active** if  $X^t = \{a_0, b_0, \ldots, a_t, b_t\}, a_0, \ldots, a_t \in K_t$ , and  $b_0, b_1, \ldots, b_t \notin K_t$ , and  $a_0 < a_1 < \ldots < a_t, b_0 < b_1 < \ldots < b_t$ , where  $K_0 \subset K_1 \subset K_2 \subset \ldots$  is an approximation of K with  $K = \bigcup_t K_t$ . A pair  $\{a, b\}$  is **active** if  $\{a, b\} = \{a_i, b_i\}$  for some  $i \leq t$ . It is clear that for any unordered pair  $\{a, b\}$  in K there exists a stage K such that K is active at stage K if and only if K is active at stages K is active at stage, the model K is empty.

**Stage** t. We extend  $\mathcal{M}_0^{t-1}$  satisfying the following conditions:

- 1. If  $R_0(a, b, c, d_0)$  is false then we guarantee that  $R_0(a, b, c, d)$  is true for all  $d \neq d_0$  with  $d \in D_0^t$ .
- 2. For every pair  $\{a,b\}$  that was not active at the previous stage but which has become active at stage t we take an unused element c, put it into  $C_0^t$ , and then guarantee that  $R_0(a,b,c,d)$  holds for all  $d \in D_0^t$ . We call the element c the t-witness for the pair  $\{a,b\}$ .
- 3. If (t-1)-active pair  $\{a,b\}$  is still active then we guarantee that the (t-1)-witness for  $\{a,b\}$  is also a t-witness.
- 4. For every pair  $\{a,b\}$  that is not t-active but which was (t-1)-active with the (t-1)-witness c, we enumerate an unused element  $d_0$  into  $D_0^t$  and make  $R_0(a,b,c,d_0)$  false.
- 5. We guarantee that for any t-active pair  $\{a,b\}$ , where  $b \in K_t$ , we have the following:
  - (a)  $P_0(a, y)$  is true for all  $y \in Y^t$ .
  - (b) There is a unique  $y \in Y^t$  such that  $P_0(b, y)$  is false.
  - (c) For any  $y \in Y^t$  there exists a unique t-active pair  $\{a, b\}$  such that  $P_0(a, b, y)$  is false.

It is clear that the model  $\mathcal{M}_0^t$  can be constructed effectively. Let  $\mathcal{M}_0 = \bigcup_t \mathcal{M}_0^t$ . It is not hard to see that  $\mathcal{M}_0$  is the desired model. We also note that for the constructed model the permutation  $\mu: X \to X$  is such that the *i*th element of K is sent to the *i*th element in  $X \setminus K$ . Thus, we have proved the lemma.

Now we are ready to prove our main theorem.

**Theorem** For any natural number  $n \ge 1$  there exists an  $\aleph_1$ -categorical theory T with a computable model so that T is equivalent to  $\mathbf{0}^n$ . Moreover, all (countable) models of T have computable presentations and T is almost strongly minimal.

**Proof.** By the previous lemma there exists a  $\mathbf{0}^n$ -computable presentation of  $\mathcal{M}_0$  such that the predicate B(x) is equivalent to  $\mathbf{0}^{n+1}$ . From Corollary 1 we can construct the sequence  $\{\mathcal{A}_i\}_{i\leq n}$  of models so that:

- 1. The model  $A_i$  is isomorphic to the *i*-structure  $M_i$ .
- 2. The sets  $X, Y, Z_1, Z_2, \ldots, Z_{2i}, C_0, D_0, C_1, D_1, \ldots, C_i, D_i$  in each model  $A_i$  are computable.
- 3. The model  $A_i$  is  $\mathbf{0}^{n-i}$ -computable.

Thus, each of the models, in particular the model  $\mathcal{A}_n$ , is  $\aleph_1$ -categorical. Now expand the model  $\mathcal{A}_n$  by adding constant symbols  $c_x$  for each  $x \in X$ . Thus, we have the model  $\mathcal{A} = (\mathcal{A}_n, c_x)_{x \in X}$ . Let T be the theory of  $\mathcal{A}$ . The following now can easily be verified:

- 1.  $\mathcal{A}$  is computable.
- 2. The theory of A is  $\aleph_1$ -categorical and is almost strongly minimal.
- 3. The set  $\{B(c_x) \mid \mathcal{A} \models B(c_x)\}$  is a  $\Sigma_n^0$ -set and is c.e. in  $\mathbf{0}^n$ .
- 4. All models of T have computable presentations.

The theorem is proved.

#### 5 Future Work

We are currently working on improving or generalizing the main theorem of this paper in the following directions. First of all, we hope to construct an  $\aleph_1$ -categorical model of a finite language for which the main result of this paper holds true. Secondly, we plan to adapt the construction of this paper to build  $\aleph_0$ -categorical models whose theories are Turing equivalent to  $\mathbf{0}^n$ . Finally, we are investigating a possibility of constructing  $\aleph_1$ -categorical or  $\aleph_0$ -categorical computable models whose theories have hyperarithmetical degrees.

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